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**Security Analysis of Accountable Anonymity in Dissent**

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## Abstract

Users often wish to communicate anonymously on the Internet, for example in group discussion or instant messaging forums. Existing solutions are vulnerable to misbehaving users, however, who may abuse their anonymity to disrupt communication. Dining Cryptographers Networks (DC-nets) leave groups vulnerable to denial-of-service and Sybil attacks, mix networks are difficult to protect against traffic analysis, and accountable voting schemes are unsuited to general anonymous messaging.

DISSENT is the first general protocol offering provable anonymity and accountability for moderate-size groups, while efficiently handling unbalanced communication demands among users. We present an improved and hardened DISSENT protocol, define its precise security properties, and offer rigorous proofs of these properties. The improved protocol systematically addresses the delicate balance between provably *hiding* the identities of well-behaved users, while provably *revealing* the identities of disruptive users, a challenging task because many forms of misbehavior are inherently undetectable. The new protocol also addresses several non-trivial attacks on the original DISSENT protocol stemming from subtle design flaws.

# Security Analysis of Accountable Anonymity in Dissent\*

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## 1 Introduction

Anonymous participation is often considered a basic right in free societies (Yale Law Journal 1961). The limited form of anonymity the Internet provides is a widely cherished feature enabling people and groups with controversial or unpopular views to communicate and organize without fear of personal reprisal. Yet anonymity makes it difficult to trace or exclude misbehaving participants. Online protocols providing stronger anonymity, such as mix-networks (Chaum 1981; Adida 2006), onion routing (Goldschlag, Reed, and Syverson 1999; Dingleline, Mathewson, and Syverson 2004), and Dining Cryptographers Networks or DC-nets (Chaum 1988; Waidner and Pfitzmann 1989a; Siroer, Goel, Robson, and Engin 2004; Golle and Juels 2004), further weaken accountability, yielding forums in which no content may be considered trustworthy and no reliable defense is available against anonymous misbehavior.

DISSENT (Dining-cryptographers Shuffled-Send Network) is a communication protocol that provides strong integrity, accountability, and anonymity, within a well-defined *group* of participants whose membership is closed and known to its members (Corrigan-Gibbs and Ford 2010). DISSENT enables members of such a group to send *anonymous* messages – either to each other, to the whole group, or to a non-member – such that the receiver knows that *some* member sent the message, but no one knows *which* member. DISSENT also holds members *accountable* – not by compromising their anonymity, but rather by ensuring that communication resources are allocated fairly among all communicating members, and that any disruption results in the identification of some malicious member during a “blame” process. Misbehaving members are thus unable to corrupt or block other members’ messages, overrun the group with spam, stuff ballots, or create unlimited anonymous Sybil identities (Douceur 2002) or sock puppets (Stone and Richtel 2007) with which to bias or subvert a group’s deliberations.

DISSENT builds on the sender-verifiable shuffle of Brickell and Shmatikov (2006), combining a similar shuffle scheme with DC-net techniques for efficient bulk communication. DISSENT uses only readily available cryptographic primitives and handles arbitrarily large messages and unbalanced loads efficiently. Each member sends *exactly* one message per round, making it usable for

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voting or assigning pseudonyms with a 1-to-1 correspondence to real group members. DISSENT has limitations, of course. It is not intended for large-scale, “open-access” anonymous messaging or file sharing (Goldschlag, Reed, and Syverson 1999; Clarke, Sandberg, Wiley, and Hong 2000). DISSENT’s accountability property assumes closed groups, and may be ineffective if a malicious member can leave and rejoin the group under a new (public) identity. Finally, DISSENT’s serialized GMP-SHUFFLE protocol imposes a per-round startup delay that makes DISSENT impractical for latency-sensitive applications. Further discussion on related anonymous communication systems is included in Section 2.

DISSENT was introduced by Corrigan-Gibbs and Ford (2010), who sketched the basic protocol and informal security arguments, described practical usage considerations, and experimentally evaluated the performance of a prototype implementation. This paper revisits and substantially modifies the DISSENT protocol, to offer a precise formal definition and exposition of the protocol and a rigorous analysis of its security properties. Though the overall structure and function remains similar, the new protocol re-formulates and heavily revises the original to address flaws our formal analysis revealed, and to provide a modular framework for defining and rigorously reasoning about the DISSENT protocol’s nontrivial composition of verifiable shuffle and DC-nets techniques. While our primary focus is on hardening the DISSENT protocol through rigorous formal analysis, some of the techniques we develop may be of independent interest, such as our methods of modular reasoning and ensuring accountability throughout complex protocols, including capabilities to identify and *prove* the source of any disruption attempt without compromising other security properties.

For this improved protocol we are able to offer proofs of all three key security properties: integrity, accountability, and anonymity. Obtaining a provably secure protocol required a surprising amount of additional work given the relative simplicity and maturity of the underlying ideas. However, as observed by Wikström (2004), the complexity of anonymous communication protocols has frequently resulted in incomplete proofs and subtle errors (see further discussion in Section 2). Section 3.4 discusses in greater detail the discovered flaws and the resulting changes to the protocol.

The main contributions of this paper, therefore, are (1) we provide a full description of an improved and hardened DISSENT protocol, (2) we present precise formal definitions of its security properties, and (3) we give rigorous proofs that the protocol satisfies those definitions.

Section 3 outlines DISSENT’s framework, Section 4 defines the security model and DISSENT’s properties, and Section 5 lists the technical preliminaries. Sections 6 and 7 describe the GMP-SHUFFLE protocol and the GMP-BULK transfer protocol respectively. Section 8 provides formal proofs of the security properties. Section 2 summarizes related work, and Section 9 concludes.

## 2 Related Work

DISSENT’s shuffle protocol builds on an anonymous data collection protocol by Brickell and Shmatikov (2006), adding accountability via new go/no-go and blame phases. DISSENT’s bulk protocol is inspired by DC-nets (Chaum 1988), an information coding approach to anonymity.

DC-nets traditionally require nondeterministic “reservation” schemes to allocate the anonymous channel’s communication bandwidth, however, and are difficult to protect against anonymous DoS attacks by malicious group members. Strategies exist to strengthen DC-nets against DoS attacks (Waidner and Pfitzmann 1989b; Golle and Juels 2004), or to form new groups when an attack is detected (Sirer, Goel, Robson, and Engin 2004). DISSENT’s use of a shuffle protocol to set up a *deterministic* DC-nets instance, however, cleanly avoids these DoS vulnerabilities while providing

the additional guarantee that each member sends *exactly* one message per protocol run, a useful property for holding votes or assigning 1-to-1 pseudonyms.

Mix networks (Chaum 1981) offer high-latency but practical anonymous communication, and can be adapted to group broadcast (Perng, Reiter, and Wang 2006). Unfortunately, for many mix-network designs, anonymity is vulnerable to traffic analysis (Serjantov, Dingledine, and Syverson 2003) and performance is vulnerable to active disruption (Dingledine and Syverson 2002; Iwanik, Klonowski, and Kutylowski 2004). Cryptographically-verifiable mixes (Neff 2001; Furukawa and Sako 2001; Adida 2006) are a possible solution to disruption attacks and a potential alternative to our shuffle protocol. However, verifiable shuffles alone generally verify only a shuffle’s *correctness* (i.e., that it is a permutation), and not its *randomness* (i.e., that it ensures anonymity). All existing techniques of which we are aware to assure a shuffle’s randomness and anonymity, in the presence of compromised members, require passing a batch of messages through a series of independent shuffles, as in DISSENT or mix-networks (Dingledine, Shmatikov, and Syverson 2004).

Low-latency designs can provide fast and efficient communication supporting a wide variety of applications, but they typically provide much weaker anonymity than DISSENT. For example, onion routing (Goldschlag, Reed, and Syverson 1999; Dingledine, Mathewson, and Syverson 2004), a well-known and practical approach to general anonymous communication on the Internet, is vulnerable to traffic analysis by adversaries who can observe streams going into and out of the network (Syverson, Tsudik, Reed, and Landwehr 2000). Similarly, Crowds (Reiter and Rubin 1999) is vulnerable to statistical traffic analysis when an attacker can monitor many points across the network. Herbivore (Goel, Robson, Polte, and Siret 2003) provides unconditional anonymity, but only within a small subgroup of all participants.  $k$ -anonymous transmission protocols (von Ahn, Bortz, and Hopper 2003) provide anonymity only when most members of a group are honest.

We thus observe tradeoffs among security, efficiency, and possible applications. Further, many cryptographic attacks have been discovered against specific anonymity protocols. These protocols are often complex and contain subtle flaws in design, security proofs, or security definitions. For example, many attacks have been identified against mix-network schemes, some against schemes that offered proofs of security. A simple yet powerful attack against one scheme (Park, Itoh, and Kurosawa 1994) trivially breaks an honest member’s anonymity if an attacker can create a ciphertext related to that member’s ciphertext (Pfitzmann 1994; Pfitzmann and Pfitzmann 1990). An attack on the integrity of a scheme claimed to be probably secure (Jakobsson 1998) was given by Mitomo and Kurosawa (2000). A corrupted mix server can alter intermediate ciphertexts, affecting the corresponding output messages, without being detected later on. Several attacks on the anonymity and robustness of another scheme (Golle, Zhong, Boneh, Jakobsson, and Juels 2002) claimed secure were presented by Wikström (2003). These attacks frequently exploited previously identified general design flaws (Pfitzmann and Pfitzmann 1990; Pfitzmann 1994; Desmedt and Kurosawa 2000) (Pfitzmann 1994; Desmedt and Kurosawa 2000) as well as the ability of mix servers to use incorrect and specially-prepared inputs. Abe and Imai (2003) described two anonymity attacks on mix-net designs (Jakobsson and Juels 2001; Golle, Zhong, Boneh, Jakobsson, and Juels 2002), possible when members collude with a server and even with completely-honest mix servers and later on pointed out (Abe and Imai 2006) that some flaws are related to weak security definitions. Even newly proposed schemes still succumb to previous attacks. A recent work of Khazaei, Terelius, and Wikström (2012) points out flaws in the design of Allepuz and Castello (2010) that facilitate attacks against anonymity and integrity, some of which are based on previously-described attacks (Pfitzmann 1994).

Finally, there are several ways in which anonymity protocols have provided some notion of accountability. In general, they may offer accountability either for protocol violations or for undesirable content or behavior (Feigenbaum, Hendler, Jaggard, Weitzner, and Wright 2011). DISSENT and other protocols based on DC-nets (Waidner and Pfitzmann 1989a; Golle and Juels 2004), and verifiable shuffles (Neff 2003; Khazaei, Moran, and Wikström 2012; Bayer and Groth 2012) aim to hold users accountable for protocol violations. Each client remains anonymous unless he misbehaves by breaking the rules of the protocol. In contrast, some other anonymity protocols (von Ahn, Bortz, Hopper, and O'Neill 2006; Diaz and Preneel 2007; Backes, Clark, Kate, Simeonovski, and Druschel 2014) attempt to unmask a client's identity if the client's actions or the contents of his messages are unacceptable or unpopular, when a set of explicitly or implicitly defined parties agrees to.

### 3 Informal Protocol Overview

DISSENT is designed to be used in a group setting. Each member  $i$  of a group is associated with a long-term public signature key pair  $(u_i, v_i)$ , where  $u_i$  is the private signing key and  $v_i$  is the public verification key. We assume the signature key pair represents each member's public identity, and that members cannot easily obtain such identities. This assumption makes DISSENT's accountability property enforceable, so that an exposed misbehaving member cannot trivially leave and rejoin the group under a new (public) identity. Members can obtain such identities from trusted certification authorities, or agree among themselves on a static set of group members and corresponding signature keys. Specific approaches to group formulation, however, are out of scope of this paper.

DISSENT provides a *shuffled send* communication primitive that ensures sender anonymity among the group. During each protocol run, every group member  $i$  secretly creates a message  $m_i$  and submits it to the protocol. The protocol effectively collects all secret messages, shuffles their order according to some random permutation  $\pi$  that *no one* knows, and broadcasts the resulting sequence of messages to all members. Each input message  $m_i$  can have a different length  $L_i$ .

We present a messaging interface, called the General Messaging Protocol, that DISSENT implements. DISSENT in fact defines two protocols implementing this interface: the GMP-SHUFFLE protocol provides anonymous communication for fixed-length messages, and the GMP-BULK protocol builds on this to provide efficient anonymous communication of arbitrary-length messages.

#### 3.1 The General Messaging Protocol

A Group Messaging Protocol GMP is a 3-tuple of the following algorithms:  $\text{SETUP}(v_i)$ ,  $\text{ANONYMIZE}(m_i, K, n_R, \tau, k_h, f_i)$  and  $\text{VERIFY-PROOF}(p_j, \ell_i)$ . All group members collectively run the  $\text{SETUP}$  and  $\text{ANONYMIZE}$  algorithms on their own inputs, while anyone, including users other than group members, can independently run the  $\text{VERIFY-PROOF}$  algorithm.

$\text{SETUP}$  takes a member's public verification key  $v_i$  as input and outputs one or more session nonces  $n_R$ , a set  $K$  of all members' verification keys, a well-known ordering of members  $\tau$ , a hash key  $k_h$  and optionally a message length  $L$ . All group members run the  $\text{SETUP}$  algorithm before each protocol run to agree on common parameters. Such agreement might be achieved via Paxos (Lamport 1998) or BFT (Castro and Liskov 1999). We emphasize that  $\text{SETUP}$  does not generate members' signature key pairs or create a binding between a user's identity and his signature key pair;

rather, it uses long-term verification keys submitted by each member and allows group members to agree on the set  $K$  of verification keys for a particular protocol run.

ANONYMIZE takes a message  $m_i$ , a set  $K$  of members' verification keys, one or more round nonces  $n_R$ , an ordering of members  $\tau$ , a hash key  $k_h$ , and optionally a flag  $f_i$  as input, and outputs either (SUCCESS,  $M'_i$ ), where  $M'_i$  is a set of messages, or (FAILURE, BLAME $_i$ ,  $\ell_i$ ), where BLAME $_i$  is a set of observed misbehaviors, and  $\ell_i$  is a log of a protocol run. The goal of ANONYMIZE is to broadcast anonymously the set of messages submitted by group members. If a protocol run succeeds from a given member's perspective, then she outputs the anonymized messages. Otherwise, the protocol run fails and the group member produces a set of *blame* proofs that verifiably reveal at least one misbehaving member responsible for causing the failure. In the security properties to be defined below we will demand that ANONYMIZE always *either* succeeds completely *or* produces a valid blame proof on failure; this guarantee of *accountability* is both one of DISSENT's key points of novelty and the source of some of the most difficult technical challenges this paper addresses.

VERIFY-PROOF takes a proof  $p_j$  of a member  $j$ 's misbehavior and a log  $\ell_i$  as input, and outputs either TRUE if  $p_j$  indeed proves that  $j$  misbehaved given the protocol history represented by log  $\ell_i$ , or FALSE otherwise. Any third party can use VERIFY-PROOF to check a proof of  $j$ 's misbehavior.

### 3.2 The GMP-Shuffle Protocol

The GMP-SHUFFLE protocol enables the anonymous exchange of equally sized messages. However, it incurs extra communication if only one member wishes to send, and its decrypt-and-shuffle phase is inherently serial. GMP-SHUFFLE builds on a data mining protocol by Brickell and Shmatikov (Brickell and Shmatikov 2006) to broadcast the input set of messages, one from each group member, providing cryptographically strong anonymity. Like many anonymous messaging protocols, the original data mining protocol was vulnerable to untraceable denial-of-service (DoS) attacks by malicious group members. We remove this vulnerability by adding *go/no-go* and *blame* phases, which can trace and hold accountable any group member disrupting the protocol.

GMP-SHUFFLE consists of three algorithms: SETUP-S, ANONYMIZE-S, and VERIFY-PROOF-S. All group members run SETUP-S to agree on common parameters for ANONYMIZE-S. During ANONYMIZE-S, members first establish ephemeral inner and outer encryption keys, then each member doubly onion-encrypts his secret message using the inner and outer public keys of all members. After collectively shuffling all encrypted messages and removing the outer layers of encryption, members verify that the resulting set includes each member's inner encryption of their message and no member observed any failures thus far. If all steps are performed correctly, members reveal their inner private keys, allowing each member to recover the full set of secret messages and successfully complete the protocol. However, if any member observes misbehavior at any step of the protocol, the protocol fails for that member. Following a failure, members perform a blame procedure whose goal is to identify at least one culprit member and to produce a verifiable proof of his misbehavior. To facilitate the blame process, all members always exchange their protocol logs, and those who did not reveal their inner private keys share their outer private keys, allowing each member to trace the protocol's execution. Although members reveal full logs, each member's anonymity is protected since honest members never reveal both private keys. Therefore, a member can always perform the blame procedure and produce proofs of misbehavior regardless of how the protocol completed for other members. Afterwards, anyone can run VERIFY-PROOF-S to validate purported proof(s) of any member's misbehavior in ANONYMIZE-S.

Section 6 details the GMP-SHUFFLE protocol and Section 8 proves its security.

### 3.3 The GMP-Bulk Protocol

The GMP-BULK protocol uses ideas from DC-nets to transmit variable-length messages anonymously, but leverages the GMP-SHUFFLE protocol to prearrange the DC-nets transmission schedule, guaranteeing each member exactly one message slot per round. GMP-BULK also reuses GMP-SHUFFLE to broadcast anonymous *accusations*, to blame a culprit who may have caused a protocol failure.

Like GMP-SHUFFLE, GMP-BULK consists of three algorithms, SETUP-B, ANONYMIZE-B, and VERIFY-PROOF-B. All members use SETUP-B to agree on common parameters for any given protocol round and VERIFY-PROOF-B to verify proofs of misbehavior produced in ANONYMIZE-B. During ANONYMIZE-B, each member creates and anonymously broadcasts via ANONYMIZE-S a *message descriptor*, which defines pseudorandom sequences all *other* members must send in a subsequent DC-nets exchange, such that XORing all sequences together yields a permuted set of secret messages. Cryptographic hashes in the message descriptors enable members to verify the correctness of each others' bulk transmissions, ensuring message integrity and accountability throughout. A successful protocol run allows a member to recover all secret messages of honest members. If any member observes a failure at any step, however, he prepares and shares with other members an accusation naming the culprit member. All members, including those who did not observe any failures, participate in the blame phase to give each member an opportunity to broadcast an anonymous accusation via ANONYMIZE-S (anonymity is needed because some accusations can only be formed by the owner of a corrupted message) and distribute evidence to support the accusation. After validating accusations, members who experienced failures perform the blame procedure to find at least one faulty member and produce a proof of his misbehavior, exposing the culprit member.

The GMP-BULK protocol is detailed in Section 7 and Section 8 proves its security.

### 3.4 Comparison to the Original DISSENT Protocol

In analyzing the original DISSENT protocol we identified several attacks, which this paper fixes. Anonymity could be broken by replaying protocol inputs in subsequent rounds, by providing incorrect ciphertexts to some members at certain points and correct ones to the rest, or by copying ciphertexts at other points. Accountability for disruption could be avoided by copying protocol inputs from honest members, and dishonest members could falsely accuse honest ones by rearranging valid signed messages to create phony logs. Finally, through equivocation a dishonest member could cause some honest members to terminate successfully and skip the blame process, while other honest members observe failure but are unable to terminate the protocol with a valid blame proof. See the appendix for more details of these attacks, pointing out classes of subtle flaws that may affect other protocols as well.

To fix these flaws, we made several non-trivial modifications to the original protocol. To prevent replay attacks we added key generation steps (GMP-SHUFFLE Phase 1 and GMP-BULK Phases 1a and 1b). To prevent equivocation attacks, where a member sends different versions of a message instead of broadcasting it to all members, we added rebroadcast steps (GMP-BULK Phase 5), and have members intentionally cause intermediate protocol failures (GMP-BULK Phases 3 and 7) when equivocation is observed. We add non-malleable commitments (GMP-SHUFFLE Phases 2a and 2b) to prevent submission duplication, and we add phase numbers to prevent log forgery. Finally, to



prevent non-termination of the protocol, we make all steps non-optional, in particular including an opportunity for blame at the end of every execution to ensure accountability.

The protocol changes result add a communication overhead of four broadcasts to GMP-SHUFFLE. For GMP-BULK, the overhead is four broadcasts plus the messages exchanged as a part of ANONYMIZE-A in Phase 7, which is now non-optional. The most significant cost in practice comes from always running ANONYMIZE-S in GMP-BULK Phase 7, due to its serialized structure, which we found to be dominant in related experiments (Corrigan-Gibbs, Wolinsky, and Ford 2013).

## 4 Security Model and Definitions

We model the adversary with a probabilistic polynomial-time Turing machine  $A$ . We allow him to control a fixed subset of  $k$  group members. We call the members that he controls *dishonest* and the members that he does not control *honest*. We suppose that the members communicate using non-private but authenticated channels. That is, a message that appears to  $i$  to be from member  $j$  is guaranteed to be from  $j$ , but the adversary can observe all such messages when they are sent. We also give the adversary access to member outputs.

The security properties we wish the protocol to satisfy are *integrity*, *accountability*, and *anonymity*. The definitions we give of these are precise versions of the notions used by Corrigan-Gibbs and Ford (2010). We express these properties as games between the adversary  $A$  and a challenger  $C$  or  $C(b)$ , where  $b \in \{0, 1\}$  will be a hidden bit input to algorithm  $C$ . We denote the adversary’s output from this game with  $A^C$ . For all games,  $C$  executes the protocol with  $A$  by running the protocol algorithm for each honest member and allowing  $A$  to act as the dishonest members. When any message is sent from an honest member,  $C$  also sends a copy of the message with its source and destination to  $A$ .  $C$  also sends protocol outputs of honest members to the adversary. Our definitions are round-based and allow the adversary to execute arbitrary sequential executions of the protocol. There is an implicit initial step of all games in which the challenger generates long-term signature key pairs  $(u_i, v_i)$  for each honest member  $i$ . In general, our definitions require that the adversary “win” the security games with *negligible* probability, that is, with probability that goes to zero with the security parameter asymptotically faster than any inverse polynomial. Output probabilities are taken over the randomness of both the adversary and challenger.

The *integrity game* for protocol GMP is as follows:

1. As many times as  $A$  requests,  $C$  takes message inputs for the honest members from  $A$  and uses them to execute GMP with  $A$ .
2.  $A$  and  $C$  execute a challenge run of GMP for which  $C$  takes message inputs for the honest members from  $A$ .
3.  $C$  outputs 1 if, at any time after the start of the challenge round, (i) an honest member  $i$  outputs (SUCCESS,  $M'_i$ ) such that  $M'_i$  does not contain exactly  $N$  messages or does not include the multiset of input messages from the honest members, or (ii) two honest members  $i$  and  $j$  produce outputs (SUCCESS,  $M'_i$ ) and (SUCCESS,  $M'_j$ ) such that  $M'_i$  and  $M'_j$  contain different messages or contain a different ordering of the messages. Otherwise, once all honest members complete,  $C$  outputs 0.

**Definition 1.** *A protocol offers integrity if the challenger output in the integrity game is 1 with negligible probability.*

The *accountability game* for protocol GMP is as follows:

1. As many times as  $A$  requests,  $C$  takes message inputs for the honest members from  $A$  and uses them to execute GMP with  $A$ .
2.  $A$  and  $C$  execute a challenge run of GMP for which  $C$  takes message inputs for the honest members from  $A$ .
3. As many times as  $A$  requests,  $C$  takes message inputs for the honest members from  $A$  and uses them to execute GMP with  $A$ .
4.  $C$  outputs 1 if (i) at the end of the challenge run an honest member  $i$  produces an output of (FAILURE, BLAME $_i$ ,  $\ell_i$ ), where BLAME $_i$  is empty or contains  $p_j \in \text{BLAME}_i$  such that  $\text{VERIFY-PROOF}(p_j, \ell_i) \neq \text{TRUE}$ , or (ii) at any time after the challenge run starts  $A$  sends  $C$  (FAILURE, BLAME $_i$ ,  $\ell_i$ ) such that  $p_j \in \text{BLAME}_i$  for honest  $j$ ,  $\text{VERIFY-PROOF}(p_j, \ell_i) = \text{TRUE}$ , and the output of SETUP in  $\ell_i$  includes the nonce of the challenge round and assigns the long-term verification key  $v_j$  to  $j$ . Otherwise  $C$  outputs 0 once all protocol runs are completed.

**Definition 2.** A protocol offers accountability if the challenger output in the accountability game is 1 with negligible probability.

We use the *anonymity game* described by Brickell and Shmatikov (2006). Note that this definition will only make sense for an adversary of size  $0 \leq k \leq N - 2$ .

1. As many times as  $A$  requests,  $C(b)$  takes message inputs for the honest members from  $A$  and uses them to execute the protocol with  $A$ .
2.  $A$  chooses two honest participants  $\alpha$  and  $\beta$  and two message inputs  $m_0^c$  and  $m_1^c$ . He also chooses message inputs  $m_h$  for each honest member  $h \notin \{\alpha, \beta\}$  and sends them to  $C(b)$ .
3.  $C(b)$  assigns  $m_\alpha = m_b^c$  and  $m_\beta = m_{1-b}^c$ .
4.  $A$  and  $C(b)$  execute the protocol.
5. As many times as  $A$  requests,  $C(b)$  takes message inputs for the honest members from  $A$  and uses them to execute the protocol with  $A$ .
6. The adversary outputs a guess  $\hat{b} \in \{0, 1\}$  for the value of  $b$ .

The adversary's *advantage* in the anonymity game is equal to  $|Pr [A^{C(0)} = 1] - Pr [A^{C(1)} = 1]|$ .

**Definition 3.** A protocol maintains anonymity if the advantage in the anonymity game is negligible.

We note that this definition implies anonymity among all honest users and not just pairs, because for any pair of assignments of honest messages to honest users, we can turn one assignment into the other via a sequence of pairwise swaps, each of which are guaranteed by the definition to change the adversary's output distribution by a negligible amount. We observe that these properties do not imply that the protocol completes for all members, and, in fact, we cannot guarantee that DISSENT terminates if a member stops participating at some point. However, the protocol execution is very simple: a fixed sequence of phases during which all members send no message or all send one message. If a properly signed message indicating the desired protocol run and phase is

received from every member, the protocol proceeds to the next round. Therefore every member knows when another should send a message, and thus gossip techniques such as those used in Peer-Review (Haeberlen, Kouznetsov, and Druschel 2007) should be applicable via a wrapper protocol to ensure liveness. Moreover, we note that when every member follows the protocol, not only does it complete but it succeeds.

## 5 Technical Preliminaries

### 5.1 Definitions

Member  $i$  *broadcasts* a message by sending it to all other members. A dishonest member might *equivocate* during a broadcast by sending different messages to different members. A run of GMP *succeeds* for member  $i$  if the ANONYMIZE algorithm terminates with output (SUCCESS,  $M'_i$ ), and it *fails* if the ANONYMIZE algorithm terminates with output (FAILURE, BLAME $_i$ ,  $\ell_i$ ).

### 5.2 Cryptographic Primitives and Security Assumptions

DISSENT makes use of several cryptographic tools, and its security depends on certain assumptions about their security.

#### 5.2.1 Hash functions

We use a standard definition (Stinson 2005) of a *keyed hash function* and will denote the hash of message  $m$  using key  $k_h$  as  $\text{HASH}_{k_h}\{m\}$ . We assume that the hash function used is collision resistant (Rogaway and Shrimpton 2004).

**Definition 4.** *A hash function is collision resistant if it is computationally infeasible to find any two inputs with the same hash value, i.e. to find  $x' \neq x$  such that  $h(x) = h(x')$ .*

#### 5.2.2 Encryption

We use a *cryptosystem* that consists of: (i) a key generation algorithm taking a security parameter  $\rho$  and producing a private/public key pair  $(x, y)$ ; (ii) an encryption algorithm taking public key  $y$ , plaintext  $m$ , and some random bits  $R$ , and producing a ciphertext  $c = \{m\}_y^R$ ; (iii) a deterministic decryption algorithm taking private key  $x$  and ciphertext  $c$ , and returning the plaintext  $m$ . A member can save the random bits  $R$  used during encryption. The notation  $c = \{m\}_{y_1: y_N}^{R_1: R_N}$  indicates iterated encryption via multiple keys:  $c = \{\dots \{m\}_{y_1}^{R_1} \dots\}_{y_N}^{R_N}$ . We omit  $R$  when an encryption's random inputs need not be saved. We assume that the underlying public-key cryptosystem provides indistinguishable ciphertexts against a chosen-ciphertext attack, that is, that the cryptosystem is IND-CCA2 secure (Bellare, Desai, Pointcheval, and Rogaway 1998). We also assume that members can check an arbitrary  $(x, y)$  purported to be a key pair to verify that it could have been generated by the specified key generation algorithm. We describe a ciphertext as *invalid* when it can be recognized with no private information that decryption would result in an error. This includes as an important special case the value  $\perp$ , which is the output upon a decryption error.

**Definition 5.** *A cryptosystem is IND-CCA2 if, for all probabilistic polynomial-time adversaries, the advantage in the distinguishing game is negligible as a function of the security parameter  $\rho$ .*

The *distinguishing game* (Bellare, Desai, Pointcheval, and Rogaway 1998; Brickell and Shmatikov 2006) is played between an adversary  $A$  and a challenger  $C$  who takes as input the challenge bit  $b$ .

1. The challenger  $C$  uses  $\rho$  to generate a key pair  $(x, y)$  and gives the public key  $y$  to the adversary  $A$ .
2.  $A$  may encrypt polynomially many messages  $m$  using  $y$  and decrypt polynomially many arbitrary ciphertexts  $c$ . To decrypt a ciphertext  $c = \{m\}_y$ ,  $A$  queries  $c$  to  $C$ , who sends back  $m = \{c\}_x$ .
3. Eventually,  $A$  chooses two messages  $m_0$  and  $m_1$  and sends them to  $C$ .
4.  $C$  computes  $c_b = \{m_b\}_y$  and sends it to  $A$ .
5.  $A$  may perform polynomially many encryptions of any  $m$ , and polynomially many decryptions of any ciphertexts  $c$ , provided that  $c \neq c_b$ .
6.  $A$  outputs a guess  $\hat{b} \in \{0, 1\}$  for the value of  $b$ .

The adversary's *advantage* in the distinguishing game is equal to

$$\left| Pr \left[ A^{C(0)} = 1 \right] - Pr \left[ A^{C(1)} = 1 \right] \right|,$$

where the probability is taken over the randomness of the adversary and the challenger.

### 5.2.3 Digital Signatures

We use a *signature scheme* that consists of: (i) a key generation algorithm taking a security parameter  $\rho$  and producing a private/public key pair  $(u, v)$ ; (ii) a signing algorithm taking private key  $u$  and message  $m$  to produce signature  $\sigma = \text{SIG}_u\{m\}$ ; and (iii) a deterministic verification algorithm taking public key  $v$ , message  $m$ , and candidate signature  $\sigma$ , and returning true if  $\sigma$  is a correct signature of  $m$  using  $v$ 's associated private key  $u$ . The notation  $\{m\}\text{SIG}_u$  indicates the concatenation of message  $m$  with the signature  $\text{SIG}_u\{m\}$ . We assume that the underlying digital signature scheme provides existential unforgeability under an adaptive chosen message attack, that is, that it is EUF-CMA secure (Goldwasser, Micali, and Rivest 1995).

**Definition 6.** A digital signing scheme is EUF-CMA secure if, for all probabilistic polynomial-time adversaries, the adversary's advantage in the forging game is negligible as a function of the security parameter  $\rho$ .

The *forging game* is played between an adversary  $A$  and a challenger  $C$ . It is equivalent to a standard EUF-CMA game.

1. The challenger  $C$  uses  $\rho$  to generate a key pair  $(x, y)$  and gives the public key  $y$  to the adversary  $A$ .
2.  $A$  may request signatures on polynomially many messages.  $A$  chooses a message  $m$  and sends it to  $C$ , who sends back  $\sigma$ , a signature on  $m$  under  $y$ .  $A$  is allowed to query  $C$  in an adaptive fashion.

3. Eventually,  $A$  outputs a pair  $(m', \sigma')$ .

The adversary wins the forging game if  $(m', \sigma')$  is a valid message- signature pair under  $y$  assuming that  $m'$  has never been queried to the challenger. The adversary's advantage is simply the probability of winning the forging game, where the probability is taken over the randomness of the adversary and the challenger.

#### 5.2.4 Pseudo-random Number Generator

We use a standard definition (Stinson 2005) of a *pseudorandom number generator* (PRNG). Let  $g(s)$  be a pseudo-random number generator, where  $s$  is a seed. We will denote the first  $L$  bits generated from  $g(s)$  as  $\text{PRNG}\{L, s\}$ .

**Definition 7.** A function  $g : \{0, 1\}^{\ell_1(\rho)} \rightarrow \{0, 1\}^{\ell_2(\rho)}$  is a *pseudorandom number generator* if, for all probabilistic polynomial-time adversaries, the adversary's advantage in the pseudorandomness game is negligible as a function of the security parameter  $\rho$ .

The *pseudorandomness game* is played between an adversary  $A$  and a challenger  $C(b)$ .

1. If  $b = 0$ ,  $C$  chooses  $s \in \{0, 1\}^{\ell_1(\rho)}$  uniformly at random and sets  $r = g(s)$ . If  $b = 1$ ,  $C$  chooses  $r \in \{0, 1\}^{\ell_2(\rho)}$  uniformly at random.
2.  $C$  sends  $r$  to  $A$ .
3.  $A$  outputs a guess  $\hat{b} \in \{0, 1\}$  for the value of  $b$ .

The adversary's advantage in the pseudorandomness game is

$$\left| \Pr \left[ A^{C(0)} = 1 \right] - \Pr \left[ A^{C(1)} = 1 \right] \right|,$$

where the probability is taken over the randomness of the adversary and the challenger.

#### 5.2.5 Non-interactive Commitments

We use a *non-interactive commitment* that is concurrent non-malleable (Pandey, Pass, and Vaikuntanathan 2008). The notation  $x = \text{COMMIT}\{c\}$  indicates that  $x$  is a commitment to  $c$ , and the notation  $c = \text{OPEN}\{x\}$  indicates that  $c$  is the opening of the commitment  $x$ . We note that minor protocol modifications would allow interactive commitments instead.

#### 5.2.6 SETUP Consensus

We assume that the protocol used by SETUP produces a consensus output in the presence of the adversary. The adversary signals honest members to begin a SETUP round and can repeat with additional rounds. Each honest member  $i$  uses verification key  $v_i$  as the input. Our assumption is that, with probability 1 for every round, honest members that terminate produce the same output and that the output includes  $i$ ) nonces never used in a previous round,  $ii$ ) the key  $v_i$  for honest member  $i$  and some key for each dishonest member, and  $iii$ ) a uniformly random hash key.

## 6 GMP-Shuffle

The Group Messaging Protocol-Shuffle GMP-SHUFFLE is an instantiation of the Group Messaging Protocol and consists of three algorithms: SETUP-S, ANONYMIZE-S, and VERIFY-PROOF-S.

Before each protocol run, all members run the SETUP-S algorithm to agree on the common parameters needed for each run. One parameter thus determined is the fixed message length  $L$ . Each member  $i$  pads or trims input message  $m_i$  to length  $L$ . All members use the remaining parameters  $K$ ,  $n_R$ ,  $\tau$ , and  $k_h$  as inputs to ANONYMIZE-S. This algorithm also takes a fail flag  $f_i$  which is always set to FALSE when the algorithm is run as a part of GMP-SHUFFLE. The fail flag will sometimes be set to TRUE when ANONYMIZE-S is run as a part of GMP-BULK. Figure 1 shows the normal execution (solid lines) and failure-handling execution (dashed lines) of ANONYMIZE-S.

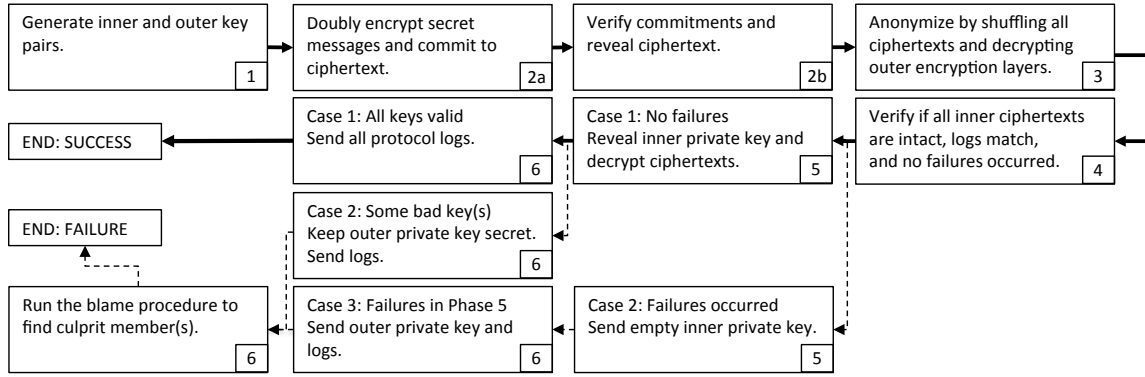


Figure 1: Flow of the ANONYMIZE-S algorithm

The VERIFY-PROOF-S algorithm is used to validate a proof of a member’s misbehavior produced upon a protocol failure.

### 6.1 The Setup-S Algorithm

SETUP-S( $v_i$ ) takes each member’s verification key  $v_i$  as input and outputs a session nonce  $n_R$ , a list  $K$  of all members’ verification keys, an ordering of members  $\tau$ , a fixed message length  $L$ , and a hash key  $k_h$ . As described in Section 3.1, this algorithm can be implemented using tools such as a standard consensus protocol.

### 6.2 The Anonymize-S Algorithm

The purpose of ANONYMIZE-S( $m_i, K, n_R, \tau, k_h, f_i$ ) when run by each member in a group on the collective input messages  $M$  is to produce anonymized messages  $M'$ .

A protocol run of ANONYMIZE-S succeeds for member  $i$  if an internal flag  $SUCCESS_i$  is set to TRUE after completion of ANONYMIZE-S and fails otherwise. After a successful completion of a protocol run, member  $i$  outputs  $(SUCCESS, M'_i)$ , where, as we show in Section 8,  $M'_i$  consists of  $N$  messages including every message submitted by an honest member. After a protocol failure, member  $i$  produces  $(FAILURE, BLAME_i, \ell_i)$ .  $BLAME_i$  includes proofs  $p_j = (j, c)$  for each member  $j$  for whom a check  $c$  of her behavior failed in Phase 6 from  $i$ ’s point of view. At least one of the

following checks always fails for some member  $j$  from  $i$ 's point of view provided that  $\text{SUCCESS}_i = \text{FALSE}$ . In such situation a proof  $p_j$  is added to  $\text{BLAME}_i$ . The checks are listed in the order they are applied by member  $i$  during the protocol. Each check is associated with a check number that ANONYMIZE-S uses to form a proof of a particular form of misbehavior, and VERIFY-PROOF-S uses to confirm a record of that misbehavior.

- Check 1 ( $c_1$ ): Incomplete log or equivocation (different versions of messages in released logs).
- Check 2 ( $c_2$ ): Mismatched inner key pair in Phase 5.
- Check 3 ( $c_3$ ): Empty inner private key in Phase 5 without a justifying  $\text{GO}_k = \text{FALSE}$  or broadcast-hash inequality.
- Check 4 ( $c_4$ ): Mismatched outer key pair or empty outer private key in Phase 6 regardless of a  $\text{GO}_k = \text{FALSE}$  message or broadcast-hash inequality.
- Check 5 ( $c_5$ ): Invalid public key in Phase 1.
- Check 6 ( $c_6$ ): Invalid commitment in Phase 2a.
- Check 7 ( $c_7$ ): Incorrect commitment or invalid ciphertext or identity in Phase 2b.
- Check 8 ( $c_8$ ): Incorrect set of permuted ciphertexts after decryption in Phase 3.
- Check 9 ( $c_9$ ): Invalid ciphertext(s) after decryption in Phase 3.
- Check 10 ( $c_{10}$ ): Duplicate ciphertext(s) after decryption in Phase 3.
- Check 11 ( $c_{11}$ ): Incorrect  $\text{GO}_j$  in Phase 4.
- Check 12 ( $c_{12}$ ): Incorrect broadcast hash in Phase 4.

For every member  $i$ , a complete log includes messages sent and received within SETUP-S and the following messages for each phase of ANONYMIZE-S:

- SETUP-S: All protocol messages.
- Phase 1: Sent:  $\mu_{i1}$ , received:  $\mu_{k1}$  for all  $k \neq i$ .
- Phase 2a: Sent:  $\mu_{i2a}$ , received:  $\mu_{k2a}$  for all  $k \neq i$ .
- Phase 2b: Sent:  $\mu_{i2b}$ , received: if  $i = 1$ , then  $\mu_{k2b}$  for all  $k \neq i$ , if  $i \neq 1$ , then no message.
- Phase 3: Sent:  $\mu_{i3}$ , received: if  $i = 1$ , then no message, if  $i \neq 1$ , then  $\mu_{(i-1)3}$ .
- Phase 4: Sent:  $\mu_{i4}$ , received:  $\mu_{k4}$  for all  $k \neq i$ .
- Phase 5: Sent:  $\mu_{i5}$ , received:  $\mu_{k5}$  for all  $k \neq i$ .
- Phase 6: Sent:  $\mu_{i6}$ , received:  $\mu_{k6}$  for all  $k \neq i$ .

*Algorithm description.* ANONYMIZE-S( $m_i, K, n_R, \tau, k_h, f_i$ )

- Phase 1: Generation of Inner and Outer Key Pairs.

Each member  $i$  chooses two ephemeral encryption key pairs  $(I_i^{sec}, I_i^{pub})$  and  $(O_i^{sec}, O_i^{pub})$ , and broadcasts

$$\mu_{i1} = \{I_i^{pub}, O_i^{pub}, n_R, 1, i\} \text{SIG}_{u_i}.$$

Member  $i$  verifies that the messages she receives contain valid public keys. If the verification fails, member  $i$  sets an internal flag  $\text{GO}_i$  to FALSE to indicate that a step of the protocol failed.

- Phase 2a: Data Commitment.

Each member  $i$  encrypts her datum  $m_i$  with all members' inner public keys, in reverse order from  $I_N^{pub}$  to  $I_1^{pub}$

$$C'_i = \{m_i\}_{I_N^{pub}:I_1^{pub}}.$$

Member  $i$  stores the inner ciphertext  $C'_i$  for later use, then further encrypts  $C'_i$  with all members' outer public keys to obtain the outer ciphertext

$$C_i = \{C'_i\}_{O_N^{pub}:O_1^{pub}}.$$

If a public key released by some member  $j$  was invalid,  $i$  generates and uses a random key for  $j$  to allow the protocol to go forward.

Now member  $i$  calculates a non-malleable commitment to  $C_i$  and  $i$

$$X_i = \text{COMMIT}\{C_i, i\}$$

and broadcasts

$$\mu_{i2a} = \{X_i, n_R, 2a, i\} \text{SIG}_{u_i}.$$

Member  $i$  waits to receive such a message from every other member and then verifies that they include valid commitments. If they do not,  $\text{GO}_i$  is set to FALSE.

- Phase 2b: Data Submission.

Member  $i$  sends member 1 an opening of her commitment

$$\mu_{i2b} = \{\text{OPEN}\{X_i\}, n_R, 2b, i\} \text{SIG}_{u_i}.$$

Member 1 verifies that each  $\mu_{i2b}$  successfully opens  $X_i$  and that the result is a valid ciphertext and  $i$ . If not, member 1 sets  $\text{GO}_1$  to FALSE.

- Phase 3: Anonymization.

Member 1 collects the results of opening the commitments into a vector  $\vec{C}_0 = (C_1, \dots, C_N)$ , randomly permutes its elements, then strips one layer of encryption from each ciphertext using private key  $O_1^{sec}$  to form  $\vec{C}_1$ . Member 1 sends to member 2

$$\mu_{13} = \{\vec{C}_1, n_R, 3, 1\} \text{SIG}_{u_1}.$$

Each member  $1 < i < N$  in turn accepts  $\vec{C}_{i-1}$ , permutes it randomly, strips one layer of encryption using key  $O_i^{sec}$  to form  $\vec{C}_i$ , then sends  $\mu_{i3} = \{\vec{C}_i, n_R, 3, i\} \text{SIG}_{u_i}$  to member  $i + 1$ . Member  $N$  similarly creates  $\mu_{N3}$  and broadcasts it to all members. Member  $i$  skips decryption for any invalid ciphertext in  $\vec{C}_{i-1}$ . Any member  $i$  who detects a duplicate or invalid ciphertext in  $\vec{C}_i$  sets  $\text{GO}_i$  to FALSE.



- Phase 4: Verification.

All members now hold  $\vec{C}_N$ , which should be a permutation of  $C'_1, \dots, C'_N$ . Each member  $i$  verifies that her own inner ciphertext  $C'_i$  is included in  $\vec{C}_N$  and sets  $GO_i$  to FALSE if not. If  $f_i = \text{TRUE}$  then member  $i$  always sets  $GO_i = \text{FALSE}$  regardless of the above verification. If  $f_i = \text{FALSE}$  and the  $GO_i$  flag has not yet been set to FALSE, it is now set to TRUE.

Each member  $i$  creates a vector  $\vec{B}$  of all broadcast messages - that is, messages for which identical copies should have been delivered to all members - from prior phases: all members' public key messages from phase 1, all members' commitment messages from phase 2a, and member  $N$ 's phase 3 message containing  $\vec{C}_N$ . Thus,  $\vec{B} = (\mu_{11}, \dots, \mu_{N1}, \mu_{12a}, \dots, \mu_{N2a}, \mu_{N3})$ . Member  $i$  broadcasts

$$\mu_{i4} = \{GO_i, \text{HASH}_{k_h}\{\vec{B}\}, n_R, 4, i\} \text{SIG}_{u_i}.$$

- Phase 5: Key Release and Decryption.

*Case 1.* If member  $i$  receives  $GO_j = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_i\}$  from *every* member  $j$ , and her  $GO_i = \text{TRUE}$ , then member  $i$  destroys her copy of  $C'_i$  and broadcasts her inner private key  $I_i^{sec}$  to all members

$$\mu_{i5} = \{I_i^{sec}, n_R, 5, i\} \text{SIG}_{u_i}.$$

Upon receiving messages from *every* other member, member  $i$  verifies that each non-empty inner private key  $I_j^{sec}$  is valid and corresponds to the public key  $I_j^{pub}$ . If member  $i$  receives at least one empty key or if any key pair fails the verification, then  $i$  sets the internal flag  $\text{SUCCESS}_i$  to FALSE.

Otherwise,  $\text{SUCCESS}_i$  is set to TRUE and member  $i$  removes the  $N$  levels of encryption from  $\vec{C}_N$ , resulting in  $M'_i = \{m'_1, \dots, m'_N\}$ , the anonymized set of messages submitted to the protocol.

*Case 2.* If member  $i$  received  $GO_j = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_j\} \neq \text{HASH}_{k_h}\{\vec{B}_i\}$  from *any* member  $j$ , or her own flag  $GO_i = \text{FALSE}$ , then member  $i$  destroys her inner private key  $I_i^{sec}$ , and sends to all members an empty string instead of her inner private key.

Member  $i$  broadcasts

$$\mu_{i5} = \{0, n_R, 5, i\} \text{SIG}_{u_i}$$

and sets the internal flag  $\text{SUCCESS}_i$  to FALSE.

- Phase 6: Blame.

*Case 1.* Member  $i$ 's  $\text{SUCCESS}_i = \text{TRUE}$ . In this case, member  $i$  acknowledges a successful completion of the protocol. Member  $i$  creates a vector  $\vec{T}$  of all signed messages she sent and received in Phases 1–5, and broadcasts

$$\mu_{i6} = \{\vec{T}, n_R, 6, i\} \text{SIG}_{u_i}.$$

Now, member  $i$  outputs  $(\text{SUCCESS}, M'_i)$ , which completes the protocol.

*Case 2.* Member  $i$ 's  $\text{SUCCESS}_i = \text{FALSE}$  and for *every* member  $j$   $GO_j = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_i\}$ .

Member  $i$  keeps her outer private key  $O_i^{pub}$  secret, and broadcasts an empty string instead of her key and a vector  $\vec{T}$  of all signed messages she sent and received in Phases 1–5

$$\mu_{i6} = \{0, \vec{T}, n_R, 6, i\} \text{SIG}_{u_i}.$$

*Case 3.* Member  $i$ 's  $\text{SUCCESS}_i = \text{FALSE}$  and for *any* member  $j$   $\text{GO}_j = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_j\} \neq \text{HASH}_{k_h}\{\vec{B}_i\}$ . Member  $i$  broadcasts her outer private key  $O_i^{sec}$ , permutation  $\pi_i$  and a vector  $\vec{T}$  of all signed messages she sent and received in Phases 1–5

$$\mu_{i6} = \{O_i^{sec}, \pi_i, \vec{T}, n_R, 6, i\} \text{SIG}_{u_i}.$$

Now, member  $i$  continues with the following steps if she executed Case 2 or Case 3. If member  $i$  executed Case 1, then the protocol has completed.

Upon receiving a message  $\mu_{j6}$  from every other member  $j$ , member  $i$  inspects every  $\log \vec{T}$  and discards any message in  $\vec{T}$  that is not properly signed or does not have the correct round or phase number. Then, member  $i$  verifies each member  $j$ 's  $\vec{T}$  to ensure that it contains all messages sent and received by  $j$  in Phases 1–5 as well as that the contents of all messages included in  $\vec{T}$  match the corresponding messages in the other  $\vec{T}$  logs of other members. For every member  $j$  whose  $\vec{T}$  is incomplete or for whom different versions of any message  $\mu_{j\phi}$  are revealed, member  $i$  sets  $p_j = (j, c_1)$ , where  $c_1$  indicates the failed check number, and adds  $p_j$  to  $\text{BLAME}_i$ . If there is an incomplete  $\vec{T}$  or an equivocation is observed, member  $i$  creates a log  $\ell_i$  of the protocol run that consists of all messages sent and received by  $i$  during SETUP-S and ANONYMIZE-S. Then, member  $i$  outputs  $(\text{FAILURE}, \text{BLAME}_i, \ell_i)$ , which concludes the protocol.

Otherwise, member  $i$  uses those messages in the  $\vec{T}$  logs but not sent to  $i$  to complete her view of Phases 1–5, and thus she proceeds to examine the remaining part of the protocol. She begins by verifying the inner and outer key pairs revealed by other members. Member  $i$  blames each member  $j$  who revealed his inner private key  $I_j^{sec}$  and for whom the verification of his key pair  $(I_j^{sec}, I_j^{pub})$  failed in Phase 5. Member  $i$  sets  $p_j = (j, c_2)$  and adds  $p_j$  to  $\text{BLAME}_i$ . Then, for every member  $j$  who sent an empty inner private key in Phase 5, member  $i$  checks the  $\text{GO}_k$  flags and broadcast hashes. Member  $i$  blames each member  $j$  whose inner private key was empty if there is no  $\text{GO}_k = \text{FALSE}$  or non-matching broadcast hash. Member  $i$  sets  $p_j = (j, c_3)$  and adds  $p_j$  to  $\text{BLAME}_i$ . For every member  $j$  who revealed his outer private key in Phase 6, member  $i$  checks if the outer private key  $O_j^{sec}$  is valid and corresponds to the outer public key  $O_j^{pub}$ . In addition, for every member  $j$  who sent an empty outer private key in Phase 6, member  $i$  checks the vector  $\vec{T}$  in  $\mu_{j6}$  of all messages sent and received by  $j$  to verify that she justifies not sending  $O_j^{sec}$  by showing that in Phase 4 every  $\text{GO} = \text{TRUE}$  and all broadcast hashes were the same. For every member  $j$  whose outer private key is invalid or non-matching, or who was not justified in withholding the outer private key, member  $i$  sets  $p_j = (j, c_4)$  and adds  $p_j$  to  $\text{BLAME}_i$ .

Member  $i$  continues by replaying the protocol from the perspective of every member  $j$  using that member's revealed messages and keys. Any member who does not follow the protocol given the messages she receives is added to  $\text{BLAME}_i$ . More precisely, member  $i$  examines the actions of the other members in each phase as follows:

- Sub-Phase 1: For every member  $j$  who sends an invalid public key, member  $i$  sets  $p_j = (j, c_5)$  and adds  $p_j$  to  $\text{BLAME}_i$ .
- Sub-Phase 2a: For every member  $j$  who sends an invalid commitment, member  $i$  sets  $p_j = (j, c_6)$  and adds  $p_j$  to  $\text{BLAME}_i$ .
- Sub-Phase 2b: For every member  $j$  who sends an opening that does not successfully open her commitment or that does not result in a valid ciphertext and identity  $j$ , member  $i$  sets  $p_j = (j, c_7)$  and adds  $p_j$  to  $\text{BLAME}_i$ .
- Sub-Phase 3: In the case that all outer private keys are revealed and all outer private keys correspond to the outer public keys, member  $i$  checks that every member  $j$  sends a permutation of the decrypted valid ciphertexts and the invalid ciphertexts as contained in  $C_{j-1}$ . For any member that fails this check, member  $i$  sets  $p_j = (j, c_8)$  and adds  $p_j$  to  $\text{BLAME}_i$ . Member  $i$  further checks that the submitted ciphertexts do not cause failures by producing duplicate or invalid ciphertexts after decryption. If the submitted ciphertext  $C_j$  of member  $j$  contains an invalid ciphertext after  $d$  decryptions,  $1 \leq d \leq N$ , then member  $i$  sets  $p_j = (j, c_9)$  and adds  $p_j$  to  $\text{BLAME}_i$ . If the submitted ciphertexts  $C_j$  and  $C_k$  of members  $j \neq k$  decrypt to the same ciphertext after  $d$  decryptions,  $1 \leq d \leq N$ , then member  $i$  blames members  $j$  and  $k$ . Member  $i$  sets  $p_j = (j, c_{10})$  and  $p_k = (k, c_{10})$ , and then adds  $p_j$  and  $p_k$  to  $\text{BLAME}_i$ .
- Sub-Phase 4: In the case that all outer private keys are revealed and all outer private keys correspond to the outer public keys, member  $i$  verifies that member  $j$  properly reported  $\text{GO}_j = \text{FALSE}$  based on the messages seen by  $j$  in Phases 1–3. At least one of the following checks must have failed from  $j$ 's point of view to justify a  $\text{GO}_j = \text{FALSE}$ .
  - \* Sub-Sub-Phase 1: Member  $i$  verifies the validity of public keys using messages  $(\mu_{11}, \dots, \mu_{N1})$  sent by all members.
  - \* Sub-Sub-Phase 2a: Member  $i$  verifies the correctness of the submitted commitments using  $(\mu_{12a}, \dots, \mu_{N2a})$ .
  - \* Sub-Sub-Phase 2b: (This check is done only for member 1) Member  $i$  verifies that the commitments correspond to the ciphertexts and that the resulting ciphertexts and identities are valid using  $(\mu_{12a}, \dots, \mu_{N2a})$  and  $(\mu_{12b}, \dots, \mu_{N2b})$ .
  - \* Sub-Sub-Phase 3: Member  $i$  verifies that there are no duplicate or invalid ciphertexts sent from  $j$  using  $\mu_{j3}$ .
  - \* Sub-Sub-Phase 4: Member  $i$  verifies that  $j$ 's inner ciphertext  $C'_j$  is included in  $\vec{C}_N$ . To determine  $C'_j$ , member  $i$  opens the commitment  $X_j$  and decrypts the resulting ciphertext with each of the outer private keys.

If all of the above checks were successful and  $\text{GO}_j = \text{FALSE}$ , then member  $i$  sets  $p_j = (j, c_{11})$  and adds  $p_j$  to  $\text{BLAME}_i$ .

In addition, member  $i$  checks if the  $\text{HASH}_{k_h}\{\vec{B}_j\}$  that she received in  $\mu_{j4}$  is correctly calculated from the broadcast messages. If not, member  $i$  sets  $p_j = (j, c_{12})$  and adds  $p_j$  to  $\text{BLAME}_i$ .

To conclude the protocol, member  $i$  creates a log  $\ell_i$  consisting of the messages sent and received during SETUP-S and ANONYMIZE-S and outputs (FAILURE, BLAME $_i$ ,  $\ell_i$ ).

### 6.3 Verify-Proof-S Algorithm

VERIFY-PROOF-S( $p_j, \ell_i$ ) is used to verify a member  $j$ 's misbehavior. The algorithm takes as input a proof  $p_j$  and a log  $\ell_i$ . It should be that  $p_j = (j, c)$ , where  $j$  is a member's identifier and  $c$  is the number of a check which failed for  $j$  from  $i$ 's point of view.  $\ell_i$  should be  $i$ 's log of a protocol run, including all messages sent and received by member  $i$  in SETUP-S and ANONYMIZE-S. VERIFY-PROOF-S outputs TRUE if  $p_j$  is a verifiable proof of  $j$ 's misbehavior based on  $\ell_i$  and FALSE otherwise.

#### 6.3.1 Algorithm description.

VERIFY-PROOF-S( $p_j, \ell_i$ )

- Step 1: Proof verification. Verify that  $p_j = (j, c)$ , where  $c$  is a valid check number and  $j$  is a valid member identifier. If so, then proceed to the next phase. Otherwise, output FALSE and stop.
- Step 2: Log verification. All messages included in log  $\ell_i$  are verified to ensure that signatures on the included messages are valid. Each message is checked to verify that it contains a correct round nonce given the execution of the SETUP-S algorithm and a correct phase number. All messages with invalid signatures, round nonces or phase numbers are discarded. If the resulting log does not include all messages that were supposed to have been sent and received by  $i$  during SETUP-S and ANONYMIZE-S, as described in the descriptions of those algorithms, then output FALSE and stop.

Otherwise, verify that the logs of all sent and received messages revealed in Phase 6 by every member  $j$  are complete and consistent. That is, for every message  $\mu_{j6}$ , consider the included vector  $\vec{T}$ . Discard any message in  $\vec{T}$  that is not properly signed or does not have the correct round or phase number, and inspect every  $\vec{T}$  to verify that it includes all messages sent and received in Phases 1–5. Then, for every message recorded as sent by one member and received by another, check that the contents match, and, for every message that is supposed to be a broadcast, check that the contents of all observed copies match. If any  $\vec{T}$  is incomplete or inconsistent and  $c \neq c_1$ , then output FALSE and stop. Otherwise, if  $c = c_1$  or all logs are complete and consistent, then proceed to the next phase.

- Step 3: Proof verification decision.  
If all  $\vec{T}$  logs were determined to be complete and consistent,  $\ell_i$  is augmented to contain all Phase 1–5 messages sent and received by all members. Otherwise,  $c = c_1$ , and a log  $\ell_i$  of just  $i$ 's perspective will be sufficient. The resulting  $\ell_i$  is examined as follows to verify that  $j$  failed check  $c$ :
  - If  $c = c_1$ , then we wish to verify that member  $j$  sent an incomplete  $\vec{T}$  or equivocated in the protocol.

Using message  $\mu_{j6}$ , which is either of the form  $\{\vec{T}, n_R, 6, j\}\text{SIG}_{u_j}$ ,  $\{0, \vec{T}, n_R, 6, j\}\text{SIG}_{u_j}$  or  $\{O_j^{sec}, \pi_j, \vec{T}, n_R, 6, j\}\text{SIG}_{u_j}$ , depending on  $j$ 's execution of the protocol, check if  $\vec{T}$  contains all messages sent and received by  $j$  in Phases 1–5 such that all messages are properly signed and include correct phase and round numbers. If it does not, then output TRUE and stop. Otherwise, using the logs  $\vec{T}$  in the messages  $\mu_{k6}$  of each member  $k$ , determine whether there exist copies of a message  $\mu_{j\phi}$  that are properly signed with correct round and phase numbers but have different contents. If such evidence of equivocation exists, then output TRUE and stop; else output FALSE and stop.

- If  $c = c_2$ , then we wish to verify that member  $j$  sent an invalid inner key pair.  
Check if  $j$  sent  $\mu_{j5}$  of the form  $\{I_j^{sec}, n_R, 5, j\}\text{SIG}_{u_j}$  in Phase 5. If not, then output FALSE and stop. If yes, then using messages  $\mu_{j1} = \{I_j^{pub}, O_j^{pub}, n_R, 1, j\}\text{SIG}_{u_j}$  and  $\mu_{j5}$ , check if  $I_j^{pub}$  and  $I_j^{sec}$  is a valid key pair under the chosen encryption scheme. If  $I_j^{sec}$  is invalid or does not match  $I_j^{pub}$ , then output TRUE and stop, else output FALSE and stop.
- If  $c = c_3$ , then we wish to verify that member  $j$  improperly sent an empty inner key in Phase 5.  
Check if  $j$  sent  $\mu_{j5}$  of the form  $\{0, n_R, 5, i\}\text{SIG}_{u_i}$  in Phase 5. If not, then output FALSE and stop. If so, then check each message  $\mu_{k4}$  for  $\text{GO}_k = \text{FALSE}$  or a non-matching  $\text{HASH}_{k_h}\{\vec{B}_k\}$ . If none are found, then output TRUE and stop; else output FALSE and stop.
- If  $c = c_4$ , then we wish to verify that member  $j$  sent an invalid outer key pair or improperly sent an empty outer private key in Phase 6.  
Check if  $j$  sent  $\mu_{j6}$  of the form  $\{O_j^{sec}, \vec{T}, n_R, 6, j\}\text{SIG}_{u_j}$  in Phase 6. If so, then using messages  $\mu_{j1} = \{I_j^{pub}, O_j^{pub}, n_R, 1, j\}\text{SIG}_{u_j}$  and  $\mu_{j6}$ , check whether  $O_j^{pub}$  and  $O_j^{sec}$  is a valid key pair. If  $O_j^{sec}$  is invalid or does not match  $O_j^{pub}$ , then output TRUE and stop.  
Otherwise, check if  $j$  sent  $\mu_{j6}$  of the form  $\{0, \vec{T}, n_R, 6, i\}\text{SIG}_{u_i}$ . If not, then output FALSE and stop. If so, then check if  $j$  received a message  $\mu_{k4}$  from some member  $k$  that included either a  $\text{GO}_k$  set to FALSE or a non-matching  $\text{HASH}_{k_h}\{\vec{B}_k\}$ . If so, then output TRUE and stop; else output FALSE and stop.
- If  $c = c_5$ , then we wish to verify that member  $j$  sent an invalid public key in Phase 1.  
Using  $\mu_{j1} = \{I_j^{pub}, O_j^{pub}, n_R, 1, j\}\text{SIG}_{u_j}$ , check if  $I_j^{pub}$  and  $O_j^{pub}$  are valid public keys. If  $I_j^{pub}$  or  $O_j^{pub}$  is not a valid key, then output TRUE and stop; else output FALSE and stop.
- If  $c = c_6$ , then we wish to verify that member  $j$  sent an invalid commitment in Phase 2a.  
Using  $\mu_{j2a} = \{X_j, n_R, 2a, j\}\text{SIG}_{u_j}$ , check whether  $X_j$  is a valid commitment. If it is not, then output TRUE and stop; else output FALSE and stop.
- If  $c = c_7$ , then we wish to verify that member  $j$ 's commitment is incorrect or results in an incorrect ciphertext or identity.  
Using  $\mu_{j2a} = \{X_j, n_R, 2a, j\}\text{SIG}_{u_j}$  and  $\mu_{j2b} = \{\text{OPEN}\{X_j\}, n_R, 2b, j\}\text{SIG}_{u_j}$ , check whether  $X_j$  matches  $\text{OPEN}\{X_j\}$  and results in a valid ciphertext. If  $X_j$  does not match

$\text{OPEN}\{X_j\}$  or does not yield a valid ciphertext and identity  $j$ , then output TRUE and stop, else output FALSE and stop.

- If  $c = c_8$ , then we wish to verify that member  $j$  did not send a permutation of decrypted ciphertexts in Phase 3.

Check if every member  $k$  sent  $\mu_{k6}$  of the form  $\{O_k^{sec}, \pi_k, \vec{T}, n_R, 6, k\}\text{SIG}_{u_k}$  in Phase 6. If not, then output FALSE and stop. If so, then using  $\mu_{k1} = \{I_k^{pub}, O_k^{pub}, n_R, 1, k\}\text{SIG}_{u_k}$  and  $\mu_{k6}$ , check if each member's outer keys  $O_k^{sec}$  and  $O_k^{pub}$  are valid and matching. If not, then output FALSE and stop. If so, then, using  $\mu_{(j-1)3} = \{\vec{C}_{j-1}, n_R, 3, j-1\}\text{SIG}_{u_{j-1}}$ ,  $\mu_{j3} = \{\vec{C}_j, n_R, 3, j\}\text{SIG}_{u_j}$ , and  $\mu_{j6}$ , check whether  $\vec{C}_j$  is a permutation of decrypted ciphertexts. That is, using  $\pi_j$ , permute the elements of the vector  $\vec{C}_{j-1}$  included in  $\mu_{(j-1)3}$ , then decrypt each valid ciphertext using  $O_j^{sec}$  and verify whether the resulting vector matches the vector in  $\mu_{j3}$ . If they do not match, then output TRUE and stop, else output FALSE and stop.

- If  $c = c_9$ , then we wish to verify that member  $j$ 's decrypted outer ciphertext  $C_j$  results in an invalid ciphertext.

Check if every member  $k$  sent  $\mu_{k6}$  of the form  $\{O_k^{sec}, \pi_k, \vec{T}, n_R, 6, k\}\text{SIG}_{u_k}$  in Phase 6. If not, then output FALSE and stop. If so, then using  $\mu_{k1} = \{I_k^{pub}, O_k^{pub}, n_R, 1, k\}\text{SIG}_{u_k}$  and  $\mu_{k6}$ , check if each member's outer keys  $O_k^{sec}$  and  $O_k^{pub}$  are valid and matching. If not, then output FALSE and stop. If so, then using  $\mu_{j2b} = \{\text{OPEN}\{X_j\}, n_R, 2b, j\}\text{SIG}_{u_j}$ , produce ciphertext  $C_j$ . Then use the outer private keys to iteratively remove the layers of encryption from the ciphertexts in  $C_j$ , verifying that a valid ciphertext is produced after every step. If at any point an invalid ciphertext is produced, then output TRUE and stop, else output FALSE and stop.

- If  $c = c_{10}$ , then we wish to verify that member  $j$ 's decrypted outer ciphertext  $C_j$  results in a duplicate ciphertext.

Check if every member sent  $\mu_{k6}$  of the form  $\{O_k^{sec}, \pi_k, \vec{T}, n_R, 6, k\}\text{SIG}_{u_k}$  in Phase 6. If not, then output FALSE and stop. If so, then using  $\mu_{k1} = \{I_k^{pub}, O_k^{pub}, n_R, 1, k\}\text{SIG}_{u_k}$  and  $\mu_{k6}$ , check if each member's outer keys  $O_k^{sec}$  and  $O_k^{pub}$  are valid and matching. If not, then output FALSE and stop. If so, then using the  $\mu_{k2b} = \{\text{OPEN}\{X_k\}, n_R, 2b, k\}\text{SIG}_{u_k}$  of every member  $k$ , produce the submitted ciphertexts  $C_k$ . Use the outer private keys to iteratively remove the layers of encryption from the valid ciphertexts in each  $C_k$ , and if at any point the result for  $C_j$  is the same as the result for some other  $C_k$ , then output TRUE and stop, else output FALSE and stop.

- If  $c = c_{11}$ , then we wish to verify that member  $j$  sent an incorrect  $\text{GO}_j$  in Phase 4.

Check if every member sent  $\mu_{k6}$  of the form  $\{O_k^{sec}, \pi_k, \vec{T}, n_R, 6, k\}\text{SIG}_{u_k}$  in Phase 6. If not, then output FALSE and stop. If so, then using  $\mu_{k1} = \{I_k^{pub}, O_k^{pub}, n_R, 1, k\}\text{SIG}_{u_k}$  and  $\mu_{k6}$ , check if each member's outer keys  $O_k^{sec}$  and  $O_k^{pub}$  are valid and matching. If not, then output FALSE and stop. If so, then check if  $\text{GO}_j = \text{FALSE}$  in  $\mu_{j4}$ . If not, then output FALSE and stop, else continue.

- \* A-S Phase 1: Using  $(\mu_{11}, \dots, \mu_{N1})$  check whether  $j$  received valid inner and outer public keys. If any key is invalid, then output FALSE and stop.

- \* A-S Phase 2a: Using  $(\mu_{12a}, \dots, \mu_{N2a})$  verify whether commitments  $(X_1, \dots, X_N)$  are valid. If any commitment is invalid, then output FALSE and stop.
  - \* A-S Phase 2b: If  $j = 1$ , then using  $(\mu_{12a}, \dots, \mu_{N2a})$  and  $(\mu_{12b}, \dots, \mu_{N2b})$  verify whether  $X_k$  matches  $\text{OPEN}\{X_k\}$  and results in a valid ciphertext and identity  $k$  for all  $k \in G$ . If any commitment does not properly open or results in an invalid ciphertext or identity, then output FALSE and stop.
  - \* A-S Phase 3: Using  $\mu_{j3}$ , check whether the contained set of ciphertexts includes duplicate or invalid ciphertexts. If there is an invalid or duplicate ciphertext, then output FALSE and stop.
  - \* A-S Phase 4: Using  $\mu_{j2b}$ ,  $(\mu_{16}, \dots, \mu_{N6})$ , and  $\mu_{N3}$  verify whether  $j$ 's inner ciphertext  $C'_j$  was included in  $\vec{C}_N$ . To determine  $C'_j$ , open the commitment  $X_j$  included in  $\mu_{j2b}$  and decrypt the resulting ciphertext with each of the outer private keys included in  $(\mu_{16}, \dots, \mu_{N6})$ . If the calculated  $C'_j$  was not included in  $C_N$ , then output FALSE and stop, else output TRUE and stop.
- If  $c = c_{12}$ , then we wish to verify that  $j$  sent an incorrect  $\text{HASH}_{k_h}\{\vec{B}\}$ . Calculate  $\vec{B}'$  using messages  $(\mu_{11}, \dots, \mu_{N1}, \mu_{12a}, \dots, \mu_{N2a}, \mu_{N3})$  received by  $j$ . Then, check whether  $\text{HASH}_{k_h}\{\vec{B}'\}$  matches the  $\text{HASH}_{k_h}\{\vec{B}\}$  included in  $\mu_{j4}$ . If  $\text{HASH}_{k_h}\{\vec{B}'\} \neq \text{HASH}_{k_h}\{\vec{B}\}$ , then output TRUE, else output FALSE.

## 7 GMP-Bulk

The Group Messaging Protocol-Bulk GMP-BULK is an instantiation of the Group Messaging Protocol and consists of three algorithms: SETUP-B, ANONYMIZE-B, and VERIFY-PROOF-B. Each member  $i$  submits a message  $m_i$  of variable length  $L_i$  to the ANONYMIZE-B protocol after all members run SETUP-B to agree on common protocol run parameters. The fail flag  $f_i$  is always set to FALSE for any execution of ANONYMIZE-B. Figure 2 shows the normal execution (solid lines) and failure-handling execution (dashed lines) of ANONYMIZE-B.

If a run of GMP-BULK completes, it can either succeed or fail. In case of a protocol failure the VERIFY-PROOF-B protocol is used to validate the proofs of member's misbehavior generated upon a protocol failure.

### 7.1 The Setup-B Algorithm

SETUP-B( $v_i$ ) takes each member's verification key  $v_i$  as input, and outputs a session nonce  $n_R$  identifying a run of ANONYMIZE-B, session nonces  $n_{R_1}$  and  $n_{R_2}$  identifying runs of ANONYMIZE-S in Phase 3 and Phase 7 of ANONYMIZE-B respectively, a list  $K$  of members' verification keys, an ordering of members  $\tau$ , and a hash key  $k_h$ . Since members submit messages of variable lengths, there is no need to agree on a fixed message length  $L$ . As described in Section 3.1, this algorithm can be implemented using tools such as a standard consensus protocol.

### 7.2 The Anonymize-B Algorithm

ANONYMIZE-B( $m_i, K, n_R, n_{R_1}, n_{R_2}, \tau, k_h$ ) takes a message  $m_i$  of variable length  $L$  and the output of SETUP-B as input. The algorithm operates in phases. Member  $i$  sends at most one unique message

$\mu_{i\phi}$  in phase  $\phi$ . If a protocol run succeeds, then member  $i$  outputs (SUCCESS,  $M'_i$ ), where, as we show in Section 8,  $M'_i$  consists of  $N$  messages including every message submitted by an honest member. If a protocol run fails, then member  $i$  produces (FAILURE,  $\text{BLAME}_i, \ell_i$ ).  $\text{BLAME}_i$  includes proofs  $p_j = (j, c)$  for each member  $j$  for whom a check  $c$  fails in Phase 7 from member  $i$ 's point of view. The checks in this phase are as follows, listed in the order they are applied by member  $i$  during the protocol. As before, each check is associated with a check number that ANONYMIZE-B uses to form a proof of a particular form of misbehavior, and VERIFY-PROOF-B uses to confirm a record of that misbehavior.

- Check 1 ( $c_1$ ): Equivocation in Phase 4 or Phase 5.
- Check 2 ( $c_2$ ): Failure of ANONYMIZE-S in Phase 3 or Phase 7 without justification.
- Check 3 ( $c_3$ ): Empty or incorrect ciphertext(s) sent in Phase 4.
- Check 4 ( $c_4$ ): Unverifiable proof included in the notification in Phase 4.
- Check 5 ( $c_5$ ): Invalid public key sent in Phase 1a.
- Check 6 ( $c_6$ ): Equivocation in Phase 1a.

The log  $\ell_i$  includes all messages sent and received by  $i$  during SETUP-B and ANONYMIZE-B as well as the output of ANONYMIZE-S in Phase 3 and Phase 7.

For every member  $j$ , a complete log  $\ell_j$  consists of the following messages.

- SETUP-B: All protocol messages.
- Phase 1a: Sent:  $\mu_{j1a}$ , received:  $\mu_{k1a}$  for all  $k \neq j$ .
- Phase 1b: Sent:  $\mu_{j1b}$ , received:  $\mu_{k1b}$  for all  $k \neq j$ .
- Phase 2: No messages.
- Phase 3: Sent:  $\mu_{j3}$  and all messages sent in shuffle, received:  $\mu_{k3}$  for all  $k \neq j$ , and all messages received in shuffle.  
ANONYMIZE-S output:  $M'_j = d'_1, \dots, d'_N$  if ANONYMIZE-S succeeds or  $\text{BLAME}_j^{s1}, \ell_j^{s1}$  if ANONYMIZE-S fails as well as all messages sent and received within the protocol.
- Phase 4: Sent:  $\mu_{j4}$ , received:  $\mu_{k4}$  for all  $k \neq j$ .
- Phase 5: Sent:  $\mu_{j5}$ , received:  $\mu_{k5}$  for all  $k \neq j$ .
- Phase 6: No messages.
- Phase 7: Sent:  $\mu_{j7}$  and all messages sent in shuffle; received:  $\mu_{k7}$  for all  $k \neq j$  and all messages received in shuffle.  
ANONYMIZE-S output:  $M'_j = A'_1, \dots, A'_N$  if ANONYMIZE-S succeeds or  $\text{BLAME}_j^{s2}, \ell_j^{s2}$  if ANONYMIZE-S fails as well as all messages sent and received within the protocol.

*Algorithm description.* ANONYMIZE-B( $m_i, K, n_R, n_{R_1}, n_{R_2}, \tau, k_h$ )



- Phase 1a: Session Key Pair Generation.

Each member  $i$  chooses an ephemeral encryption key pair  $(x_i, y_i)$  and broadcasts

$$\mu_{i1a} = \{y_i, n_R, 1a, i\} \text{SIG}_{u_i}.$$

- Phase 1b: Key Verification.

After receiving a public key from every member  $j$ , member  $i$  notifies other members about the set of keys she receives. Member  $i$  creates  $\vec{K}_i^e = \{\mu_{11a}, \dots, \mu_{N1a}\}$  and broadcasts

$$\mu_{i1b} = \{\vec{K}_i^e, n_R, 1b, i\} \text{SIG}_{u_i}.$$

- Phase 2: Message Descriptor Generation.

Member  $i$  creates a *message descriptor*  $d_i$  of a fixed length  $\Lambda_d$ . Member  $i$  sets  $L_i = 0$  if she does not wish to send a message in this protocol run and  $L_i$  to the desired message length if she wishes to send a message.

*Case 1. Successful key verification.* Member  $i$  verifies each set of public keys received in Phase 1b to ensure that other members received the same set of valid public keys. If every  $\vec{K}_j^e$  contains the same set of public keys and every public key  $y_j \in \vec{K}_j^e$  is valid, then member  $i$  chooses a random seed  $s_{ij}$  for each member  $j$  and generates  $L_i$  pseudorandom bits from  $s_{ij}$  to obtain ciphertext

$$C_{ij} = \text{PRNG}\{L_i, s_{ij}\} \quad (j \neq i),$$

where  $L_i$  and  $s_{ij}$  are of fixed lengths for all members.

Member  $i$  now XORs her message  $m_i$  with each  $C_{ij}$  for  $j \neq i$  to obtain ciphertext  $C_{ii}$ :

$$C_{ii} = C_{i1} \oplus \dots \oplus C_{i(i-1)} \oplus m_i \oplus C_{i(i+1)} \oplus \dots \oplus C_{iN}$$

Member  $i$  computes hashes  $H_{ij} = \text{HASH}_{k_h}\{C_{ij}\}$ , encrypts each seed  $s_{ij}$  with  $j$ 's public key to form  $S_{ij} = \{s_{ij}\}_{y_j}^{R_{ij}}$ , and collects the  $H_{ij}$  and  $S_{ij}$  into vectors  $\vec{H}_i$  and  $\vec{S}_i$ :

$$\vec{H}_i = (H_{i1}, \dots, H_{iN})$$

$$\vec{S}_i = (S_{i1}, \dots, S_{iN})$$

Member  $i$  forms a message descriptor  $d_i$ , which has a fixed length  $\Lambda_d$

$$d_i = \{L_i, \vec{H}_i, \vec{S}_i\}.$$

*Case 2. Failed key verification.* If any  $\vec{K}_j^e$  contains a non-matching set of keys or any  $\vec{K}_j^e$  contains an invalid key, then member  $i$  creates an empty message descriptor of the desired length  $\Lambda_d$

$$d_i = 0^{\Lambda_d}.$$

*Case 3.* No message to send. If member  $i$  chooses not to send a message in this protocol run, she sets  $L_i = 0$  and assigns random values to  $\vec{H}_i$  and  $\vec{S}_i$ .

Member  $i$  forms her message descriptor  $d_i$  as follows and pads it to the desired length  $\Lambda_d$

$$d_i = \{L_i, \vec{H}_i, \vec{S}_i\}.$$

- Phase 3: Message Descriptor Shuffle.

Each member  $i$  runs the ANONYMIZE-S protocol described in Section 6 using  $(d_i, K, n_{R_1}, \tau, f_i)$  as input, where the fixed-length descriptor  $d_i$  is the secret message to be shuffled. Member  $i$  sets  $f_i = \text{TRUE}$  if  $i$  created an empty message descriptor, and member  $i$  sets  $f_i = \text{FALSE}$  otherwise.

If ANONYMIZE-S succeeds, member  $i$  has a list  $M'_i$  of message descriptors in some random permutation  $\pi$ . If the protocol fails outputting  $(\text{FAILURE}, \text{BLAME}_i^{s_1}, \ell_i^{s_1})$ , member  $i$  saves  $\text{BLAME}_i^{s_1}$  and  $\ell_i^{s_1}$ .

If member  $i$  set  $f_i = \text{TRUE}$ , then  $i$  prepares a proof  $p'$  of the dishonest member  $j$ 's misbehavior to distribute to other members. If member  $j$  sent an invalid key, then member  $i$  sets  $p' = (j, c_5, \mu_{j1a})$ , where  $c_5$  indicates the failed check number and  $\mu_{j1a}$  is the message received by  $i$  in Phase 1a. If member  $j$  equivocated, then member  $i$  sets  $p' = (j, c_6, \mu_{j1a}, \mu'_{j1a})$ , where  $\mu_{j1a}$  is the message received by  $i$  in Phase 1a and  $\mu'_{j1a}$  is a message included in some  $\vec{K}_k^e$  that contains a different key for  $j$  than in  $\mu_{j1a}$ . If there is more than one culprit member  $j$ , member  $i$  chooses one  $j$  to blame in some way that does not depend on her message (e.g. randomly). If member  $i$  received all valid and matching keys, then member  $i$  sets  $p' = 0$ .

Member  $i$  broadcasts:

$$\mu_{i3} = \{p', n_R, 3, i\} \text{SIG}_{u_i}.$$

- Phase 4: Data Transmission.

*Case 1.* If ANONYMIZE-S fails, then member  $j$  sets  $\text{GO}_j = \text{FALSE}$  and shares her blame set  $\text{BLAME}_j^{s_1}$  and  $\log \ell_j^{s_1}$  by broadcasting

$$\mu_{j4} = \{\text{GO}_j, \text{BLAME}_j^{s_1}, \ell_j^{s_1}, n_R, 4, j\} \text{SIG}_{u_j}.$$

*Case 2.* If ANONYMIZE-S succeeds, member  $j$  sets  $\text{GO}_j = \text{TRUE}$  and decrypts each encrypted seed  $S_{ij}$  with private key  $x_j$  to reveal  $s_{ij}$ . If  $s_{ij}$  matches the seed  $s_{jj}$  that  $j$  chose for herself in her own descriptor, then  $j$  sets  $C_{ij} = C_{jj}$ . Otherwise,  $j$  sets  $C_{ij} = \text{PRNG}\{L_i, s_{ij}\}$ . Member  $j$  then checks  $\text{HASH}_{k_h}\{C_{ij}\}$  against  $H_{ij}$ . If the hashes match,  $j$  sets  $C'_{ij} = C_{ij}$ . If  $S_{ij}$  is not a valid ciphertext,  $s_{ij}$  is not a valid seed, or  $\text{HASH}_{k_h}\{C_{ij}\} \neq H_{ij}$ , then  $j$  sets  $C'_{ij}$  to an empty ciphertext,  $C'_{ij} = \{\}$ .

Member  $j$  now sends each  $C'_{ij}$  in  $\pi$ -shuffled order by broadcasting

$$\mu_{j4} = \{\text{GO}_j, C'_{\pi(1)j}, \dots, C'_{\pi(N)j}, n_R, 4, j\} \text{SIG}_{u_j}.$$

- Phase 5: Acknowledgment Submission.

Each member  $k$  notifies other members about the outcome of the previous phase.

*Case 1.* If  $GO_j = \text{FALSE}$  for any member  $j$ , then member  $k$  adds each message  $\mu_{j4}$  containing  $GO_j = \text{FALSE}$  into a vector  $\vec{V}_k$ .

*Case 2.* If  $GO_j = \text{TRUE}$  for every member  $j$  but some ciphertext  $C'_{ij}$  is empty or satisfies  $\text{HASH}_{k_h}\{C'_{ij}\} \neq H_{ij}$ , then slot  $\pi(i)$  has been corrupted. Member  $k$  adds each message  $\mu_{j4}$  containing such a corrupting ciphertext to a vector  $\vec{V}_k$ .

*Case 3.* If  $GO_j = \text{TRUE}$  for every member  $j$  and all ciphertexts  $C'_{ij}$  are non-empty and satisfy  $\text{HASH}_{k_h}\{C'_{ij}\} = H_{ij}$ , then member  $k$  sets  $\vec{V}_k = \{\}$ .

In every case member  $k$  broadcasts

$$\mu_{k5} = \{\vec{V}_k, n_R, 5, k\} \text{SIG}_{u_k}.$$

- Phase 6: Message Recovery.

If  $GO_i = \text{TRUE}$  for every member  $i$ , then for each uncorrupted slot  $\pi(i)$ , member  $k$  recovers member  $i$ 's message by computing

$$m'_i = C'_{i1} \oplus \dots \oplus C'_{iN}.$$

If  $\vec{V}_k = \{\}$ , then from member  $k$ 's point of view none of the slots were corrupted and all messages  $M'_k = (m'_1, \dots, m'_N)$  were successfully recovered. If  $\vec{V}_k \neq \{\}$ , then some message slot was corrupted or a step of the protocol has failed.

- Phase 7: Blame.

For each member  $i$ , if  $i$  observed a corrupted slot with a descriptor matching  $d_i$  (there may be more than one) and received all  $GO_j = \text{TRUE}$ , then  $i$  generates an *accusation* naming the member  $j$  who sent that incorrect ciphertext. If there is more than one culprit member, member  $i$  chooses one to blame in any way that only depends on the output of ANONYMIZE-S and on  $\vec{V}_i$ . Each accusation has a fixed length  $\Lambda_a$ , indicates the corrupted slot  $\pi(i)$ , contains the seed  $s_{ij}$  that  $i$  assigned  $j$ , and contains the random bits that  $i$  used to encrypt the seed:

$$A_i = \{j, \pi(i), s_{ij}, R_{ij}\}.$$

Each member  $i$  who does not have an accusation to send submits the empty accusation  $A_i = 0^{\Lambda_a}$ .

These accusations will be sent anonymously using the ANONYMIZE-S protocol. However, before running it, members look for evidence of equivocation in the previous two rounds. Every member  $i$  compares each message  $\mu'_{j4}$  that she received in some  $\vec{V}_k$  in Phase 5 with the message  $\mu_{j4}$  that she received directly from  $j$  in Phase 4. If the contents of these do not match, ignoring any  $\mu'_{j4}$  with an improper signature or incorrect round or phase number, then member sets  $f_i = \text{TRUE}$  to cause ANONYMIZE-S to fail in order to inform other members

about the equivocation. If all such messages match, member  $i$  sets  $f_i = \text{FALSE}$ .

Member  $i$  then runs ANONYMIZE-S( $A_i, K, n_{R_2}, \tau, f_i$ ). After ANONYMIZE-S completes, there is an opportunity for members who deliberately failed the shuffle to distribute evidence of equivocation. For a member  $i$  who set  $f_i = \text{TRUE}$  because of conflicting messages  $\mu'_{j4}$  and  $\mu_{j4}$ ,  $i$  creates a proof of  $j$ 's equivocation by setting  $p' = (j, c_1, \mu_{j4}, \mu'_{j4})$ . If there is more than one culprit member  $j$ , member  $i$  chooses one  $j$  to blame in any way that depends at most on the broadcast messages  $\mu_{k4}$  and  $\mu_{k5}$  sent and received by  $i$ . If member  $i$  had  $f_i = \text{FALSE}$ , then  $i$  sets  $p' = 0$ . Member  $i$  then broadcasts

$$\mu_{i7} = \{p', n_R, 7, i\} \text{SIG}_{u_i}.$$

Let  $O_k$  be the output of the ANONYMIZE-S protocol for member  $k$ . After receiving a message  $\mu_{i7}$  from every other member  $i$ , member  $k$  executes one of the following cases.

*Case 1:*  $O_k = (\text{FAILURE}, \text{BLAME}_k^{s_2}, \ell_k^{s_2})$ .

Member  $k$  sets  $\text{SUCCESS}_k = \text{FALSE}$ . Then  $k$  considers every blame entry  $(i, c) \in \text{BLAME}_k^{s_2}$ . If  $c \neq c_{11}$ , then  $i$  could not have justifiably caused the blame shuffle to fail, and so  $k$  adds  $(i, c_2)$  to  $\text{BLAME}_k$ . Otherwise  $c = c_{11}$ , and member  $k$  looks in  $\mu_{i7}$  for possible justification of the failure. If  $\mu_{i7}$  does include two versions of the same ciphertext  $C'_{\ell_j}$  (included in properly signed messages that include correct phase and round numbers) for some member  $j$ , then  $k$  adds  $(j, c_1)$  to  $\text{BLAME}_k$ . Otherwise,  $k$  adds  $(i, c_2)$  to  $\text{BLAME}_k$ .

*Case 2:*  $O_k = (\text{SUCCESS}, M_k^{s_2})$  and  $\vec{V}_k = \{\}$ .

Member  $k$  sets  $\text{SUCCESS}_k = \text{TRUE}$ .

*Case 3:*  $O_k = (\text{SUCCESS}, M_k^{s_2})$  and  $\vec{V}_k$  includes ciphertexts.

$k$  checks the validity of every accusation  $A_i = (j, \pi(i), s_{ij}, R_{ij})$  in  $M_k^{s_2}$  that targets an incorrect ciphertext received by  $k$ . To do so,  $k$  replays the encryption  $S'_{ij} = \{s_{ij}\}_{y_j}^{R_{ij}}$ , checks that the encrypted seed  $S_{ij}$  included in  $d_i$  matches  $S'_{ij}$ , and checks that the hash  $H_{ij}$  in  $d_i$  matches  $\text{HASH}_{k_h}\{\text{PRNG}\{L_i, s_{ij}\}\}$ , where  $L_i$  is also obtained from  $d_i$ . If the accusation is valid, then member  $k$  adds  $(j, c_3)$  to  $\text{BLAME}_k$ . If  $M_k^{s_2}$  includes no valid accusation targeting an incorrect ciphertext received by  $k$ , then  $k$  sets  $\text{SUCCESS}_k = \text{TRUE}$ . Otherwise, member  $k$  sets  $\text{SUCCESS}_k = \text{FALSE}$ .

*Case 4:*  $O_k = (\text{SUCCESS}, M_k^{s_2})$  and  $\vec{V}_k$  contains  $\text{GO}_i = \text{FALSE}$  for some  $i$ .

Member  $k$  sets  $\text{SUCCESS}_k = \text{FALSE}$ . Then  $k$  considers every  $\text{GO}_i = \text{FALSE}$  in  $\vec{V}_k$ .

Member  $k$  checks  $\mu_{i4}$  to see if the contained blame set and log constitute a valid proof of some member  $j$ 's misbehavior. To do so, member  $k$  checks that  $\ell_i^{s_1}$  contains  $n_{R_1}$  as the round number that is a result of SETUP-B and that  $\text{VERIFY-PROOF-S}(p_j, \ell_i^{s_1}) = \text{TRUE}$  for some  $p_j \in \text{BLAME}_i^{s_1}$ . If not, then member  $k$  blames  $i$  by adding  $(i, c_4)$  to  $\text{BLAME}_k$ . If so, then  $k$  considers every  $p_j \in \text{BLAME}_i^{s_1}$  such that  $\text{VERIFY-PROOF-S}(p_j, \ell_i^{s_1}) = \text{TRUE}$ . If  $p_j \neq (j, c_{11})$ , then member  $k$  adds  $(j, c_2)$  to  $\text{BLAME}_k$ . If  $p_j = (j, c_{11})$ , then member  $k$  examines  $\mu_{j3}$  to see if member  $j$  justifiably caused a failure of ANONYMIZE-S to expose bad key distribution by some member  $\ell$ . If  $\mu_{j3}$  includes an invalid key  $y_\ell$  in a properly signed

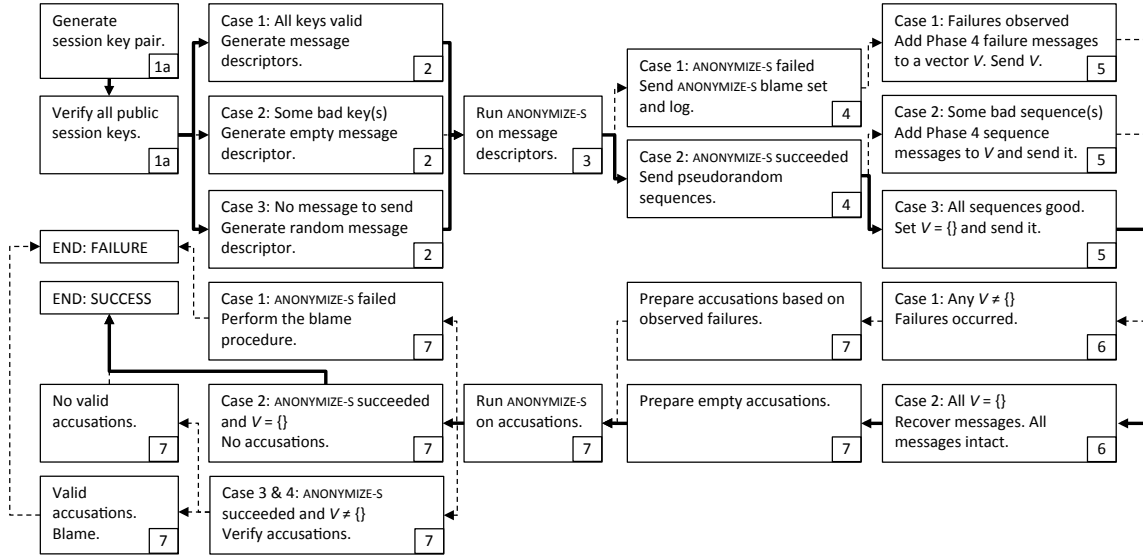


Figure 2: Flow of the ANONYMIZE-B algorithm

message with correct round and phase numbers, then member  $k$  adds  $(\ell, c_5)$  to  $BLAME_k$ . If  $\mu_{j3}$  includes two different versions of public key  $y_\ell$  in properly signed messages with correct round and phase numbers, then member  $k$  adds  $(\ell, c_6)$  to  $BLAME_k$ . Otherwise,  $k$  adds  $(j, c_2)$  to  $BLAME_k$ .

In every case,  $k$  concludes as follows. If  $SUCCESS_k = \text{TRUE}$ ,  $k$  outputs  $(SUCCESS, M'_k)$ . Otherwise, member  $k$  creates a log  $\ell_k$  of the protocol run that all messages sent and received by  $k$  during SETUP-B and ANONYMIZE-B as well as the output of the ANONYMIZE-S protocol in Phases 3 and 7. Member  $k$  outputs  $(FAILURE, BLAME_k, \ell_k)$ .

### 7.3 Verify-Proof-B Algorithm

The  $VERIFY-PROOF-B(p_j, \ell_i)$  algorithm is used to verify a member's misbehavior.  $VERIFY-PROOF-B$  takes as input a proof  $p_j$  and a log  $\ell_i$ . A proof  $p_j$  should consist of a tuple  $(j, c)$ , where  $j$  is a member's identifier and  $c$  indicates the check that failed for member  $j$  from member  $i$ 's point of view. A log  $\ell_i$  should include all messages sent and received during SETUP-B and ANONYMIZE-B by member  $i$  as well as the output of ANONYMIZE-S in Phases 3 and 7. The protocol outputs  $\text{TRUE}$  if  $p_j$  is a proof of  $j$ 's misbehavior given  $i$ 's log  $\ell_i$  and  $\text{FALSE}$  otherwise.

#### Algorithm description.

$VERIFY-PROOF-B(p_j, \ell_i)$

- Step 1: Proof verification.  
Verify that  $p_j$  includes a valid check number  $c$  and member identifier  $j$ . If the proof  $p_j$  is valid, then proceed to the next phase. If  $p_j$  is invalid, then output  $\text{FALSE}$  and stop.
- Step 2: Log verification.

All messages included in the log  $\ell_i$  are verified to ensure that signatures on included messages are valid given the included member identifier. Each message is checked to verify that it contains a correct round nonce given the execution of the SETUP-B protocol and a correct phase number. All messages with invalid signatures, round nonces, or phase numbers are discarded. If the resulting log does not include all messages that were supposed to have been sent and received by  $i$  during SETUP-B and ANONYMIZE-B, as described in the descriptions of those algorithms, as well as the output of ANONYMIZE-S in Phases 3 and 7, then output FALSE. Otherwise, proceed to the next phase.

- Step 3: Proof verification decision.

Log  $\ell_i$  is examined as follows to verify that  $j$  failed check  $c$ :

- If  $c = c_1$ , then we wish to verify that member  $j$  equivocated in Phase 4 or Phase 5.

Check if ANONYMIZE-S failed in Phase 7. If not, then output FALSE and stop. If yes, then use log  $\ell_i^{s_2}$  to check each message  $\mu_{k7} = \{p', n_R, 7, k\} \text{SIG}_{u_k}$ . If no  $p'$  is of the form  $(j, c_1, \mu_{j4}, \mu'_{j4})$ , where  $\mu_{j4}$  and  $\mu'_{j4}$  are properly signed messages with correct round and phase numbers and are of the form  $\{\text{TRUE}, C_1, \dots, C_N, n_R, 4, j\} \text{SIG}_{u_j}$  for some ciphertexts  $C_i$ , then output FALSE and stop. Else, if  $\mu_{j4}$  and  $\mu'_{j4}$  contain different messages for any such  $p'$ , then output TRUE and stop. Else output FALSE and stop.

- If  $c = c_2$ , then we wish to verify that member  $j$  caused a failure of ANONYMIZE-S in Phase 3 or Phase 7 without justification.

Check if either ANONYMIZE-S failed in Phase 7 or there was some  $\mu_{k4}$  in  $\vec{V}_i$  with  $\text{GO}_k = \text{FALSE}$ . If not, then output FALSE and stop.

If ANONYMIZE-S failed in Phase 7, then consider each proof  $p_j \in \text{BLAME}_i^{s_2}$  blaming  $j$ . Verify that  $\text{VERIFY-PROOF-S}(p_j, \ell_i^{s_2}) = \text{TRUE}$  and that  $\ell_i^{s_2}$  uses  $n_{R_2}$  as the round number, and if not discard this proof. Otherwise, if  $p_j \neq (j, c_{11})$  then output TRUE and stop. If instead  $p_j = (j, c_{11})$ , then we must check whether  $j$  caused a protocol failure in order to distribute a proof of equivocation of some other member  $k$ . Using message  $\mu_{j7} = \{p', n_R, 7, j\} \text{SIG}_{u_j}$ , check if  $p'$  is of the form  $(k, c_1, \mu_{k4}, \mu'_{k4})$  with  $k \neq j$  and where  $\mu_{k4}$  and  $\mu'_{k4}$  have different contents and are properly signed with correct round and phase numbers. If not, then output TRUE and stop. If no proof results in an output of TRUE, then output FALSE and stop.

Otherwise, the blame shuffle succeeded for  $i$ , but some member indicated a failure of the descriptor shuffle. For every  $k$  that sent a  $\mu_{k4}$  of the form

$\{\text{FALSE}, \text{BLAME}_k^{s_1}, \ell_k^{s_1}, n_R, 4, k\} \text{SIG}_{u_k}$ , consider every proof  $p_j \in \text{BLAME}_k^{s_1}$  blaming  $j$ . Verify that  $\text{VERIFY-PROOF-S}(p_j, \ell_k^{s_1}) = \text{TRUE}$ , and that the round number in  $\ell_k^{s_1}$  is  $n_{R_1}$ , and if not discard this proof. Otherwise, if  $p_j \neq (j, c_{11})$ , then output TRUE and stop. If instead  $p_j = (j, c_{11})$ , then we must check whether  $j$  caused a protocol failure in order to distribute a proof of misbehavior of some other member  $k$ . Using message  $\mu_{j3} = \{p', n_R, 3, j\} \text{SIG}_{u_j}$ , check if (i)  $p'$  is of the form  $(k, c_5, \mu_{k1a})$  with  $k \neq j$  and where  $\mu_{k1a}$  contains an invalid public key  $y_k$  and is properly signed with correct round and phase numbers, or (ii)  $p'$  is of the form  $(k, c_6, \mu_{k1a}, \mu'_{k1a})$  with  $k \neq j$  and where the keys in  $\mu_{k1a}$  and  $\mu'_{k1a}$  are unequal and both messages are properly signed with correct round and phase numbers. If not, then output TRUE and stop. If no proof  $p_j$  results in an output of TRUE, then output FALSE and stop.

- If  $c = c_3$ , then we wish to verify that member  $j$  sent an empty or incorrect ciphertext  $C'_{kj}$  in Phase 4.

Check if (i)  $j$  sent  $\mu_{j4}$  of the form  $\{\text{TRUE}, C'_{\pi(1)j}, \dots, C'_{\pi(N)j}, n_R, 4, j\} \text{SIG}_{u_j}$  in Phase 4, and (ii) ANONYMIZE-S in Phase 7 succeeded for member  $i$  with an accusation  $A_k = \{j, \pi(k), s_{kj}, R_{kj}\}$  naming  $j$  as a faulty member in its output. If not, then output FALSE and stop.

Otherwise, we need to check that the accusation against  $j$  is valid. Doing so requires comparing the accusation to the descriptors received by  $j$ . We need to be sure that  $j$  received the descriptors claimed by  $i$ . To do so, first recompute the hash of broadcast messages in Phases 1–3 of the descriptor shuffle and compare it to the hash that  $i$  sent in Phase 4 of that shuffle. If the hashes are not the same, output FALSE and stop. Otherwise, further compare them to the hash sent by  $j$  in Phase 4 of the descriptor shuffle. If they do not match, output FALSE and stop.

Otherwise, examine the inner private keys received by  $i$  in Phase 5 of the descriptor shuffle. If any key  $I_k^{sec}$  is invalid or does not match its public key  $I_k^{pub}$ , output FALSE and stop.

Otherwise, use these keys to decrypt the inner ciphertexts contained in the final broadcast of Phase 3. Let  $\{L_k, \vec{H}_k, \vec{S}_k\}$  be the resulting descriptor in the slot  $\pi(k)$  pointed to by the accusation. Recall that  $C'_{\pi(k)j}$  is the ciphertext for this slot that  $j$  sent to  $i$  in message  $\mu_{j4}$ . Check if (i)  $\text{HASH}_{k_h}\{C'_{\pi(k)j}\}$  does not match the hash in the  $j$ th element of  $\vec{H}_k$ , (ii) the encryption of the accusation seed  $s_{kj}$  under the key sent in  $\mu_{j1a}$  using the random bits  $R_{kj}$  of the accusation is equal to the  $j$ th encrypted seed in  $\vec{S}_k$ , and (iii)  $\text{HASH}_{k_h}\{\text{PRNG}\{L_k, s_{kj}\}\}$  is equal to the hash in the  $j$ th element of  $\vec{H}_k$ . If not, output FALSE and stop. If so, output TRUE and stop.

- If  $c = c_4$ , then we wish to verify that member  $j$  unjustifiably reported in Phase 4 a failure of ANONYMIZE-S.

Check if  $j$  sent  $\mu_{j4}$  of the form  $\{\text{FALSE}, \text{BLAME}_j^{s_1}, \ell_j^{s_1}, n_R, 4, j\} \text{SIG}_{u_j}$ . If not, then output FALSE and stop. If so, examine  $\mu_{j3}$  to see if  $j$  justifiably caused failure of the descriptor shuffle. If (i) it contains an invalid key  $y_k$  in a properly signed message with correct round and phase numbers, or (ii) it contains two different versions of the same key  $y_k$  in properly signed messages with correct round and phase numbers, then output FALSE and stop.

Otherwise, check if (i)  $\ell_j^{s_1}$  does not contain the round number  $n_{R_1}$  that is the output of SETUP-B in  $\ell_i$ , or (ii)  $\forall p_i \in \text{BLAME}_j^{s_1} \text{VERIFY-PROOF-S}(p_i, \ell_j^{s_1}) = \text{FALSE}$ . If so, then output TRUE and stop, else output FALSE and stop.

- If  $c = c_5$ , then we wish to verify that member  $j$  sent an invalid key in Phase 1a.

Check if  $\mu_{k3} = \{p', n_R, 3, k\} \text{SIG}_{u_k}$  sent by any member  $k$  contains  $p'$  of the form  $(j, c_5, \mu_{j1a})$ , where  $\mu_{j1a}$  contains an invalid public key  $y_j$  and is properly signed with correct round and phase numbers. If yes, then output TRUE and stop, else output FALSE and stop.

- If  $c = c_6$ , then we wish to verify that member  $j$  equivocated in Phase 1a and sent two different public keys.

Check if any  $\mu_{k3} = \{p', n_R, 3, k\} \text{SIG}_{u_k}$  contains  $p'$  of the form  $(j, c_6, \mu_{j1a}, \mu'_{j1a})$  such that  $\mu_{j1a}$  and  $\mu'_{j1a}$  have different message contents and are properly signed with correct round and phase numbers. If yes, then output TRUE and stop, else output FALSE and stop.

## 8 Proofs

In this section we prove that DISSENT satisfies the definitions of integrity, accountability, and anonymity given in Section 4. We generally organize proofs as a sequence of games (Shoup 2004).

### 8.1 Notation and Definitions

Let  $G$  be the set of all members participating in the protocol,  $H$  be the set of honest members and  $D$  the set of dishonest members. A group member  $i$  *blames* member  $j$  if  $p_j \in \text{BLAME}_i$  upon a protocol failure resulting in  $(\text{FAILURE}, \text{BLAME}_i, \ell_i)$ . This  $p_j$  is a *verifiable proof* of  $j$ 's misbehavior given  $\ell_i$  if  $\text{VERIFY-PROOF}(p_j, \ell_i) = \text{TRUE}$ . We say that  $i$  *exposes*  $j$  if member  $i$  produces a verifiable proof for  $j$  given a log  $\ell_i$  in which SETUP outputs the long-term signature verification key  $v_j$  for  $j$ .

### 8.2 Integrity

In Section 8.2.1 we provide a proof that the GMP-SHUFFLE protocol maintains integrity. Section 8.2.2 contains a proof for the GMP-BULK protocol.

#### 8.2.1 The GMP-Shuffle Protocol

We will show that the GMP-SHUFFLE protocol terminates either with success or failure, depending on the outcome of the verification in Phase 4 and the key release and decryption in Phase 5. If both phases complete successfully, then member  $i$  recovers secret messages submitted to the protocol and the protocol completes outputting  $(\text{SUCCESS}, M'_i)$ . If any step of Phase 4 or 5 fails, then member  $i$  outputs  $(\text{FAILURE}, \text{BLAME}_i, \ell_i)$  after executing the blame procedures in Phase 6.

**Theorem 1.** *The GMP-SHUFFLE protocol offers integrity.*

*Proof.* We consider the modified integrity game in which  $C$  outputs 0 upon observing a hash collision. Such an observation occurs when  $C$  receives in Phase 6 different inputs to the Phase 4 hash of broadcast messages that have the same hash value. Modifying the game in this way can only change the probability that  $C$  outputs 1 by a negligible amount by reduction to the game defining hash collision resistance.

For  $C$  to output 1 an honest member  $i$  must succeed during the challenge run. According to the protocol specification,  $i$  terminated with  $(\text{SUCCESS}, M'_i)$  because (i) in Phase 4 her own  $\text{GO}_i = \text{TRUE}$ , (ii) in Phase 4 she receives messages such that  $\text{GO}_j = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_i\}$  for every member  $j \in G$ , and (iii) in Phase 5 she received non-empty inner private keys such that  $I_j^{\text{sec}}$  matched  $I_j^{\text{pub}}$  for every  $j \in G$ .

$\vec{B}_i$  contains all broadcast messages member  $i$  sent and received in Phases 1–3, and thus, by (i) and (ii) and the assumption that hash collisions are not observed, member  $i$  is in possession of the same  $\vec{C}_N$  and inner public keys as every other honest member  $j$ . Furthermore, (iii) applies to every



honest  $j$  for which the protocol is successful, and so every such  $j$  has inner private keys that match the common inner public keys.

Thus, member  $i$  can decrypt each ciphertext included in  $\vec{C}_N$  using her set of inner private keys to obtain  $N$  messages, and the resulting list contains the same messages in the same order as each honest user  $j$  that successfully terminates. Moreover, because member  $j$  sends  $i$   $GO_j = \text{TRUE}$ , the inner ciphertext  $C'_j$  must be in their common  $\vec{C}_N$ . Therefore, after decryption,  $i$  obtains the message  $m_j$  of each honest member  $j$ . The probability that  $C$  outputs 1 is thus zero, which implies that the probability that  $C$  outputs 1 in the original game is negligible.  $\square$

## 8.2.2 The GMP-Bulk Protocol

**Theorem 2.** *The GMP-BULK protocol offers integrity.*

*Proof.* We consider the modified integrity game in which  $C$  outputs 0 when the shuffle in Phase 3 or 7 fails to provide integrity or when a hash collision is observed for a hash in a message descriptor. By reduction to the integrity game with GMP-SHUFFLE and Theorem 1, the shuffles fail to provide integrity with negligible probability. Then by reduction to the game defining collision resistance, different ciphertexts with the same hash are seen by  $C$  with negligible probability. Thus this game modification changes the output distribution only negligibly.

Suppose that  $C$  outputs 1. There must exist an honest member  $i$  for whom GMP-BULK terminates successfully. Then, according to the protocol specification, it must be that (i) each member  $k \in G$  sends  $i$   $GO_k = \text{TRUE}$  in Phase 4, (ii) the run of the ANONYMIZE-S protocol completes successfully for  $i$  in Phase 7, and (iii) either  $\text{HASH}_{k_h}\{C'_{jk}\} = H_{jk}$  for all ciphertexts received by  $i$  in Phase 4 or no valid accusation is received in Phase 7 for any ciphertext such that  $\text{HASH}_{k_h}\{C'_{jk}\} \neq H_{jk}$ .

Every honest member for whom the descriptor shuffle is successful obtains the same  $N$  message descriptors in the same order, including a message descriptor for each honest member. By (i), the descriptor shuffle is successful for every honest member, and thus they all obtain these same descriptors. Similarly, every honest member for whom the blame shuffle is successful obtains the same  $N$  accusations in the same order, including each accusation from an honest member. By (ii), the blame shuffle is successful for every honest member for whom the bulk protocol is successful, and thus they all obtain these same accusations.

Therefore, if honest members receive different ciphertexts in Phase 4, at least one of the ciphertexts must not match the corresponding hash. The recipient of that ciphertext would report the corruption in Phase 5, and the equivocation would prevent the accusation shuffle from succeeding for any honest member, contradicting (ii).

Thus all honest members that successfully terminate must have the same sequence of  $N$  descriptors and the same ciphertexts. This implies that these members obtain the same  $N$  messages in the same order from the bulk protocol.

In addition, as shown, the descriptors obtained by every honest member include the descriptors of all of the honest members in the same slots. Because each honest member receives the same ciphertexts, any corruption of an honest member's slot would be seen by that member. That member would then produce an accusation which, as we have described, would be obtained from the blame shuffle by all honest members who terminate successfully. This would contradict condition (iii) of successful termination. Therefore, no slot containing an honest member's descriptor can be

corrupted at an honest user. This implies that the messages obtained by an honest member from successful termination of the bulk protocol must contain the messages of all honest members.

Therefore  $C$  cannot output 1 in the modified game, and the probability that  $C$  outputs 1 in the original game is negligible.  $\square$

### 8.3 Accountability

We use the following sequence of games to prove that the GMP-SHUFFLE and GMP-BULK protocols offer accountability:

**Game 0:** Adversary  $A$  and challenger  $C^0$  play the accountability game.

**Game 1:**  $A$  interacts with a challenger  $C^1$  that is the same as  $C^0$  except that it outputs 0 when a signature forgery or hash collision is observed.

**Game 2:**  $A$  interacts with a challenger  $C^2$  that is the same as  $C^1$  except that it outputs 0 when, at the end of the challenge run, an honest member  $i$  produces an output of  $(\text{FAILURE}, \text{BLAME}_i, \ell_i)$  with empty  $\text{BLAME}_i$  or containing  $p_j \in \text{BLAME}_i$  such that  $\text{VERIFY-PROOF}(p_j, \ell_i) \neq \text{TRUE}$ .

**Game 3:**  $A$  interacts with a challenger  $C^3$  that always outputs 0.

It will be shown for both protocols that the output distribution of challenger  $C$  changes negligibly between each sequential pair of games. This holds for Game 0 and Game 1 quite directly from the security properties of the signature scheme and hash function. The main argument that it holds for Game 1 and Game 2 is that the protocol fails when one of the checks fails, each such failure for  $i$  results in an addition to  $\text{BLAME}_i$ , and because  $\text{VERIFY-PROOF}$  uses the same checks each such addition produces a verifiable proof. The argument for Game 2 and Game 3 relies on the fact that the round nonces, phase numbers, and member identities included in each signed message prevent an adversary from creating a log that contains anything but the actual messages sent by an honest member in a given round and phase. The protocols ensure that these sent messages include the messages received by the honest member where necessary. Thus an honest member is always seen in the log as behaving correctly and cannot be exposed.

#### 8.3.1 The GMP-Shuffle Protocol

Here we denote by  $C^i$  the output of the challenger in Game  $i$  when running GMP-SHUFFLE.

**Lemma 1.**  $|Pr[C^2 = 1] - Pr[C^0 = 1]|$  is negligible.

*Proof.* Any forged signature observed by  $C^0$  could be used to win the signature game that defines the EUF-CMA property. Therefore, by the assumption that the signature scheme is EUF-CMA secure, forged signatures must occur with negligible probability. Similarly, any observed hash collision could be used to win the game that defines the collision resistance of the hash function, and so one must occur with negligible probability. Thus the output distributions of  $C^0$  and  $C^1$  are negligibly close.

Challengers  $C^1$  and  $C^2$  differ only when an honest member fails but produces either no proof of misbehavior or a proof that doesn't verify. We will show that this never happens, that is, that whenever  $\text{SUCCESS}_i = \text{FALSE}$  for honest member  $i$ ,  $i$  adds a proof  $p_j$  to  $\text{BLAME}_i$ , and every proof it adds is verifiable. In fact, it suffices to show that, whenever  $\text{SUCCESS}_i = \text{FALSE}$ ,  $i$  adds a proof  $p_j$  to  $\text{BLAME}_i$ , because it is straightforward to see that any such  $p_j$  is verifiable. In  $\text{VERIFY-PROOF-S}$ , proof verification of  $p_j$  (Step 1) always succeeds, because  $p_j$  always includes valid check number

and member identifier; log verification of  $\ell_i$  (Step 2) always succeeds because the protocol completes by assumption, and  $i$  adds all her messages to log  $\ell_i$ ; and the proof verification decision (Step 3) always succeeds because it outputs TRUE given  $p_j$  for logs of exactly those executions in which  $i$  adds  $p_j$  to  $\text{BLAME}_i$ .

Therefore, we can simply show that, whenever the protocol fails for  $i$ , a proof is added to  $\text{BLAME}_i$ . In ANONYMIZE-S,  $\text{SUCCESS}_i = \text{FALSE}$  upon protocol completion only in the following three cases: (1) in Phase 4,  $\text{GO}_i = \text{FALSE}$  or a non-matching broadcast hash is received, (2) in Phase 4,  $\text{GO}_k = \text{FALSE}$  for some  $k \neq i$ , (3) in Phase 5, an empty, invalid, or non-matching inner private key is received. In any of these cases, if an inconsistent or incomplete  $\vec{T}$  log is received in some  $\mu_{j6}$ , then  $(j, c_1)$  is added to  $\text{BLAME}_i$ . Therefore we assume from this point on that all  $\vec{T}$  logs are complete and consistent and proceed to examine these cases separately.

Suppose case (1) occurs. We consider the conditions in each of the phases up to Phase 4 that can cause  $\text{GO}_i = \text{FALSE}$ , and we identify in each case a proof  $p_j$  that must be added to  $\text{BLAME}_i$ . In Phase 1, an invalid public key must be received from some  $j$ . Then  $p_j = (j, c_5)$ . In Phase 2a, an invalid commitment must be received from some  $j$ . Then  $p_j = (j, c_6)$ . In Phase 2b, a commitment opening must fail or result in an invalid ciphertext or identity. Then  $p_j = (j, c_7)$ . In Phase 3,  $\vec{C}_i$  must have an invalid or duplicate ciphertext. If some member  $j$  releases an empty, invalid, or non-matching outer private key in Phase 6, then  $p_j = (j, c_4)$ . Otherwise,  $i$  replays the permutations and decryptions of Phase 3. During the replay, if some member  $j$  did not correctly permute and decrypt her inputs, then  $p_j = (j, c_8)$ . Otherwise,  $i$  must observe a member  $j$  whose commitment value decrypted either to an invalid ciphertext, in which case  $p_j = (j, c_9)$ , or to a duplicate ciphertext, in which case  $p_j = (j, c_{10})$ . In Phase 4, it could be that the inner ciphertext  $C'_j$  is not in  $\vec{C}_N$ . In this case, as in the previous one, if some member  $j$  releases an empty, invalid, or non-matching outer private key in Phase 6, then  $p_j = (j, c_4)$ . Otherwise,  $i$  replays Phase 3 and during the replay must observe some member  $j$  who did not correctly permute and decrypt her inputs. Then  $p_j = (j, c_8)$ . It could also be that a non-matching broadcast hash is received from  $j$ , in which case  $j$  must have sent an incorrect hash, and  $p_j = (j, c_{12})$ .

Next suppose case (2) occurs. If some member  $j$  releases an empty, invalid, or non-matching outer private key in Phase 6, then  $p_j = (j, c_4)$ . Otherwise,  $i$  replays the protocol. If any member  $j$  sent an invalid public key or an invalid commitment, then  $p_j = (j, c_5)$  or  $p_j = (j, c_6)$ , respectively. If  $k = 1$  and commitment opening failed or resulted in an invalid ciphertext for some  $j$ , then  $p_j = (j, c_7)$ . If there were invalid or duplicate ciphertexts in  $\vec{C}_k$ , then  $i$  must observe a member  $j$  who either did not correctly permute and decrypt her inputs, in which case  $p_j = (j, c_8)$ , or committed to a value that decrypted to an invalid or duplicate ciphertext, in which case  $p_j = (j, c_9)$  or  $p_j = (j, c_{10})$ , respectively. If the inner ciphertext of member  $k$  is not included in  $\vec{C}_N$ , then there must be some member  $j$  who did not correctly permute and decrypt her inputs, and  $p_j = (j, c_8)$ . Otherwise,  $k$  incorrectly set  $\text{GO}_k$ , and  $p_j = (j, c_{11})$  with  $j = k$ .

Finally, suppose case (3) occurs. An empty inner private key can only be justified by a  $\text{GO}_k = \text{FALSE}$  for some  $k$  or a non-matching broadcast hash from some  $j$ . In either case we have already identified the  $p_j$  added by  $i$ . If an empty key from some  $j$  is not justified, then  $p_j = (j, c_3)$ . If an invalid or non-matching inner private key is received from some  $j$ , then  $p_j = (j, c_2)$ .

Thus we have shown that honest member  $i$  adds some proof  $p_j$  to  $\text{BLAME}_i$  whenever  $\text{SUCCESS}_i = \text{FALSE}$ , and furthermore that any such  $p_j$  is a verifiable proof given log  $\ell_i$ . Therefore the output distributions of  $C^1$  and  $C^2$  are identical.  $\square$

**Lemma 2.**  $|Pr[C^3 = 1] - Pr[C^2 = 1]|$  is negligible.

*Proof.* Suppose that the adversary exposes an honest member  $j$ . That is, suppose that he produces a proof  $p_j$  and log  $\ell_i$  such that  $\text{VERIFY-PROOF-S}(p_j, \ell_i) = \text{TRUE}$ . To pass the initial proof verification, it must be the case that  $p_j = (c, j)$ . To pass the log verification, it must be the case either that  $c = c_1$  or that all the  $\vec{T}$  logs in the  $\mu_{j6}$  of  $\ell_i$  are complete and consistent.

Each message in ANONYMIZE-S identifies the sender and is signed by that sender. By the EUF-CMA property of the signature scheme, the adversary is not able to forge a signature under any honest member's key, except with negligible probability, and therefore any message signed by  $j$  in  $\ell_i$  must have been sent by  $j$ . Furthermore, each message identifies the round and phase for which that message was sent. An honest member sends exactly one message during each phase of a given round. Therefore, every message in  $\ell_i$  from  $j$  must have actually been sent during that round and phase by  $j$ .

Given these facts, we can go through each possible check and show that for each one the needed log evidence cannot exist. Whenever we refer to message  $\mu_{k\phi}$ , we are referring to the message that  $\ell_i$  indicates was sent by member  $k$  in phase  $\phi$ .

Suppose that  $c = c_1$ . Then for the proof to verify,  $\ell_i$  must contain either different copies of the same message for a given phase or an incomplete log  $\vec{T}$  in a  $\mu_{j6}$ . An honest  $j$  would never send such messages. Thus  $c \neq c_1$ .

In each of the remaining cases, the log vectors  $\vec{T}$  in the  $\mu_{k6}$  were verified during log verification to be complete and consistent, and  $\ell_i$  is augmented with all messages from all members during Phases 1–5. Thus we can assume that each message  $\mu_{k\phi}$  sent or received by  $j$  during these phases appears with the same contents in  $\ell_i$ .

Suppose that  $c = c_2$ . Then it must be the case that  $\mu_{j1}$  and  $\mu_{j5}$  have non-matching  $I_j^{pub}$  and  $I_j^{sec}$ .  $j$  would never send such a pair, however. Thus  $c \neq c_2$ .

Suppose that  $c = c_3$ . Then  $j$  must have sent an empty inner key, which implies that  $j$  observed either a  $\text{GO}_k = \text{FALSE}$  or a non-matching broadcast hash  $\text{HASH}_{k_h}\{\vec{B}_k\}$ . Therefore the  $\mu_{k4}$  do not contain the evidence needed for  $\text{VERIFY-PROOF-S}$  to validate this check. Thus  $c \neq c_3$ .

Suppose that  $c = c_4$ . Then either  $j$  sent outer keys  $O_j^{pub}$  and  $O_j^{sec}$  that do not match, or  $j$  incorrectly sent an empty outer private key.  $j$  only ever sends matching outer keys, and so the former case cannot apply. If  $j$  sent an empty outer private key, it must have been the case that, for all  $\mu_{k4}$ , the contained  $\text{GO}_k = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_k\} = \text{HASH}_{k_h}\{\vec{B}_j\}$ . Therefore the  $\mu_{k4}$  do not contain the evidence needed for  $\text{VERIFY-PROOF-S}$  to validate this check. Thus  $c \neq c_4$ .

Suppose that  $c = c_5$ . Then  $j$  must have sent an invalid key in  $\mu_{j1}$ . An honest  $j$  would never send an invalid key, though, and thus  $c \neq c_5$ .

Suppose that  $c = c_6$ . The  $j$  must have sent an invalid commitment in  $\mu_{j2a}$ . An honest  $j$  would never send an invalid commitment, though, and thus  $c \neq c_6$ .

Suppose that  $c = c_7$ . Then either  $j$ 's commitment opening in  $\mu_{j2b}$  does not match the commitment in  $\mu_{j2a}$ , or the value from the opening is not a valid ciphertext or identity.  $j$  always sends a matching commitment and opening, though, and  $j$ 's committed value is always a valid ciphertext and her identity. Thus  $c \neq c_7$ .

Suppose that  $c = c_8$ . Then the messages in  $\mu_{j3}$  must not be a permutation and decryption of the messages in  $\mu_{(j-1)3}$  using the key  $O_j^{sec}$  released by  $j$ . However,  $j$  does correctly permute and decrypt during Phase 3 and only ever releases the correct key used in that decryption. Thus  $c \neq c_8$ .

Suppose that  $c = c_9$ . Then  $j$  must send a value  $C_j$  into the Phase 3 shuffle that results in

an invalid ciphertext after some sequence of decryptions by the outer private keys released by all members. Those private keys are checked to match the outer public keys received by  $j$ , however, and  $j$  correctly forms  $C_j$  by encrypting  $m_j$  with the inner and outer public keys in sequence. Therefore it can never be that  $C_j$  results in an invalid ciphertext after decryption by some of the outer private keys, and  $c \neq c_9$ .

Suppose that  $c = c_{10}$ . Then it must be that for some ciphertext  $C_k$ ,  $k \neq j$ , both  $C_k$  and  $C_j$  yield the same result after some number of sequential decryptions by the outer private keys. As we established above, the messages in Phases 1–5 of  $\ell_i$  sent and received by  $j$  and other honest members are those actually they sent and received during the protocol run. Thus, the honest commitments must be created according to the commitment protocol. If  $k$  is honest, then it must be the case the same ciphertext was produced twice, which cannot happen with more than negligible probability or it could be used to break the IND-CCA2 security of the encryption scheme. Suppose  $k$  is not honest. Then, by the simulation definition of non-malleable commitment (Lin, Pass, and Venkatasubramanian 2008), it must be possible for a simulator to commit to a set of values that, along with the rest of the simulator’s view, are indistinguishable from member  $k$ ’s committed values and view. These must remain indistinguishable even given any auxiliary input. Consider as auxiliary input the private outer keys of all members. Then it is observable via simple decryption when a ciphertext  $C_k$  would “collide” with  $C_j$  after some number of decryptions during the shuffle anonymization. Thus the simulator must commit to such a ciphertext with probability negligibly close to that of the adversary. However, a simulator producing such a collision is effectively predicting the result of the inner encryptions by honest members, any one of which could be used in a reduction to the distinguishing game. Thus, by the IND-CCA2 security of the encryption scheme, the ciphertext collision can occur with at most negligible probability. Thus  $c \neq c_{10}$ .

Suppose that  $c = c_{11}$ . Then it must be that  $j$  sent  $GO_j = \text{FALSE}$  without justification. The justification needed would be receiving an invalid public key in Phase 1, receiving an invalid commitment in Phase 2a, receiving an invalid commitment opening or opening an invalid ciphertext or identity in Phase 2b, producing invalid or duplicate ciphertexts during Phase 3, or not receiving her own inner ciphertext  $C'_j$  at the end of Phase 3. However, each of these conditions is true in  $\ell_i$  if it was true during the run from  $j$ ’s perspective. In particular, the inner ciphertext as determined by VERIFY-PROOF-S must be the inner ciphertext of  $j$  because the decryption keys are verified to match the public keys seen by  $j$ .  $j$  would only send  $GO_j = \text{FALSE}$  if one of these conditions held, and thus  $c \neq c_{11}$ .

Suppose that  $c = c_{12}$ . Then it must be that the broadcast hash that  $j$  sent in Phase 4 does not match the hash of all broadcast messages up to that point.  $j$  sends the correct hash, however, and thus  $c \neq c_{12}$ .

Therefore, there is no value of  $c$  for which VERIFY-PROOF-S could output TRUE given  $\ell_i$ , except with negligible probability, and the adversary cannot expose an honest member.  $\square$

**Theorem 3.** *The GMP-SHUFFLE protocol offers accountability.*

*Proof.* Lemmas 1 and 2 show that the challenger output distribution in the accountability game is negligibly close to the challenger output in Game 3.  $C^3$  always outputs 0, and thus the probability that  $C$  outputs 1 in the accountability game is negligible.  $\square$

### 8.3.2 The GMP-Bulk Protocol

Here we denote by  $C^i$  the output of the challenger in Game  $i$  when running GMP-BULK.

**Lemma 3.**  $|Pr[C^2 = 1] - Pr[C^0 = 1]|$  is negligible.

*Proof.* Any forged signature or hash collision observed by  $C^0$  could be used to win the security game that defines EUF-CMA or collision resistance, respectively. Thus, those security assumptions imply that such events must occur with negligible probability, and so the output distributions of  $C^0$  and  $C^1$  are negligibly close.

We will show that, whenever  $SUCCESS_i = FALSE$ ,  $i$  adds a proof  $p_j$  to  $BLAME_i$ , and every proof it adds is verifiable. In fact, it will suffice to show that, whenever  $SUCCESS_i = FALSE$ ,  $i$  adds a proof  $p_j$  to  $BLAME_i$ , because we first prove that any such  $p_j$  is verifiable.

In VERIFY-PROOF-B, proof verification of  $p_j$  (Step 1) always succeeds, because honest  $i$  always includes a valid check number and member identifier in  $p_j$ . Log verification of  $\ell_i$  (Step 2) always succeeds because the protocol completes by assumption, and  $i$  adds all her messages to log  $\ell_i$ . Finally, given complete log  $\ell_i$ , the properties of that log that must hold for the proof verification decision (Step 3) to output TRUE on proof  $p_j$  are almost exactly the same properties that must hold for honest  $i$  to add  $p_j$  to  $BLAME_i$ . In fact, VERIFY-PROOF-B only verifies as true more proofs for a given log than would be created by  $i$ , as we show by considering each check separately:

- $p_j = (j, c_1)$ : VERIFY-PROOF-B omits checking for  $(j, c_{11}) \in BLAME_i^{s_2}$  and otherwise makes the same log checks to verify  $p_j$  as ANONYMIZE-B does during blame to produce  $p_j$ .
- $p_j = (j, c_2)$ : VERIFY-PROOF-B and ANONYMIZE-B use the same log checks for this  $p_j$ .
- $p_j = (j, c_3)$ : VERIFY-PROOF-B adds a check to make sure that the descriptors claimed by  $i$  are those received by  $j$ , but this check is always satisfied by the log of an honest  $i$ . All other checks are the same for this  $p_j$ .
- $p_j = (j, c_4)$ : VERIFY-PROOF-B omits checking that the blame shuffle succeeds and that  $\vec{V}_i$  contains some  $GO_k = FALSE$ . Otherwise, it is the same as ANONYMIZE-B for this  $p_j$ .
- $p_j = (j, c_5)$ : VERIFY-PROOF-B omits checking that the blame shuffle succeeds, that  $\vec{V}_i$  contains some  $GO_k = FALSE$ , and that the member with evidence of a bad key gets blamed first. Otherwise, it the same as ANONYMIZE-B for this  $p_j$ .
- $p_j = (j, c_6)$ : VERIFY-PROOF-B omits checking that the blame shuffle succeeds, that  $\vec{V}_i$  contains some  $GO_k = FALSE$ , and that the member with equivocation evidence gets blamed first. Otherwise, it the same as ANONYMIZE-B for this  $p_j$ .

Thus,  $VERIFY-PROOF-B(p_j, \ell_i) = TRUE$  for every  $p_j \in BLAME_i$ .

Therefore, we can simply show that, whenever the protocol fails for  $i$ , a proof is added to  $BLAME_i$ . In ANONYMIZE-B,  $SUCCESS_i = FALSE$  upon protocol completion only in the following cases:

1. The blame shuffle fails.
2. The blame shuffle succeeds and outputs a valid accusation.

3. Some  $\mu_{j4}$  contains  $\text{GO}_j = \text{FALSE}$ .

We consider each case and identify a proof  $p$  that is added to  $\text{BLAME}_i$  in each one.

In case (1), by Theorem 3, there exists a verifiable proof  $(j, c) \in \text{BLAME}_i^{s_2}$  given  $\ell_i^{s_2}$ . If  $c = c_{11}$  and evidence of ciphertext equivocation by  $k$  exists in  $\mu_{j7}$ , then  $p = (k, c_1)$ . Otherwise,  $p = (j, c_2)$ . In case (2),  $p = (j, c_3)$ . In case (3),  $p = (j, c_4)$  if  $\mu_{j4}$  contains no verifiable proofs,  $p = (k, c_2)$  if  $\mu_{j4}$  has a verifiable proof of  $k$ 's misbehavior and  $k$  provides no justification in  $\mu_{k3}$ , and  $p = (\ell, c_5)$  or  $p = (\ell, c_6)$  if  $\mu_{j4}$  has a verifiable proof of  $k$ 's misbehavior but  $k$  provide evidence against  $\ell$  in  $\mu_{k3}$ .

Thus, if GMP-BULK fails for  $i$ ,  $\text{BLAME}_i$  contains a verifiable proof given  $\ell_i$  and only contains such proofs.  $\square$

**Lemma 4.**  $|Pr[C^3 = 1] - Pr[C^2 = 1]|$  is negligible.

*Proof.* Suppose that the adversary exposes an honest member  $j$ . To pass the proof verification of VERIFY-PROOF-B, it must be the case that he produces a proof  $p_j = (c, j)$ . To pass the log verification, it must be the case the log  $\ell_i$  is complete.

Each message in ANONYMIZE-B identifies the sender and is signed by that sender. By the assumption the signature scheme is EUF-CMA, the adversary is not able to forge a signature under any honest member's key, except with negligible probability, and therefore any message signed by  $j$  in  $\ell_i$  must have been sent by  $j$ . Furthermore, each message identifies the round and phase for which that message was sent. An honest member sends at most one message during each phase of a given round. Therefore, every message in  $\ell_i$  from  $j$  must have actually been sent during that round and phase by  $j$ .

Given these facts, we can go through each possible check and show that for each one the needed log evidence cannot exist. Whenever we refer to message  $\mu_{k\phi}$ , we are referring to the message that  $\ell_i$  indicates was sent by member  $k$  in phase  $\phi$ .

Suppose that  $c = c_1$ . Then for the proof to verify,  $\ell_i$  must contain different copies of the same message for Phase 4. An honest  $j$  always sends the same message to every member in any given phase and therefore such messages do not exist. Thus  $c \neq c_1$ .

Suppose that  $c = c_2$ . Then ANONYMIZE-S must have failed in Phase 3 or Phase 7.

If ANONYMIZE-S failed in Phase 3, then for the proof to verify member  $j$  must have not distributed a proof of another member's bad key or key equivocation, and there must be a verifiable  $p_j \in \text{BLAME}_k^{s_1}$  for some member  $k$ . However, if  $j$  intentionally causes a failure, then she always distributes an appropriate proof in  $\mu_{j3}$ , and if she does not, then by Theorem 3 a verifiable proof blaming  $j$  cannot be produced, except with negligible probability.

If ANONYMIZE-S failed for  $i$  in Phase 7, then for the proof to verify member  $j$  must have not distributed a proof another's member equivocation in Phase 4, and  $p_j \in \text{BLAME}_i^{s_2}$  must be verifiable. However, if  $j$  causes a failure of the blame shuffle, then she always distributes a proof of equivocation in  $\mu_{j7}$ , and if she does not, then by Theorem 3 a verifiable proof blaming  $j$  cannot be produced, except with negligible probability. Thus  $c \neq c_2$ .

Suppose that  $c = c_3$ . Then  $j$  must have sent an incorrect or empty ciphertext in Phase 4.

Observe that the hash of broadcast messages in  $\ell_i$  is verified to be equal to the broadcast hash sent by  $j$ , and thus, by the second-preimage resistance property, it must be that the inner public keys and inner ciphertexts in  $\ell_i$  are the same as those seen by  $j$ , except with negligible probability.

The inner private keys are verified to match their public keys, and thus the descriptors computed by VERIFY-PROOF-B must match those seen by  $j$ .

An honest  $j$  would only send a non-empty ciphertext  $C'_{kj}$  if the pseudorandom bits from its decrypted seed yield the correct hash value. Given that the computed descriptors match those seen by  $j$  and that only one seed can encrypt to a given ciphertext, the accusation must not satisfy the validity checks in VERIFY-PROOF-B.

If  $j$  sends an empty ciphertext  $C'_{kj}$ , then it must be that due to a problem with descriptor  $d_k$  that she observed. That is, it must be that  $S_{kj}$  is not a valid ciphertext,  $s_{kj}$  is not a valid seed, or  $\text{HASH}_{k_h}\{C_{kj}\} \neq H_{kj}$ . If any of the above descriptor problems exist, then because the descriptors used in VERIFY-PROOF-B must match the ones seen by  $j$ , the accusation must not satisfy the validity checks in VERIFY-PROOF-B. Thus  $c \neq c_3$ .

Suppose that  $c = c_4$ . Then it must be that  $j$  sent  $\text{GO}_j = \text{FALSE}$  in  $\mu_{j4}$  without justification. The justification needed either would be evidence in  $\mu_{j3}$  of a bad key or key equivocation in Phase 1a or would be a verifiable proof in  $\mu_{j4}$  of misbehavior during ANONYMIZE-S in Phase 3.

If  $j$  sent  $\text{GO}_j = \text{FALSE}$  in  $\mu_{j4}$ , it must have been that the descriptor shuffle failed for  $j$ . If  $j$  intentionally caused this shuffle to fail, then  $j$  observed bad or non-matching keys and distributed the evidence in  $\mu_{j3}$ . If  $j$  did not intentionally cause shuffle failure, then by Theorem 3,  $\text{BLAME}_j^{s1}$  contains a verifiable proof given  $\ell_j^{s1}$ . Thus  $c \neq c_4$ .

Suppose that  $c = c_5$ . Then  $j$  must have sent an invalid key in  $\mu_{j1a}$ . An honest  $j$  would never send an invalid key, though, and thus  $c \neq c_5$ .

Suppose that  $c = c_6$ . Then for the proof to verify,  $\ell_i$  must contain different copies of the same message for Phase 1a. However, an honest  $j$  always sends the same message to every member in any given phase. Thus  $c \neq c_6$ .

Therefore, there is no value of  $c$  for which VERIFY-PROOF-B could output TRUE given  $\ell_i$ , except with negligible probability, and the adversary cannot expose an honest member.  $\square$

**Theorem 4.** *The GMP-BULK protocol offers accountability.*

*Proof.* Lemmas 3 and 4 show that the challenger output distribution in the accountability game is negligibly close to the challenger output in Game 3.  $C^3$  always outputs 0, and thus the probability that  $C$  outputs 1 in the accountability game is negligible.  $\square$

## 8.4 Anonymity

We prove that GMP-SHUFFLE and GMP-BULK maintain anonymity by sequentially modifying the original anonymity-game challenger  $C$  so that in Game  $i$  adversary  $A$  plays the anonymity game with challenger  $C^i$ . For Game  $i$  we define a “game output”  $G^i$  that is closely related to the adversary’s output. Let  $\Delta(G^i)$  denote  $|Pr[G^i(0) = 1] - Pr[G^i(1) = 1]|$ , which is the *advantage* of game  $G^i$ . We generally omit the challenge bit  $b$  that is technically an input to the game outputs, the challengers, and random variables that we define as a function of the challengers. Let  $\bar{b}$  be the complement of bit  $b$ :  $\bar{b} = 1 - b$ . Let  $h_1, h_2, \dots, h_{N-k}$  be the honest users in the order they appear in the member permutation  $\tau$  produced by SETUP.

### 8.4.1 The GMP-Shuffle Protocol

We show that the adversary’s advantage in winning the anonymity game with GMP-SHUFFLE is negligible by proving that the game’s advantage changes negligibly between neighboring games



and is zero in the final game.

We begin by using any adversary  $A$  to construct *Game 0*, in which a new challenger  $C^0$  randomly guesses whether a given honest user will release her outer private key during the final phases of the protocol. When  $C^0$  guesses correctly, he behaves exactly as  $C$  would in the anonymity game and the game ends with the output of  $A$ . When  $C^0$  guesses incorrectly, the game output is a random bit.  $C^0$  guesses independently of  $A$ , and so we will be able to show that the game output's advantage in Game 0 is  $1/2$  the advantage of  $A$  in the anonymity game.

Then we define *Game 1*, in which a further modified challenger  $C^1$  creates the inner or outer ciphertexts of  $\alpha$  by starting with a plaintext unrelated to the challenge message  $m_b$ . We will be able to show that advantage in Game 1 is negligibly close to the advantage in Game 0 by showing how a non-negligible change in advantage would allow us to distinguish encrypted messages with non-negligible probability.

Finally, we define *Game 2* by creating a challenger  $C^2$  from  $C^1$  in the same way that  $C^1$  was created from  $C^0$ , except replacing  $\alpha$  by  $\beta$  and  $m_b$  with  $m_{\bar{b}}$  in the changes. We can show that the advantage changes negligibly from Game 1 to Game 2 using a similar argument as used from Game 0 to Game 1. It will be the case that the advantage in Game 2 must be 0 because the adversary sees the same distribution of messages from the challenger regardless of the challenge bit.

Let  $Z^i$  indicate that the challenger  $C^i$  guesses that  $h_1$  should release her outer private key at some point as part of ANONYMIZE-S, and let  $F^i$  indicate whether or not the challenger failed in Game  $i$ .

**Game 0:** In this game,  $A$  interacts with a challenger  $C^0$  that sometimes fails.  $C^0$  sets  $Z^0 \in \{0, 1\}$  uniformly at random.  $C^0$  differs from  $C$  in the following cases of the challenge shuffle, when his guess about which keys will be released proves to be incorrect:

1. In Phase 3 (Anonymization),  $Z^0 = 0$  and the partial decryptions of the outer ciphertexts  $C_\alpha$  and  $C_\beta$  with keys  $I_1^{sec} \dots, I_{h_1-1}^{sec}$  do not appear exactly once each in the ciphertext vector  $\vec{C}_{h_1-1}$  sent to  $h_1$ .  $C^0$  can check this by comparing to the partial ciphertexts created during Phase 2a.
2. In Phase 4 (Verification),  $Z^0 = 0$  and either of the inner ciphertexts  $C'_\alpha$  and  $C'_\beta$  is missing either from the copy of vector  $\vec{C}_N$  sent to  $\alpha$  or from the copy sent to  $\beta$ . Again,  $C^0$  can notice this by comparing to inner ciphertexts created during Phase 2a.
3. In Phase 5 (Key Release and Decryption),  $Z^0 = 1$  and member  $h_1$  receives  $GO_j = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_{h_1}\}$  for every member  $j \neq h_1$ , and  $GO_{h_1} = \text{TRUE}$ .
4. In Phase 6 (Blame),  $Z^0 = 0$  and *i*)  $GO_{h_1} = \text{FALSE}$ , *ii*)  $h_1$  received  $GO_j = \text{FALSE}$  from any member  $j$ , or *iii*)  $h_1$  received  $\text{HASH}_{k_h}\{\vec{B}_j\} \neq \text{HASH}_{k_h}\{\vec{B}_{h_1}\}$  from any member  $j$ .

In each of these cases,  $F^0 = 1$ ,  $C^0$  terminates, and the game output  $G^0$  is set to a uniformly random bit. This is also the result if  $C^0$  observes a hash collision. In every other case,  $F^0 = 0$ ,  $C^0$  correctly executes ANONYMIZE-S on behalf of the honest users, and  $G^0$  is set to the output bit of  $A$ .

**Game 1:** In this game, we further modify the challenger to define  $C^1$ , which replaces with unrelated ciphertexts the intermediate stages of the construction of the inner or outer ciphertext of  $\alpha$ , depending on  $Z^1$ . That is,  $C^1$  behaves the same as  $C^0$ , except

1. In Phase 2a,

*Case 1:*  $Z^1 = 0$ . A partially encrypted outer ciphertext for  $\alpha$  is created and stored as  $C''_\alpha = \{\{\alpha\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_{h_1}^{pub}}$ , and the outer ciphertext is then created as  $C_\alpha = \{C''_\alpha\}_{O_{h_1-1}^{pub}:O_1^{pub}}$ . Also create  $C'_\alpha = \{m_b\}_{I_N^{pub}:I_1^{pub}}$  for later use. The public keys used for each ciphertext of  $\alpha$  are those received by  $\alpha$  in Phase 1.

*Case 2:*  $Z^1 = 1$ . The inner ciphertext for  $\alpha$  is created and stored as  $C'_\alpha = \{\alpha\}_{I_N^{pub}:I_1^{pub}}$ , and the outer ciphertext  $C_\alpha$  is created from  $C'_\alpha$  in the same way as  $C^0$ . Again, the public keys used for each ciphertext of  $\alpha$  are those received by  $\alpha$  in Phase 1.

The rest of the phase is executed in the same way as  $C^0$ .

2. In Phase 3, if  $Z^1 = 0$  and both the stored ciphertext  $C''_\alpha$  and the partial decryption of  $C_\beta$  by  $I_N^{sec} \dots, I_{h_1-1}^{sec}$  (which  $C^1$  knows because it created  $C_\beta$ ) appear exactly once each in the vector of ciphertexts  $\vec{C}_{h_1-1}$  sent to  $h_1$ , then replace  $C''_\alpha$  with  $\{C'_\alpha\}_{O_N^{pub}:O_{h_1+1}^{pub}}$  for inclusion in the vector  $\vec{C}_{h_1}$  sent to  $h_1 + 1$ , where the encryption uses the outer keys sent to  $\alpha$ .

In every other way,  $C^1$  executes in the same way as  $C^0$ .

**Game 2:** This game is created from Game 1 using the same changes given in its definition, except replacing  $\alpha$  with  $\beta$  and  $m_b$  with  $m_{\bar{b}}$  everywhere.

The following lemma shows that Game 0 is a relevant starting point because its output's advantage is negligibly close to 1/2 the advantage of  $A$  in the anonymity game:

**Lemma 5.**

$$\Delta(G^0) = \frac{1}{2} \left| Pr \left[ A^{C(0)} = 1 \right] - Pr \left[ A^{C(1)} = 1 \right] \right|,$$

where the probability is taken over the randomness of both the adversary and the challenger.

*Proof.* Let  $T_{A,C}$  be the set of all possible game *transcripts*, that is, sequences of messages, between  $A$  and  $C$ . Let  $T_{A,C^0}$  be the set of transcripts between  $A$  and  $C^0$ . We claim that each member of  $T_{A,C}$  and  $T_{A,C^0}$  falls into exactly one of following cases, which are nearly the same as the failure cases defining Game 0:

1. In Phase 3, the expected ciphertexts of  $\alpha$  and  $\beta$  are not sent to  $h_1$  exactly once each.
2. Case 1 does not occur, and in Phase 4, either of the inner ciphertexts  $C'_\alpha$  and  $C'_\beta$  is missing from either the copy of vector  $\vec{C}_N$  sent to  $\alpha$  or the copy sent to  $\beta$ .
3. At the start of Phase 5,  $GO_{h_1} = \text{TRUE}$ , and  $h_1$  receives from every member  $j$   $GO_j = \text{TRUE}$  and  $\text{HASH}_{k_h} \{\vec{B}_j\} = \text{HASH}_{k_h} \{\vec{B}_{h_1}\}$ .
4. Case 1 does not occur, Case 2 does not occur, and at the start of Phase 6 *i*)  $GO_{h_1} = \text{FALSE}$ , *ii*)  $h_1$  received  $GO_j = \text{FALSE}$  from some member  $j$ , or *iii*)  $\text{HASH}_{k_h} \{\vec{B}_j\} \neq \text{HASH}_{k_h} \{\vec{B}_{h_1}\}$  from some member  $j$ .

Cases 1, 2, and 4 are mutually exclusive events because the latter of these cases are explicitly defined to occur only when the previous do not. Case 3 is disjoint from the other three cases because each of them either results in termination before Phase 5 or results in  $GO_h = \text{FALSE}$  sent in Phase 5 from

an honest node  $h$ . One of these cases always occurs because one of the following is true of the execution:

1. The challenger fails, which as mentioned above only happens in one of these cases.
2. After Phase 4,  $GO_{h_1} = \text{FALSE}$  or  $h_1$  received from some member  $j$   $GO_j = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_j\} \neq \text{HASH}_{k_h}\{\vec{B}_{h_1}\}$ , which implies that one of Cases 1, 2, or 4 above occurred.
3. After Phase 4,  $GO_{h_1} = \text{TRUE}$  and  $h_1$  received from every member  $j$   $GO_j = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_{h_1}\}$ , which implies that Case 3 above occurred.

Now consider members of  $T_{A,C}$  that fall in Case 1 above.  $C^0$  sends messages according to the same distribution as  $C$  up to the Phase 3 message to  $h_1$ . Whether or not Case 1 also applies to a transcript in  $T_{A,C^0}$  is determined by the messages up to this point. Thus the probability that Case 1 applies to a transcript in  $T_{A,C^0}$  is the same as for a transcript in  $T_{A,C}$ . Moreover,  $Z^0$  is independent of these messages, and thus  $C^0$  fails under the first failure case of Game 0 with probability  $1/2$  among those  $T_{A,C^0}$  transcripts in Case 1. Among those same transcripts where  $C^0$  does not fail under the first failure case,  $Z^0$  must be 1 and  $GO_{h_1} = \text{FALSE}$  if Phase 4 is reached, so the other failure cases of Game 0 don't apply and  $C^0$  behaves the same as  $C$  throughout the transcript. Therefore, the distribution of  $T_{A,C^0}$  transcripts in Case 1 given that  $F^0 = 0$  is the same as the distribution of  $T_{A,C}$  transcripts in Case 1.

Next consider those  $T_{A,C}$  transcripts not in Case 1. Because Case 1 does not apply,  $C$  and  $C^0$  behave the same up through Phase 3. Whether or not Case 2 applies is determined by the end of Phase 3. Thus the probability that Case 2 applies to a  $T_{A,C^0}$  transcript is the same as for a  $T_{A,C}$  transcript.  $Z^0$  is again independent of all partial  $T_{A,C^0}$  transcripts in Case 2 up through Phase 3. Thus,  $C^0$  fails under the second Game 0 failure case in transcripts in this case with probability  $1/2$ . Moreover, when  $C^0$  does not fail under the second failure case but the transcript is in Case 2,  $Z^0 = 1$  and either  $GO_h = \text{FALSE}$  for one of  $h \in \{\alpha, \beta\}$  or the hashes of the broadcast vectors of  $\alpha$  and  $\beta$  don't match. Thus, the other failure cases of Game 0 don't apply, and so  $C^0$  behaves the same as  $C$  throughout the transcript. Therefore, the distribution of  $T_{A,C^0}$  transcripts in Case 2 given that  $F = 0$  is the same as the distribution of  $T_{A,C}$  transcripts in Case 2.

Next consider those  $T_{A,C}$  transcripts not in either Case 1 or Case 2. Because Case 1 and Case 2 don't apply,  $C$  and  $C^0$  behave the same up through Phase 4. Whether or not Case 3 applies is determined by the end of Phase 4. Thus the probability that Case 3 applies to a  $T_{A,C^0}$  transcript is the same as for a  $T_{A,C}$  transcript.  $Z^0$  is again independent of all partial  $T_{A,C^0}$  transcripts in Case 3 up through Phase 4. Thus,  $C^0$  fails under the third failure case in transcripts in this case with probability  $1/2$ . Moreover, when  $C^0$  does not fail under the third failure case but the transcript is in Case 3,  $Z^0 = 0$ ,  $GO_{h_1} = \text{TRUE}$ ,  $h_1$  receives  $GO_j = \text{TRUE}$  from all  $j$ , and  $\text{HASH}_{k_h}\{\vec{B}_j\} = \text{HASH}_{k_h}\{\vec{B}_{h_1}\}$  for all  $j$ . Thus, the fourth failure case of Game 0 does not apply. The first two failure cases can't apply to any transcript in Case 3, and so  $C^0$  behaves the same as  $C$  throughout the transcript. Therefore, the distribution of  $T_{A,C^0}$  transcripts in Case 3 given that  $F^0 = 0$  is the same as the distribution of  $T_{A,C}$  transcripts in Case 3.

Finally, consider those  $T_{A,C}$  transcripts not in Case 1, Case 2, or Case 3. Because Cases 1–3 don't apply,  $C$  and  $C^0$  behave the same up through Phase 5. Whether or not Case 4 applies is determined by the end of Phase 5. Thus the probability that Case 4 applies to a  $T_{A,C^0}$  transcript is the same as for a  $T_{A,C}$  transcript.  $Z^0$  is again independent of all partial  $T_{A,C^0}$  transcripts in Case

4 up through Phase 5. Thus,  $C^0$  fails under the fourth failure case in transcripts in this case with probability  $1/2$ . Moreover, the other failure cases don't apply to transcripts in Case 4, and so, when Case 4 applies but  $C^0$  does not fail,  $C^0$  behaves the same as  $C$  throughout the transcript. Therefore, the distribution of  $T_{A,C^0}$  transcripts in Case 4 given that  $F^0 = 0$  is the same as the distribution of  $T_{A,C}$  transcripts in Case 4.

Thus, because  $F^0 = 0$  with probability  $1/2$  for each of the above cases,  $F^0 = 0$  with probability  $1/2$  overall. In addition, because the distribution of  $T_{A,C^0}$  transcripts in each of the above cases conditional on  $F^0 = 0$  is the same as the distribution of  $T_{A,C}$  transcripts in the same cases, and the probability of each case is the same between  $T_{A,C}$  and  $C_{A,C^0}$ , the conditional distribution of  $T_{A,C^0}$  given  $F^0 = 0$  is the same as the distribution of  $T_{A,C}$ . The game output  $G^0(b)$  is  $A^{C^0(b)}$  if  $F^0 = 0$  and is a uniformly random bit if  $F^0 = 1$ . Therefore,

$$\begin{aligned} Pr[G^0(b) = 1] &= Pr[F^0 = 0]Pr[G^0(b) = 1|F^0 = 0] + Pr[F^0 = 1]Pr[G^0(b) = 1|F^0 = 1] \\ &= \frac{1}{2}Pr[A^{C^0(b)} = 1] + \frac{1}{2} \cdot \frac{1}{2}, \end{aligned}$$

which proves the lemma.  $\square$

The next lemma shows that changing the ciphertexts between Game 0 and Game 1 can only change the advantage of the game output by a negligible amount.

**Lemma 6.**  $|\Delta(G^1) - \Delta(G^0)|$  is negligible.

*Proof.* We prove the lemma by constructing a distinguisher  $D(b)$  that has a non-negligible advantage in the distinguishing game if  $|Pr[G^1(b) = 1] - Pr[G^0(b) = 1]|$  is non-negligible. Let  $b_D$  be the challenge bit in the distinguishing game.  $D$  interacts with the distinguishing-game challenger  $C_D(b_D)$  and  $A$  to execute either Game 0 or Game 1, depending on  $b_D$ , as follows:

1.  $D$  simulates the challenger of the anonymity game  $C(b)$  exactly up to Phase 1 of the challenge shuffle. Let  $Z$  denote the random guess about later key releases that  $D$  makes as part of the simulation.
2. For Phase 1,  $D$  generates encryption key pairs  $(I_{h_i}^{sec}, I_{h_i}^{pub})$  and  $(O_{h_i}^{sec}, O_{h_i}^{pub})$ ,  $1 < i \leq N - k$ .  $D$  obtains the public encryption key  $K_1^{pub}$  from  $C_D$  and generates the encryption key pair  $(K_2^{sec}, K_2^{pub})$ . Then,

*Case 1:*  $Z = 0$ .  $D$  sets

$$\begin{aligned} O_{h_1}^{pub} &= K_1^{pub} \text{ and} \\ (I_{h_1}^{sec}, I_{h_1}^{pub}) &= (K_2^{sec}, K_2^{pub}). \end{aligned}$$

*Case 2:*  $Z = 1$ .  $D$  sets

$$\begin{aligned} I_{h_1}^{pub} &= K_1^{pub} \text{ and} \\ (O_{h_1}^{sec}, O_{h_1}^{pub}) &= (K_2^{sec}, K_2^{pub}). \end{aligned}$$

Then  $D$  broadcasts these public keys from the honest members as described in the protocol.

3. For Phase 2a,

*Case 1:*  $Z = 0$ .  $D$  sets  $C'_\alpha = \{m_b\}_{I_N^{pub}:I_1^{pub}}$ ,  $m'_0 = \{C'_\alpha\}_{O_N^{pub}:O_{h_1+1}^{pub}}$  and  $m'_1 = \{\{\alpha\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_{h_1+1}^{pub}}$ , using the encryption keys of  $\alpha$ .  $D$  submits  $(m'_0, m'_1)$  to  $C_D$ , and receives  $c_{b_D}$  as a response.  $D$  sets  $C''_\alpha = c_{b_D}$ .  $D$  then finishes the phase as  $C^1$  would starting after it creates  $C''_\alpha$  in Case 1.

*Case 2:*  $Z = 1$ .  $D$  sets  $m'_0 = \{m_b\}_{I_N^{pub}:I_{h_1+1}^{pub}}$  and  $m'_1 = \{\alpha\}_{I_N^{pub}:I_{h_1+1}^{pub}}$ .  $D$  submits  $(m'_0, m'_1)$  to  $C_D$  and receives  $c_{b_D}$  as a response.  $D$  sets  $C'_\alpha = \{c_{b_D}\}_{I_{h_1-1}^{pub}:I_1^{pub}}$ . All encryption is done using the keys received by  $\alpha$ .  $D$  then finishes the phase as  $C^1$  would starting after it creates  $C'_\alpha$  in Case 2.

4. Phase 2b is executed as described in the protocol.

5. For Phase 3,  $D$  first receives a ciphertext vector of  $\vec{C}_{h_1-1}$  intended for  $h_1$ . Then

*Case 1:*  $Z = 0$ , and both  $C''_\alpha$  and the partial decryption of  $C_\beta$  by  $I_N^{sec}, \dots, I_{h_1-1}^{sec}$  (which  $D$  can check because that ciphertext was constructed by  $D$  alone) appear in  $\vec{C}_{h_1-1}$  exactly once. Then  $D$  replaces  $C''_\alpha$  with  $m'_0$ , decrypts the remaining ciphertexts using  $C_D$ , shuffles the vector, and sets  $\vec{C}_{h_1}$  to the result.  $D$  then finishes the phase as described in the protocol starting with sending  $\vec{C}_{h_1}$  to member  $h_1 + 1$ .

*Case 2:*  $Z = 0$ , and  $C''_\alpha$  or the partial decryption of  $C_\beta$  does not appear in  $\vec{C}_{h_1-1}$  exactly once. In this case,  $D$  terminates the simulation and sets  $G \in \{0, 1\}$  uniformly at random.

*Case 3:*  $Z = 1$ .  $D$  has the private outer keys for all honest members, and therefore can execute this phase just as  $C^1$  would.

$D$  executes this phase for other honest members  $h_i, i > 1$ , as described in the protocol.

6.  $D$  executes Phase 4 as  $C^1$  would, which is possible because this phase uses no private keys. If  $C^1$  terminates,  $D$  terminates the simulation and sets  $G \in \{0, 1\}$  uniformly at random.

7.  $D$  executes Phase 5 as  $C^1$  would. This is possible because if  $Z = 0$   $D$  has the inner private keys and if  $Z = 1$   $C^1$  fails if inner private keys are required. If  $C^1$  fails,  $D$  terminates the simulation and sets  $G \in \{0, 1\}$  uniformly at random.

8.  $D$  executes Phase 6 as  $C^1$  would. This is possible because if  $Z = 0$   $C^1$  fails if outer keys are required and if  $Z = 1$   $D$  has the outer private keys. If  $C^1$  fails,  $D$  terminates the simulation and sets  $G \in \{0, 1\}$  uniformly at random.

9. As many times as requested,  $D$  takes messages for the honest members and executes the shuffle protocol with  $A$ .

10. If the simulation did not terminate prematurely, let  $\hat{b}_A$  be the guess output by  $A$  and set  $G = \hat{b}_A$ .  $D$  outputs its guess in the distinguishing game as  $\hat{b}_D = G$ .

We claim that  $D$  simulates  $C^{b_D}$  with  $A$ , that is, that  $D$  effectively executes Game 0 or Game 1, depending on  $b_D$ . That  $D$  correctly simulates all steps of the anonymity game except the challenge shuffle (i.e. Steps 1–4, 6, and 7) follows because it is defined as doing so, and these steps are the

same for  $C^0$  and  $C^1$ . To show that the challenge shuffle (Step 5) is simulated correctly, we show that for each phase,  $D$  simulates  $C^{b_D}$ :

- Phase 1: Although one public key is determined by the challenger  $C_D$ , the end result is that  $D$  broadcasts inner and outer public keys for honest members that are generated using the cryptosystem's key generation algorithm, just as both  $C^0$  and  $C^1$  do.
- Phase 2a:
 

*Case 1:*  $b_D = 0$ . The result of using the response from  $C_D$  to construct  $C_\alpha$  is that  $\alpha$  commits to  $\{\{m_b\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_1^{pub}}$ , where the keys used are those received by  $\alpha$ , just as in  $C^0$ . The other honest members behave as they do in  $C^1$  by definition, which is the same as in  $C^0$ .

*Case 2:*  $b_D = 1$ . The result of using the response from  $C_D$  is that  $\alpha$  commits to  $\{\{\alpha\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_1^{pub}}$ , where the keys used are those received by  $\alpha$ , just as in  $C^1$ . The other honest members behave as they do in  $C^1$  by definition.
- Phase 2b:  $D$ ,  $C^0$ , and  $C^1$  all execute this phase as described in the protocol.
- Phase 3:
 

*Case 1:*  $Z = 0$  and both  $C''_\alpha$  and the partial decryption of  $C_\beta$  by  $I_N^{sec}, \dots, I_{h_1-1}^{sec}$  appear in  $\vec{C}_{h_1-1}$  exactly once. For  $h_1$ ,  $D$  replaces  $C''_\alpha$  with  $m'_0 = \{\{m_b\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_{h_1+1}^{pub}}$  when constructing  $\vec{C}_{h_1}$ , and the other ciphertexts are simply decrypted. This is just as both  $C^0$  and  $C^1$  would have done. For the other honest members,  $D$  executes them as described in the protocol, just as  $C^0$  and  $C^1$  would do.

*Case 2:*  $Z = 0$  and either  $C''_\alpha$  or the partial decryption of  $C_\beta$  by  $I_N^{sec}, \dots, I_{h_1-1}^{sec}$  does not appear in  $\vec{C}_{h_1-1}$  exactly once.  $D$  terminates the game, just as both  $C^0$  and  $C^1$  would do.

*Case 3:*  $Z = 1$ .  $D$  executes just as  $C^1$  would by definition, which is the same as  $C^0$ .
- Phases 4–6:  $D$  executes these phases just as  $C^1$  would by definition, which is the same as  $C^0$ .

Thus  $D$  correctly simulates  $C^{b_D}$  for  $A$ . Moreover, observe that when  $C^{b_D}$  does not prematurely terminate, then  $D$  uses the output  $\hat{b}_A$  of  $A$  for the game output  $G$ , and when  $C^{b_D}$  does terminate, then  $D$  randomly sets  $G \in \{0, 1\}$ . This is exactly how  $G^{b_D}$  is set. Therefore, the advantage of  $D$  in the distinguishing game is

$$\begin{aligned} \left| Pr[\hat{b}_D = 1 | b_D = 1] - Pr[\hat{b}_D = 1 | b_D = 0] \right| &= |Pr[G = 1 | b_D = 1] - Pr[G = 1 | b_D = 0]| \\ &= |Pr[G^1 = 1] - Pr[G^0 = 1]|. \end{aligned}$$

Therefore, because we assume that the cryptosystem is IND-CCA2,  $Pr[G^1 = 1] - Pr[G^0 = 1]$  must be negligible. This implies the lemma.  $\square$

Game 1 is modified to create Game 2 by replacing some ciphertexts of  $\beta$  just as Game 0 was modified to create Game 1 by replacing ciphertexts of  $\alpha$ . Thus for similar reasons as before, it holds that the advantage of the game output changes by a negligible amount from Game 1 to Game 2.

**Lemma 7.**  $|\Delta(G^2) - \Delta(G^1)|$  is negligible.

*Proof.* The proof is exactly the same as the proof for Lemma 6 except for the following changes:

1. Everywhere  $\alpha$  is replaced by  $\beta$ ,  $\beta$  is replaced by  $\alpha$ , and  $m_b^c$  is replaced by  $m_{\bar{b}}^c$ .
2. The simulation claim is that  $D$  simulates  $C^{bD+1}$  for  $A$ , rather than  $C^{bD}$ . Thus the resulting execution is identical to either Game 2 or Game 1, rather than Game 1 or Game 0, and the output  $G$  is has the same distribution as  $G^{bD+1}$ , rather than  $G^{bD}$ .

□

We now show that when Game 2 does not fail, the adversary has the same view whether  $m_0^c$  belongs to  $\alpha$  or  $\beta$  and therefore has no advantage in the output of Game 2. In doing so we view the challenger  $C^2$  as invoking a subroutine  $C'^2$  that just executes the challenge shuffle of the anonymity game. This view allows our results to be reused when proving the anonymity of the bulk protocol, which calls the shuffle as a subprotocol.

Specifically, we consider the simulation by  $C'^2$  of ANONYMIZE-S during the challenge run of the shuffle protocol as an invocation of  $C'^2$ . The inputs from  $C^2$  to  $C'^2$  are the challenge bit  $b$ , the challenge members  $\alpha$  and  $\beta$ , the challenge messages  $m_0^c$  and  $m_1^c$ , the honest non-challenge messages  $\{m_h\}_{h \in H \setminus \{\alpha, \beta\}}$ , the round number  $n_R$ , the signing keys  $K$ , the member ordering  $\tau$ , and fail flags  $\{f_h = \text{FALSE}\}_{h \in H}$ . Let  $I$  be a vector all of these inputs except  $b$ . Let the output of honest members from the challenge shuffle be  $O = (O_{h_1}, \dots, O_{h_{N-k}})$ , where  $O_{h_i}$  is the output of  $h_i$ .  $C^2$  fails if and only if  $C'^2$  fails. Let  $F'^2$  indicate that  $C'^2$  fails. Let  $M$  be the transcript of messages between  $C'^2$  and  $A$  during the challenge shuffle. When  $F'^2 = 1$ ,  $O$  and  $M$  are defined to take a constant failure value.

The following lemma shows that changing the challenge bit  $b$  does not change the joint probability of challenger failure, shuffle messages, and honest members' shuffle outputs:

**Lemma 8.**

$$\Pr[M = m \wedge O = o \wedge F'^2 = f | I = i \wedge b = 0] = \Pr[M = m \wedge O = o \wedge F'^2 = f | I = i \wedge b = 1].$$

*Proof.* We consider the messages sent in each phase as well as the final output and show that they do not depend on  $b$ . In order to do this, we also track some of the internal variables and show that they are updated the same way regardless of  $b$ .

- Phase 1:
  - $C'^2$  sets guess  $Z^2$  independently of  $b$ .
  - Each honest member  $h$  generates inner and outer keypairs  $(I_h^{sec}, I_h^{pub})$  and  $(O_h^{sec}, O_h^{pub})$  independently of  $b$ .
  - The message  $\mu_{h1} = \{I_h^{pub}, O_h^{pub}, n_R, 1, h\} \text{SIG}_{u_h}$  sent by each honest member  $h \in H$  is independent of  $b$  by the above.
  - The messages to  $h$  from other honest members are shown above to be independent of  $b$ .
  - The messages to  $h$  from  $A$  are independent of  $b$  because  $A$  uses the outputs of SETUP-S as well as the messages from honest users to generate its messages, both of which are shown above to be independent of  $b$ .

- $GO_h$  is set to FALSE if  $h$  receives invalid public keys. Thus by the above  $GO_h$  is independent of  $b$ .
- Phase 2a: This phase depends on  $Z^2$ , which has been shown to be independent of  $b$ .
  - Case 1:  $Z^2 = 0$ .
    - \* The partially decrypted outer ciphertexts  $C''_h = \{\{h\}_{I_N^{pub}:I_1^{pub}}\}_{O_N^{pub}:O_{h_1}^{pub}}, h \in \{\alpha, \beta\}$  do not depend on  $b$  by the above.
    - \* The outer ciphertexts  $C_h = \{C''_h\}_{O_{h_1-1}^{pub}:O_1^{pub}}, h \in \{\alpha, \beta\}$ , do not depend on  $b$  by the above.
    - \* The inner ciphertexts  $C'_h = \{d_h\}_{I_N^{pub}:I_1^{pub}}, h \in H \setminus \{\alpha, \beta\}$ , do not depend on  $b$  by the above.
    - \* The outer ciphertexts  $C_h = \{C'_h\}_{O_N^{pub}:O_1^{pub}}, h \in H \setminus \{\alpha, \beta\}$ , do not depend on  $b$  by the above.
    - \* Note that the inner ciphertext  $C'_\alpha = \{m_b\}_{I_N^{pub}:I_1^{pub}}$  *does* depend on  $b$  (and similarly for  $C'_\beta$ ).
  - Case 2:  $Z^2 = 1$ .
    - \* The inner ciphertexts  $C'_h = \{h\}_{I_N^{pub}:I_1^{pub}}, h \in \{\alpha, \beta\}$ , do not depend on  $b$  by the above.
    - \* The inner ciphertexts  $C'_h = \{d_h\}_{I_N^{pub}:I_1^{pub}}, h \in H \setminus \{\alpha, \beta\}$ , do not depend on  $b$  by the above.
    - \* The outer ciphertexts  $C_h = \{C'_h\}_{O_N^{pub}:O_1^{pub}}, h \in H$ , do not depend on  $b$  by the above.
  - The commitments  $X_h = \text{COMMIT}\{C_h, h\}, h \in H$ , do not depend on  $b$  because  $h$  does not and  $C_h$  does not by the above.
  - The message  $\mu_{h2a} = \{X_h, n_R, 2a, h\}\text{SIG}_{u_h}$  sent by each  $h \in H$  does not depend on  $b$  by the above.
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h2a}, h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i2a}, i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h2a}, h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
  - $GO_h$  is set to FALSE if  $h$  receives an invalid commitment,  $h \in H$ . Thus  $GO_h$  still does not depend on  $b$  by the above.
- Phase 2b:
  - The message  $\mu_{h2b} = \{\text{OPEN}\{X_h\}, n_R, 2b, h\}\text{SIG}_{u_h}$  sent by each  $h \in H$  does not depend on  $b$  by the above.
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h2b}, h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i2b}, i \in D$ , received by  $h \in H$  are independent of  $b$ .



- The messages  $\mu_{h_2b}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- $GO_h$  is set to FALSE if  $h$  receives an invalid opening or an opening to an invalid ciphertext,  $h \in H$ . Thus  $GO_h$  still does not depend on  $b$  by the above.

- Phase 3:

- Whether  $C'^2$  fails depends on  $Z^2$ , on the partially decrypted ciphertexts  $C''_\alpha$  and  $C''_\beta$ , and on the ciphertexts  $h_1$  receives during the shuffle.  $Z^2$ ,  $C''_\alpha$ , and  $C''_\beta$  are shown above to be independent of  $b$ . If  $h_1 = 1$ , then the received ciphertexts are in the openings of the message commitments from the previous phases. These are shown above not to depend on  $b$ . If  $h_1 > 1$ , then these ciphertexts are from the adversary in this phase, and since his last output, the adversary has only received as additional input messages from honest users that are independent of  $b$ , as shown above. Thus the outputs of  $A$  continue to be independent of  $b$ . In either case, therefore, the probability that  $C'^2$  fails is independent of  $b$ .
- $h \in H$  chooses a permutation  $\pi_h$  to apply to the elements of the ciphertext vector it receives in this phase.  $\pi_h$  is chosen independently of  $b$ .
- The behavior of  $h_1$  depends on  $Z^2$ , which has been shown to be independent of  $b$ .

- \* *Case 1:  $Z^2 = 0$ .* A message is only sent by  $h_1$  if  $C'^2$  does not fail, which itself only happens when  $C''_\alpha$  and  $C''_\beta$  appear exactly once each among the received ciphertexts. In this case,  $h_1$  replaces these by  $\{C'_\alpha\}_{O_N:O_{h_1+1}}$  and  $\{C'_\beta\}_{O_N:O_{h_1+1}}$ , where the keys used are those received by the  $\alpha$  and  $\beta$ , respectively. If the encryption keys received by  $\alpha$  and  $\beta$  do not match, then  $\alpha$  and  $\beta$  will send different broadcast hashes to  $h_1$  in Phase 4, and  $C'^2$  will fail by Phase 6. Assuming  $C'^2$  does not fail, the replacements  $C'^2$  makes for  $C''_\alpha$  and  $C''_\beta$  are  $m_b$  and  $m_{\bar{b}}$ , respectively, multiply encrypted in the same way.  $C'^2$  simply decrypts the rest of the received ciphertexts using its outer private key.

The received ciphertexts are received from  $A$ , which has not received any messages since the last phase. Therefore the above shows that these ciphertexts are independent of  $b$ . The permutation  $\pi_{h_1}$  used in the vector  $\vec{C}_{h_1}$  is uniformly random. Thus, regardless of  $b$ ,  $\vec{C}_{h_1}$  contains in a random order  $m_0$  and  $m_1$  encrypted in the same way as well as the decryptions of the rest of the received ciphertexts. Therefore, assuming  $C'^2$  has not and will not fail, the message  $\mu_{h_13}$  sent by  $h_1$  is independent of  $b$ .

- \* *Case 2:  $Z^2 = 1$ .* The message  $\mu_{h_13}$  sent by  $h_1$  depends on  $\pi_{h_1}, O_{h_1}^{sec}$ , messages from the previous phases, and messages from the adversary in this phase. These are all shown above to be independent of  $b$ .

- The message  $\mu_{i3}$  from member  $i > h_1$  depends on the messages from previous phases and messages in this phase from members  $j < i$ . We have shown above that messages from previous phases are independent of  $b$ . We inductively assume that messages in this phase from  $j < i$  are independent of  $b$ . For  $i \in D$ , the only additional inputs  $A$  has received since the last phase are  $\mu_{j3}$ ,  $j < i$ , and therefore its outputs continue to be independent of  $b$ . For  $i \in H$ ,  $\mu_{i3}$  contains the permutation and decryption of the

ciphertexts received by  $i$  in  $\mu_{(i-1)3}$ . The permutation  $\pi_i$  and decryption key  $O_i^{sec}$  used are shown above to be independent of  $b$ . Therefore  $\mu_{i3}$  is independent of  $b$ .

- $GO_h, h \in H$ , may be set to FALSE depending on the ciphertexts in  $\mu_{h3}$ . This message is shown above to be independent of  $b$ , and so  $GO_h$  remains independent of  $b$ .
- The messages  $\mu_{h3}, h \in H$  received by  $h' \in H$  are shown above to be independent of  $b$ .

- Phase 4:

- $C'^2$  fails if  $Z^2 = 0$  and either  $\alpha$  or  $\beta$  received a vector  $\vec{C}_N$  that didn't contain both inner ciphertexts  $C'_\alpha$  and  $C'_\beta$  at least once. If encryption keys received by  $\alpha$  and  $\beta$  match, then the set  $\{C'_\alpha, C'_\beta\}$  contains  $m_0$  and  $m_1$  encrypted in the same way, and thus it does not depend on  $b$ .  $\vec{C}_N$  and  $Z^2$  are shown above to be independent of  $b$ . Therefore, if the encryption keys of  $\alpha$  and  $\beta$  match, whether or not  $C'^2$  fails is independent of  $b$ . If those encryption keys don't match, then  $C'^2$  will fail in Phase 6 regardless of  $b$ . The keys are received in an earlier phase, and so it follows from above that whether or not they match is independent of  $b$ .
- $GO_h, h \in H \setminus \{\alpha, \beta\}$ , is updated depending on the inner ciphertext  $C'_h$ ,  $\mu_{N3}$ , the fail flag  $f_h$ , and  $GO_h$  itself, all of which are shown above to be independent of  $b$ . Thus  $GO_h$  remains independent of  $b$ .
- The update to  $GO_h, h \in \{\alpha, \beta\}$ , depends on  $Z^2$ , which is shown above to be independent of  $b$ , as follows:
  - \* *Case 1:*  $Z^2 = 0$ . In this case, if the ciphertext vector  $\vec{C}_N$  sent to both  $\alpha$  and  $\beta$  does not contain both the inner ciphertexts of  $\alpha$  and  $\beta$ , then  $C'^2$  will fail. Assuming that  $C'^2$  does not fail, both  $GO_\alpha$  and  $GO_\beta$  get set to FALSE if the fail flag is  $f_h = \text{TRUE}$ , and otherwise keep any existing FALSE value or get a new value of TRUE. They thus remain independent of  $b$ .
  - \* *Case 2:*  $Z^2 = 1$ . For  $h \in \{\alpha, \beta\}$ ,  $GO_h$  is updated depending on  $f_h$ , the message  $\mu_{N3}$  received by  $h$ , on  $C'_h$ , and on  $GO_h$  itself. In this case, the inner ciphertext  $C'_h$  is shown above to be independent of  $b$ . Likewise,  $f_h, \mu_{N3}$  and  $GO_h$  are shown above to be independent of  $b$ .
- The message  $\mu_{h4} = \{GO_h, \text{HASH}_{k_h}\{\vec{B}\}, n_R, A, h\} \text{SIG}_{u_h}, h \in H$ , does not depend on  $b$  by the above.
- The additional inputs to  $A$  since his last output are messages  $\mu_{h4}, h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i4}, i \in D$ , received by  $h \in H$  are independent of  $b$ .
- The messages  $\mu_{h4}, h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .

- Phase 5:

- Whether  $C'^2$  fails in this phase depends on  $Z^2$  and on the messages sent and received by  $h_1$ . These are shown above to be independent of  $b$ , and thus failure in this phase is independent of  $b$  also.
- The message  $\mu_{h5}$  sent by  $h \in H$  and several internal variables are set differently depending on the messages  $\mu_{i4}$  sent and received by  $h$ , which are shown above to be independent of  $b$ , as follows:

- \* *Case 1:*  $h$  receives all  $GO_i = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_i\} = \text{HASH}_{k_h}\{\vec{B}_h\}$ .
    - The message  $\mu_{h5} = \{I_h^{sec}, n_R, 5, h\}\text{SIG}_{u_h}$  does not depend on  $b$  by the above.
    - $\text{SUCCESS}_h$  depends on the messages sent and received up to and including this phase. These messages are shown above to be independent of  $b$ , and thus  $\text{SUCCESS}_h$  is also.
    - $M'_h$  depends on  $\text{SUCCESS}_h$  and on the messages sent and received up to and including this phase. All of these are shown above to be independent of  $b$ , and thus  $M'_h$  is also.
  - \* *Case 2:*  $h$  receives some  $GO_i = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_i\} \neq \text{HASH}_{k_h}\{\vec{B}_h\}$ .
    - The message  $\mu_{h5} = \{0, \vec{S}, n_R, 5, h\}\text{SIG}_{u_h}$  does not depend on  $b$  by the above.
    - $\text{SUCCESS}_h$  is set to  $\text{FALSE}$ , and thus is independent of  $b$ .
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h5}, h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i5}, i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h5}, h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- Phase 6:
- Whether  $C'^2$  fails in this phase assuming the encryption keys of  $\alpha$  and  $\beta$  match (a case already covered above) depends on  $Z^2$  and on the messages sent and received by  $h_1$ . These are shown above to be independent of  $b$ , and thus failure in this phase under the matching-keys assumption is independent of  $b$  also.
  - The message  $\mu_{h6}$  sent by  $h \in H$  is created differently depending on  $\text{SUCCESS}_h$  and the messages sent and received before this phase. These are shown above to be independent of  $b$ , and so the relevant case is independent of  $b$  as well.
    - \* *Case 1:*  $\text{SUCCESS}_h = \text{TRUE}$ . The message  $\mu_{h6} = \{\vec{T}, n_R, 6, h\}\text{SIG}_{u_h}$  sent by  $h$  depends only on messages sent and received in previous phases. They are shown above to be independent of  $b$ , and thus  $\mu_{h6}$  is as well.
    - \* *Case 2:*  $\text{SUCCESS}_h = \text{FALSE}$ , and for all  $i$   $GO_i = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_i\} = \text{HASH}_{k_h}\{\vec{B}_h\}$ . The message  $\mu_{h6} = \{\vec{T}, n_R, 6, h\}\text{SIG}_{u_h}$  sent by  $h$  depends only on messages sent and received in previous phases. They are shown above to be independent of  $b$ , and thus  $\mu_{h6}$  is as well.
    - \* *Case 3:*  $\text{SUCCESS}_h = \text{FALSE}$ , and for some  $i$   $GO_i = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_i\} \neq \text{HASH}_{k_h}\{\vec{B}_h\}$ . The message  $\mu_{h6} = \{O_h^{sec}, \pi_h, \vec{T}, n_R, 6, h\}\text{SIG}_{u_h}$  sent by  $h$  depends on messages sent and received in previous phases as well as some internal variables, all of which are shown above to be independent of  $b$ .
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h6}, h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i6}, i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h6}, h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
  - The outputs and some internal variables are set differently depending on  $\text{SUCCESS}_h$  and the messages sent and received before this phase. These are shown above to be independent of  $b$ , and so the relevant case is independent of  $b$  as well.

- \* *Case 1:*  $\text{SUCCESS}_h = \text{TRUE}$ . The output  $O_h = (\text{SUCCESS}, M'_h)$  is shown above to be independent of  $b$ .
- \* *Case 2:*  $\text{SUCCESS}_h = \text{FALSE}$ , and for all  $i$   $\text{GO}_i = \text{TRUE}$  and  $\text{HASH}_{k_h}\{\vec{B}_i\} = \text{HASH}_{k_h}\{\vec{B}_h\}$ .
  - $\text{BLAME}_h$  is set based only on messages sent and received up to this point and thus by the above is independent of  $b$ .
  - $\text{Log } \ell_h$  includes the output of  $\text{SETUP-S}$  and all messages sent and received by  $h$  and thus is independent of  $b$  by the above.
  - The output  $O_h = (\text{FAILURE}, \text{BLAME}_h, \ell_h)$  is shown above to be independent of  $b$ .
- \* *Case 3:*  $\text{SUCCESS}_h = \text{FALSE}$ , and for some  $i$   $\text{GO}_i = \text{FALSE}$  or  $\text{HASH}_{k_h}\{\vec{B}_i\} \neq \text{HASH}_{k_h}\{\vec{B}_h\}$ .
  - $\text{BLAME}_h$  is set based only on messages sent and received up to this point and thus by the above is independent of  $b$ .
  - $\text{Log } \ell_h$  includes the output of  $\text{SETUP-S}$  and all messages sent and received by  $h$  and thus is independent of  $b$  by the above.
  - The output  $O_h = (\text{FAILURE}, \text{BLAME}_h, \ell_h)$  is shown above to be independent of  $b$ .

Finally, we are able to prove that the messages, outputs, and failures of  $C'^2$  are independent of  $b$ . The above analysis shows that the probability of failure does not depend on  $b$ . This implies that the probability that the messages  $M$  and outputs  $O$  of honest members take their constant failure values independently of  $b$  as well. When  $C'^2$  does not fail, the above analysis shows that all messages and outputs from honest members are determined independently of  $b$ . Thus

$$\Pr[M = m \wedge O = o \wedge F'^2 = f | I = i \wedge b = 0] = \Pr[M = m \wedge O = o \wedge F'^2 = f | I = i \wedge b = 1].$$

□

We use this independence from  $b$  of the challenge shuffle's messages, outputs, and failure to prove that the adversary has no advantage in Game 2.

**Lemma 9.**  $\Delta(G^2) = 0$ .

*Proof.* To prove this, we show that the steps of the anonymity game surrounding the challenge shuffle are independent of  $b$  and use the previous lemma for the challenge shuffle itself.

1. In Step 1, the protocol executions are independent of  $b$ .
2. In Step 2, the all messages to the adversary have been independent of  $b$ , and so the users and messages  $A$  sends to  $C^2$  for the challenge run are independent of  $b$ .
3. In Step 3, the challenger should assign the challenge messages to the correct challenge users, depending on  $b$ . However, we have modified the challenger to create Game 2 such that this is not necessary, and so we can omit this step.

4. During the challenge run in Step 4,  $C^2$  first executes SETUP-S using as input the honest members' long-term signature verification keys, which are independent of  $b$ , as are the previous messages to  $A$ , and so the output  $(n_R, K, L)$  of SETUP-S is independent of  $b$ .  $C^2$  then calls  $C'^2$  with inputs  $I = (\alpha, \beta, m_0^c, m_1^c, \{m_h\}_{h \in H \setminus \{\alpha, \beta\}}, n_R, K, \tau)$  and  $b$ .  $I$  is determined by previous messages from  $A$  and the outputs of SETUP-S. These have been shown to be independent of  $b$ , and thus  $I$  is as well. We can then apply Lemma 8 to conclude that the joint distribution of shuffle failure and messages to  $A$  are independent of  $b$ .
5. If  $C'^2$  didn't fail, which as shown occurs independently of  $b$ , then  $C^2$  executes Step 5 of the anonymity game by executing additional protocol executions. These depend on messages from  $A$ , and all messages to  $A$  have been shown independent of  $b$ . Thus these executions are independent of  $b$ .
6. The adversary's guess  $\hat{b}$  in Step 6 depends on the messages to  $A$  and the possible failure of  $C^2$ . These have been shown to be independent of  $b$ , and so  $\hat{b}$  is independent of  $b$ .

$G^2(b)$  depends on  $F^2$  and  $\hat{b}$ . These have been shown to be independent of  $b$ , and thus

$$\Pr[G^2(1) = 1] = \Pr[G^2(0) = 1].$$

□

**Theorem 5.** *The GMP-SHUFFLE protocol maintains anonymity with  $k$  colluding members for any  $0 \leq k \leq N - 2$ .*

*Proof.* Let  $A$  be a probabilistic polynomial-time adversary. Let the change in advantage between Games  $i$  and  $j$  be  $\epsilon_{ij} = |\Delta(G^j) - \Delta(G^i)|$ . By Lemma 5, the advantage of  $A$  in the anonymity game with GMP-SHUFFLE is negligibly close to  $2\Delta(G^0) \leq 2(\epsilon_{01} + \epsilon_{12} + \Delta(G^2))$ .  $\epsilon_{01}$  is negligible by Lemma 6,  $\epsilon_{12}$  is negligible by Lemma 7, and  $\Delta(G^2)=0$  by Lemma 9. Thus the advantage of  $A$  in the anonymity game with GMP-SHUFFLE is negligible. □

#### 8.4.2 The GMP-Bulk Protocol

We show that the adversary's advantage in winning the anonymity game with GMP-BULK is negligible by proving that the game's advantage changes negligibly between neighboring games and is zero in the final game. We incorporate the anonymity proof for the shuffle by using that sequence of games (extended to GMP-BULK) as game subsequences modifying the challenger during the bulk protocol's shuffle phases.

We define *Game 0*, *Game 1*, and *Game 2* by changing the behavior of  $C$  during the message-descriptor shuffle in Phase 3. The changes are essentially the same as those made in Game 0, Game 1, and Game 2, respectively, in the shuffle anonymity proof (Section 8.4.1). We then similarly define *Game 3*, *Game 4*, and *Game 5* by applying the same sequence of changes to the blame shuffle in Phase 7. We replace the encrypted seeds sent in the message descriptors of  $\alpha$  and  $\beta$  with unrelated ciphertexts to define *Game 6*. Finally, in *Game 7*, we replace the pseudorandom bit streams sent during data transmission with random streams.

Let  $Z_1^i$  and  $Z_2^i$  indicate that challenger  $C^i$  guesses that  $h_1$  should release her outer private key at some point as part of the message descriptor shuffle (Phase 3) and the blame shuffle (Phase 7), respectively. Let  $F^i$  indicate whether or not the challenger failed in Game  $i$ .

**Game 0:** We create a challenger  $C^0$  that sets  $Z_1^0 \in \{0, 1\}$  uniformly at random as a guess about if  $h_1$  should reveal an outer private key during the message-descriptor shuffle (Phase 3) of the challenge run in the bulk anonymity game.  $C^0$  fails if his guess proves to be wrong or if a hash collision is observed during the shuffle. Otherwise he behaves the same as the anonymity-game challenger. These failure points are exactly the same (using  $Z_1^0$  in place of  $Z^0$ ) as those defining  $C^0$  for Game 0 of the shuffle anonymity analysis (Section 8.4.1), and so we do not repeat them here. Again, when failure occurs,  $F^0 = 1$ ,  $C^0$  terminates, and the game output  $G^0$  is set to a uniformly random bit. In every other case,  $F^0 = 0$ ,  $C^0$  behaves exactly as  $C$  would.

**Game 1:** We again reuse the changes described in the shuffle anonymity analysis. We create challenger  $C^1$  by applying the changes that define  $C^1$  for Game 1 of the shuffle analysis to the challenger  $C^0$  defined above. These changes are made to the Phase 3 shuffle of the challenge run in the bulk anonymity game. Everywhere  $Z^1$  appears in these changes, we instead use  $Z_1^1$ , and everywhere  $m_b^c$  appears, we instead use the shuffle input of  $\alpha$  (which is a message descriptor). These changes effectively replace a ciphertext containing the message descriptor of  $\alpha$  with one that contains a dummy message until it has been shuffled by the first honest member.

**Game 2:** As in the shuffle anonymity analysis, this game is created from Game 1 above in the same way that Game 1 itself was created from Game 0, except replacing  $Z_1^1$  with  $Z_1^2$ ,  $\alpha$  with  $\beta$ , and the shuffle input of  $\alpha$  with the shuffle input of  $\beta$ . This effectively replaces a ciphertext containing the message descriptor of  $\beta$  with one that contains a dummy message until it has been shuffled by the first honest member.

**Games 3–5:** These games further modify the challenger by adapting and applying the sequence of changes given in the shuffle anonymity analysis as was done to define Games 0–2 above. This time, however, we apply the changes to the blame shuffle (Phase 7) of the challenge protocol run. In addition, the guess bit is denoted  $Z_2^i$ , and the shuffle inputs to  $\alpha$  and  $\beta$  are accusations rather than message descriptors.

**Game 6:** We define challenger  $C^6$  from  $C^5$  by changing the inputs to the message-descriptor shuffle of the challenge run. During the generation of message descriptors (Phase 2), we replace the encrypted seeds  $S_{\alpha\beta}$  and  $S_{\beta\alpha}$  with the encryption of new random seeds. Specifically,

1. For  $\alpha$ , we replace the encrypted seed it creates for  $\beta$  in Case 1 of Phase 2 with an encryption of the new random seed  $s'_{\alpha\beta}$ . That is, we set  $S_{\alpha\beta} = \{s'_{\alpha\beta}\}_{y_\beta}$ , where the encryption key is among those  $\alpha$  received in Phase 1a. Note that the original seed  $s_{\alpha\beta}$  is still created and used to generate the ciphertext  $C_{\alpha\beta}$ .
2. For  $\beta$ , we replace the encrypted seed it creates for  $\alpha$  in Case 1 of Phase 2 with an encryption of the new random seed  $s'_{\beta\alpha}$ . That is, we set  $S_{\beta\alpha} = \{s'_{\beta\alpha}\}_{y_\alpha}$ , where the encryption key is among those  $\beta$  received in Phase 1a. Again, note that the original seed  $s_{\beta\alpha}$  is still created and otherwise used as before.

Then during data transmission (Phase 4),  $C^6$  recognizes the seeds that match  $s'_{\alpha\beta}$  and  $s'_{\beta\alpha}$  among those received by  $\beta$  and  $\alpha$ , respectively, and simply uses the original seeds to generate the necessary ciphertexts. More precisely, for  $\alpha$ , in Case 2 of Phase 4, whenever a value  $S_{i\alpha}$  received by  $\alpha$  decrypts to a seed that the challenger recognizes is identical to  $s'_{\beta\alpha}$ ,  $\alpha$  sets  $C_{i\alpha}$  to the ciphertext  $C_{\beta\alpha}$  that was generated earlier from  $s_{\beta\alpha}$ . A similar action is taken for  $\beta$ , where this time the challenger looks for decrypted seeds matching  $s'_{\alpha\beta}$  and uses  $C_{\alpha\beta}$  for the ciphertext.

**Game 7:** We construct challenger  $C^7$  from  $C^6$  by replacing some pseudorandomness with true

randomness during the challenge protocol run. For  $\alpha$  and  $\beta$ , in Case 1 of Phase 2 (descriptor generation), the ciphertexts  $C_{\alpha\beta}$  and  $C_{\beta\alpha}$ , respectively, are chosen uniformly at random rather than being generated pseudorandomly. Note that these random ciphertexts are then used in the computation of  $C_{\alpha\alpha}$  and  $C_{\beta\beta}$ , respectively. Then in Case 2 of Phase 4 (data transmission),  $\alpha$  and  $\beta$  use these random sequences as ciphertexts. That is,  $\alpha$  sends the random  $C_{\beta\alpha}$  generated in Phase 2 for every decrypted seed  $s_{i\alpha}$  that matches  $s'_{\beta\alpha}$ . Similarly,  $\beta$  sends the random  $C_{\alpha\beta}$  generated in Phase 2 for every decrypted seed  $s_{i\beta}$  that matches  $s'_{\alpha\beta}$ .

The following lemma shows that, as in the shuffle proof, the output's advantage in Game 0 is negligibly close to  $1/2$  the advantage of  $A$  in the anonymity game:

**Lemma 10.**

$$\Delta(G^0) = \frac{1}{2} \left| Pr \left[ A^{C(0)} = 1 \right] - Pr \left[ A^{C(1)} = 1 \right] \right|.$$

*Proof.* The proof of this lemma is almost exactly the same as the proof of Lemma 5. We simply interpret each reference to a phase of the challenge shuffle as instead referring to a phase of the message-descriptor shuffle in the bulk protocol. We also replace  $Z^0$  everywhere it appears with  $Z_1^0$ .  $\square$

The next lemma shows that, as in the shuffle proof, the ciphertext changes from Game 0 to Game 2 can only change the advantage of the game output by a negligible amount.

**Lemma 11.**  $|\Delta(G^2) - \Delta(G^0)|$  is negligible.

*Proof.* Games 1 and 2 are constructed by making essentially the same changes to the challenger's behavior during the descriptor shuffle that were made in Games 1 and 2 of the shuffle anonymity analysis. Thus, the proof that these two sets of changes each only change the output advantage by a negligible amount is almost exactly the same as the proofs of Lemmas 6 and 7.

In these proofs, a distinguisher  $D$  is constructed that simulates either member of a pair of adjacent games for the adversary, depending on the hidden bit of the distinguishing game. The proofs show that this distinguisher converts a non-negligible change in the game output's advantage to a non-negligible advantage in the distinguishing game. Such an advantage would contradict the IND-CCA2 property of the cryptosystem.

We slightly modify the argument of that sort in the proof of Lemma 6 to prove that the output advantage changes negligibly between Game 0 and Game 1. We construct a distinguisher  $D^B$  that is the same as  $D$  in that proof with the following differences:

1. In Step 1 of  $D$ ,  $D^B$  instead executes the anonymity game up to the challenge run of the bulk protocol (rather than the shuffle protocol).
2.  $D^B$  then executes Phase 1 and Phase 2 of bulk protocol exactly, ending up with the inputs of honest members to shuffle protocol  $m_{h_i}$  that are constructed during Phase 2.
3.  $D^B$  continues with Step 2 of  $D$ .
4.  $D^B$  continues with Steps 3–8 of  $D$ , replacing  $m_b$  with  $m_\alpha$  everywhere.
5. After Step 8 of the distinguisher is finished, the message-descriptor shuffle (i.e. Phase 3) of the bulk protocol is over, and the  $D^B$  uses the outputs of the honest members to execute the rest of the bulk protocol (Phase 4 – Phase 7) as described in the protocol.

By applying the subsequent arguments of Lemma 6 to  $D^B$  (again substituting  $m_\alpha$  for  $m_b$  in the arguments), we can show that the game output's advantage changes negligibly between Game 0 and Game 1.

We can adapt the distinguisher construction and subsequent arguments of Lemma 7 in the same way (except using  $\beta$  in place of  $\alpha$  and  $\bar{b}$  in place of  $b$ ) to show that the game output's advantage changes negligibly between Game 1 and Game 2.  $\square$

Game 3 is created by applying the first game transformation of the shuffle proof to the blame shuffle in Game 2. Thus, as in the shuffle proof, the game advantage decreases by a factor negligibly close to  $1/2$ :

**Lemma 12.**  $\Delta(G^3)$  is negligibly close to  $\frac{1}{2}\Delta(G^2)$ .

*Proof.* As with Lemma 10, the proof of this lemma is almost exactly the same as the proof of Lemma 5. We apply that proof to this lemma by interpreting each reference to a phase of the challenge shuffle as instead referring to a phase of the blame shuffle in the challenge bulk round. As we are comparing Games 2 and 3 rather than the anonymity game and Game 0, everywhere they appear we replace  $C$  with  $C^2$ ,  $A^{C(b)}$  with  $G^2(b)$ ,  $C^0$  with  $C^3$ , and  $Z^0$  with  $Z_2^3$ . In addition, the transcripts between  $A$  and  $C^2$  (i.e.  $T_{A,C^2}$ ) and between  $A$  and  $C^3$  (i.e.  $T_{A,C^3}$ ) may fall into one more case than the four given in that proof. The challenger may fail with an incorrect guess  $Z_1$  during the descriptor shuffle. The proof of Lemma 10 shows that this failure occurs with probability  $1/2$  in Game 0, and in Game 2 this failure continues to occur with probability  $1/2$  and for the same reasons, namely that each transcript falls into exactly one of the four listed cases, and failure occurs in each case when the independently random bit  $Z_1^2$  has a certain value. The proof of Lemma 5 can easily be modified to include this failure case, with the following modified conclusions:

1. Each transcript case occurs with the same probability for  $T_{A,C^2}$  and  $T_{A,C^3}$ .
2. Failure occurs in every case except for the added one (which always fails) with probability  $1/2$ .
3. The distribution of transcripts in  $T_{A,C^3}$  conditional on  $F^3 = 0$  is, in every case except the added one, the same as the distribution of transcripts in the same case in  $T_{A,C^2}$ .

These imply that  $F^3 = 0$  with probability  $1/4$  and that the conditional distribution of  $T_{A,C^3}$  given  $F^3 = 0$  is the same as the distribution of  $T_{A,C^2}$  given that  $F^2 = 0$ . The game outputs  $G^3$  and  $G^2$  are the adversary output when the challenger does not fail and are uniformly random bits otherwise. Thus

$$\begin{aligned} |Pr[G^3(0) = 1] - Pr[G^3(1) = 1]| &= \frac{1}{4} |Pr[G^3(0) = 1|F^3 = 0] - Pr[G^3(1) = 1|F^3 = 0]| \\ &= \frac{1}{4} |Pr[G^2(0) = 1|F^2 = 0] - Pr[G^2(1) = 1|F^2 = 0]| \\ &= \frac{1}{2} |Pr[G^2(0) = 1] - Pr[G^2(1) = 1]| \end{aligned}$$

$\square$



Changing ciphertexts from the challenger from Game 3 to Game 5 has only a negligible effect on the output advantage, as in the analogous game transitions of the shuffle proof:

**Lemma 13.**  $|\Delta(G^5) - \Delta(G^3)|$  is negligible.

*Proof.* This lemma can be proven using the arguments of Lemma 11 applied to the blame shuffle rather than the descriptor shuffle. Those construct distinguishers and show that they convert a non-negligible change in the game output between Games 3 and 4 or between Games 4 and 5 into a non-negligible advantage in the IND-CCA2 game. This would contradict the IND-CCA2 property of the cryptosystem.  $\square$

Game 6 is created from Game 5 by changing some PRNG seeds that are then encrypted and sent by the challenger. By the IND-CCA2 property of the encryption scheme, this can only have a negligible effect on the output advantage:

**Lemma 14.**  $|\Delta(G^6) - \Delta(G^5)|$  is negligible.

*Proof.* To prove this lemma, we consider the two ciphertext changes in sequence: *i*)  $\{s_{\alpha\beta}\}_{y_\beta}$  gets replaced by  $\{s'_{\alpha\beta}\}_{y_\beta}$  and *ii*)  $\{s_{\beta\alpha}\}_{y_\alpha}$  gets replaced by  $\{s'_{\beta\alpha}\}_{y_\alpha}$ . For each change, we can construct a distinguisher that converts a non-negligible change in the game-output distribution into a non-negligible advantage in the distinguishing game.

Let Game 5a refer to the game that results from just the ciphertext replacement in *i*). Let  $C_D$  be the challenger in the distinguishing game and  $b_D$  be the challenge bit. We construct a distinguisher  $D$  that simulates either Game 5 or Game 5a, depending on  $b_D$ , as follows:

1.  $D$  simulates the anonymity-game challenger  $C^5$  up to the challenge run of the bulk protocol.
2. To begin Phase 1 of the bulk protocol (key generation),  $D$  obtains the public encryption key  $K_D$  from  $C_D$  and sets  $y_\beta = K_D$ .  $D$  generates the encryption key pairs  $(x_h, y_h)$  for all other honest users. Then  $D$  continues with the rest of Phase 1a (session-key generation) followed by Phase 1b (key verification), acting as  $C^5$  would.
3.  $D$  executes Phase 2 (descriptor generation) for  $\alpha$  as follows:
  - Case 1:* If key verification is successful (Case 1 of Phase 2),  $D$  executes the phase for  $\alpha$  as  $C^5$  would up to the point at which  $S_{\alpha\beta}$  is assigned. At this point,  $D$  randomly chooses a new seed  $s'_{\alpha\beta}$ , submits  $(s_{\alpha\beta}, s'_{\alpha\beta})$  to  $C_D$ , receives  $c_{b_D}$  as a response, and sets  $S_{\alpha\beta} = c_{b_D}$ .  $D$  executes the rest of the phase for  $\alpha$  as  $C^5$  would.
  - Case 2:* If key verification fails (Case 2 of Phase 2),  $D$  executes the phase for  $\alpha$  as  $C^5$  would.
  - Case 3:* This case will never execute for  $\alpha$  because  $\alpha$  has message  $m_b$  to send.

$D$  executes Phase 2 for the other honest members as  $C^5$  would.
4.  $D$  executes Phase 3 as  $C^5$  would.
5.  $D$  executes Phase 4 (data transmission) for  $\beta$  as follows:
  - Case 1:* If the descriptor shuffle failed (Case 1 of Phase 4),  $D$  executes the phase for  $\beta$  as  $C^5$  would.

*Case 2:* Otherwise the descriptors were successfully received (Case 2 of Phase 2). For each encrypted seed  $S_{i\beta}$  received by  $\beta$  in a descriptor, if  $S_{i\beta}$  matches the encrypted seed  $S_{\alpha\beta} = c_{b_D}$  created by  $\alpha$  for  $\beta$ , then  $D$  sets  $s_{i\beta}$  to the seed  $s_{\alpha\beta}$  chosen by  $\alpha$  in Phase 2, rather than obtaining it by decrypting  $S_{i\beta}$ . Otherwise,  $D$  sends  $S_{i\beta}$  to  $C_D$  for decryption, receiving  $s$  in response. If  $s = s'_{\alpha\beta}$ , then set  $s_{i\beta} = s_{\alpha\beta}$ , and otherwise set  $s_{i\beta} = s$ .  $D$  completes the phase as  $C^5$  would.

$D$  executes this phase for the other honest members as  $C^5$  would.

6.  $D$  executes Phase 5 (acknowledgement submission) and Phase 6 (message recovery) as  $C^5$  would.
7.  $D$  executes Phase 7 (blame) as  $C^5$  would. It will never be required for  $D$  to produce the random bits used to produce  $S_{\alpha\beta}$ , which it would be unable to do, because  $\beta$  only sends ciphertexts with correct hashes for slots with the descriptors of honest members.
8.  $D$  executes the rest of the anonymity game after the challenge run as  $C^5$  would.
9.  $D$  uses  $G$  as its guess  $\hat{b}_D$ .

We observe that, except with negligible probability,  $D$  simulates  $C^5$  if  $b_D = 0$  (i.e. if  $c_{b_D} = \{s_{\alpha\beta}\}_{y_\beta}$ ), and  $D$  simulates  $C^{5a}$  if  $b_D = 1$ . Note that, depending on  $b_D$ ,  $D$  creates a message descriptor for  $\alpha$  that contains as a seed for  $\beta$  either the encryption of  $s_{\alpha\beta}$  or of  $s'_{\alpha\beta}$ . Moreover, if  $b_D = 1$ ,  $D$  correctly uses  $s_{\alpha\beta}$  for all encrypted seeds received by  $\beta$  that match  $s'_{\alpha\beta}$ , and, if  $b_D = 0$ , the probability that  $\beta$  receives an encryption of  $s'_{\alpha\beta}$  and (incorrectly) uses  $s_{\alpha\beta}$  as the decryption is negligible because  $s'_{\alpha\beta}$  is never used in the simulation up to that point and is chosen independently at random. In addition, the ciphertexts sent to the decryption oracle never match the forbidden text  $c_{b_D} = S_{\alpha\beta}$  because in those cases the decryptions are copied directly from the seed created by  $\alpha$  for  $\beta$ . In every other way,  $C^5$  and  $C^{5a}$  act the same, and  $D$  simulates their behavior.

The output of  $D$  is the game output  $G(b)$ , where  $b$  is the challenge bit of the simulated anonymity game.  $G(b)$  is set exactly as it is by the simulated challenger except with negligible probability, and thus the advantage of  $D$  is negligibly close to the change in  $G(b)$  for any  $b$ . That is,

$$\left| Pr[\hat{b}_D = 1 | b_D = 0] - Pr[\hat{b}_D = 1 | b_D = 1] \right| - \left| Pr[G^5(b) = 1] - Pr[G^{5a}(b) = 1] \right|$$

is negligible. Because the advantage in the distinguishing game is negligible by the IND-CCA2 property of the cryptosystem, the change in the output distribution between Game 5 and Game 5a for a given value of  $b$  must be negligible. This implies that the change in the output advantage is also negligible.

Applying ciphertext replacement (ii) to Game 5a results in Game 6. Essentially the same argument as above (simply swapping  $\alpha$  and  $\beta$  everywhere) shows that the output advantage changes negligibly as a result of this replacement.

Thus the output advantage changes negligibly between Game 5 and Game 6.  $\square$

We create Game 7 from Game 6 by replacing some pseudorandom streams with random streams. By the pseudorandomness of the PRNG doing so has a negligible effect on the output advantage:

**Lemma 15.**  $|\Delta(G^7) - \Delta(G^6)|$  is negligible.

*Proof.* Consider the changes made to  $C^6$  in the following sequence: *i*)  $\beta$  chooses the ciphertext  $C_{\beta\alpha}$  in Phase 2 randomly instead of pseudorandomly, and  $\alpha$  uses that ciphertext in Phase 4; and *ii*)  $\alpha$  chooses the ciphertext  $C_{\alpha\beta}$  in Phase 2 randomly instead of pseudorandomly, and  $\beta$  uses that ciphertext in Phase 4. Let Game 6a be the game defined by applying *i*) to Game 6. Game 7 is then *ii*) applied to Game 6a. We can show that the game output changes negligibly for each pair in this short sequence by constructing a distinguisher that converts a change in the game output probability to the same advantage in the pseudorandomness game.

Let  $C_R$  be the challenger in the pseudorandomness game, and let  $b_R$  be its challenge bit. Distinguisher  $D$  interacts with  $C_R$  to simulate either Game 6 or Game 6a for the adversary, depending on  $b_R$ . Let  $D$  behave as follows:

1.  $D$  executes the anonymity game as  $C^6$  would up to the challenge run of the bulk protocol.
2.  $D$  executes Phase 1 as  $C^6$  would.
3. In Phase 2,  $D$  receives  $r$  from  $C_R$ . For member  $\beta$ ,  $D$  sets  $C_{\beta\alpha} = r$  in Case 1 and otherwise executes the phase for  $\beta$  as  $C^6$  would.  $D$  executes Phase 2 for all other honest members as  $C^6$  would.
4.  $D$  executes Phase 3 as  $C^6$  would.
5. In Phase 4, for member  $\alpha$ ,  $D$  sets  $C_{j\alpha} = r$  for all decrypted seeds  $s_{i\alpha}$  that are identical to the seed  $s'_{\beta\alpha}$  generated by  $\beta$ .  $D$  otherwise executes Phase 4 for  $\beta$  as  $C^6$  would.  $D$  executes Phase 4 for all other honest members as  $C^6$  would.
6.  $D$  executes Phase 5, Phase 6, and Phase 7 as  $C^6$  would.
7.  $D$  executes the rest of the anonymity game after the challenge run.
8.  $D$  uses the game output of the simulated challenger as guess  $\hat{b}_R$ .

We observe that if  $b_R = 0$  (i.e.  $r$  is pseudorandomly generated by  $C_R$  from an unknown random seed  $s$ ), then  $D$  simulates Game 6, and if  $b_R = 1$ , then  $D$  simulates Game 6a. In particular,  $D$  can execute the blame phase without knowing the seed that is used to generate  $r$ , if any, because the encrypted seed included in the descriptor  $d_\beta$  is already an unrelated seed  $s'_{\beta\alpha}$ . Also, the challenger creates the encrypted seeds in both games, and so any accusation can be correctly generated, although because  $\beta$  only generates accusations for slots with its own descriptor  $d_\beta$ , and  $\alpha$  always produces correct ciphertexts when using  $d_\beta$ , it should in fact never be the case that  $\beta$  generates an accusation involving the ciphertexts changed between Game 6 and Game 6a.

The guess bit  $\hat{b}_R$  of  $D$  is thus  $G^6$  when  $b_R = 0$  and  $G^{6a}$  when  $b_R = 1$ . Therefore if the difference between  $\Pr[G^6(b) = 1]$  and  $\Pr[G^{6a}(b) = 1]$  were non-negligible for some  $b$ , then  $D$  could achieve a non-negligible advantage in the pseudorandomness game. This would contradict pseudorandomness, and thus the change in the output advantage from Game 6 to Game 6a is negligible.

A nearly identical argument, simply swapping  $\alpha$  and  $\beta$  everywhere, shows that there is a negligible change in the game advantage from Game 6a to Game 7 as well. Thus, the change in the game advantage from Game 6 to Game 7 is negligible.  $\square$

By Game 7, the adversary has the same view whether  $m_0$  belongs to  $\alpha$  or  $\beta$ , and thus there is no advantage in the game output. In order to show this, we follow the approach of Lemmas 8 and 9, and we view the challenger  $C^7$  as calling a subroutine  $C'^7$  to execute ANONYMIZE-B during the challenge run. This allows a natural decomposition of the proof, and it also us to express the fact that in addition to the messages to the adversary, the outputs of the bulk protocol are independent of  $b$ . Thus if, for example, the members decide later to come to a consensus about the results of the bulk protocol, that information will not break anonymity.  $C'^7$  takes as input the challenge bit  $b$  and  $I = (n_R, n_{R_1}, n_{R_2}, K, \tau, \alpha, \beta, m_0^c, m_1^c, \{m_h\}_{h \in H \setminus \{\alpha, \beta\}})$ .  $C'^7$  either fails or returns output  $O = (O_{h_1}, \dots, O_{N-k})$ , where  $O_h$  is the output of ANONYMIZE-B for member  $h$ .  $C^7$  fails if and only if  $C'^7$  fails.

In addition, we view  $C'^7$  as executing the descriptor and blame shuffles by calling as a subroutine the challenger  $C'^2$  as defined in Section 8.4.1 for use in Lemma 8.  $C'^7$  uses as inputs to  $C'^2$  the same  $K$ ,  $\alpha$ ,  $\beta$ , and  $\tau$  that itself received. It uses  $n_{R_1}$  as the round nonce input for the descriptor shuffle (i.e. Phase 3) and  $n_{R_2}$  as the round nonce input for the blame shuffle (i.e. Phase 7). The member messages and fail flags are determined from its own inputs as described in the bulk protocol description. For the descriptor shuffle, we denote by  $m_0^{c_1}$  and  $m_1^{c_1}$  the challenge messages, by  $m_h^1$  the non-challenge messages, and by  $f_h^1$  the fail flags. For the blame shuffle, we denote by  $m_0^{c_2}$  and  $m_1^{c_2}$  the challenge messages, by  $m_h^2$  the non-challenge messages, and by  $f_h^2$  the fail flags. We denote by  $O^1 = (O_{h_1}^1, \dots, O_{h_{N-k}}^1)$  the output of the descriptor shuffle and by  $O^2 = (O_{h_1}^2, \dots, O_{h_{N-k}}^2)$  the output of the blame shuffle.  $C'^7$  fails if one of the two invocations of  $C'^2$  fails.

Let  $M$  be the transcript of all messages between members during the protocol. Let  $F'^7$  be the event that  $C'^7$  fails. When  $F'^7 = 1$ ,  $O$  and  $M$  are defined to take a constant failure value. The following lemma shows that changing  $b$  does not change the joint distribution of  $M$ ,  $O$ , and  $F'^7$ .

**Lemma 16.**

$$Pr[M = m \wedge O = o \wedge F'^7 = f | I = i \wedge b = 0] = Pr[M = m \wedge O = o \wedge F'^7 = f | I = i \wedge b = 1].$$

*Proof.* To prove this, we track the dependence on  $b$  of messages from  $C'^7$  to  $A$ , internal variables of  $C'^7$ , and outputs of  $C'^7$ . This analysis will show that the messages and outputs of  $C'^7$  follow the same distribution regardless of  $b$ . In order to do this despite the dependence of some variables on  $b$ , we will consider two parallel executions of the challenge round, one in which  $b = 0$  and one in which  $b = 1$ . The messages, variables, and outputs that do not depend on  $b$  will indeed be the same in the two executions. The variables that do depend on  $b$  may have different states between the two executions, but the probability of those paired states will be the same.

We consider these executions step-by-step as follows:

- Phase 1a:
  - Encryption keys  $(x_h, y_h)$  are generated independently of  $b$ .
  - The message  $\mu_{h1a}$  from  $h \in H$  is independent of  $b$  by the above.
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h1a}$ ,  $h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i1a}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h1a}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .

- Phase 1b:
  - The message  $\mu_{h1b} = \{\vec{K}_h^e, n_R, 1b, h\} \text{SIG}_{u_h}$  from  $h \in H$  contain keys received from other members and thus is independent of  $b$  by the above.
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h1b}$ ,  $h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i1b}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h1b}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- Phase 2: We consider several cases for how challenge members form descriptors. These cases depend on the keys that honest members received in the previous phases, and thus by the above the applicable case does not depend on  $b$ .
  - *Case 1:* All honest members received valid and matching keys in the previous phases. In this case, the descriptors  $d_\alpha$  and  $d_\beta$  do depend on  $b$ , and so we compare their generation when  $b = 0$  and when  $b = 1$ . We observe that the descriptor for the challenge member  $h \in \{\alpha, \beta\}$  assigned  $m_0$  is created the same regardless of whether  $h$  is  $\alpha$  or  $\beta$ . A seed is chosen uniformly at random for each member  $i$ , it is encrypted to produce  $S_{hi}$  using the same set of keys (as assumed for this case), and the randomness of the encryption is saved as  $R_{hi}$ . Ciphertexts  $C_{hi}$  are produced for all  $i \in G \setminus \{\alpha, \beta\}$  using the PRNG with the seed generated for  $i$ . The ciphertext  $C_{h\alpha}$  is chosen randomly, and  $C_{h\beta}$  is chosen such that the XOR of all ciphertexts yields  $m_0$ . The descriptor is then created from the encrypted seeds, hashes of the ciphertexts, and the length of  $m_0$ . To emphasize that the creation of the descriptor depends on the message rather than its owner, we use the additional notation of  $s_{m_0i}$  for the seeds,  $R_{m_0i}$  for the encryption randomness,  $C_{m_0i}$  for the ciphertexts, and  $d_{m_0}$  for the descriptor. The descriptor for the challenge member assigned  $m_1$  is similarly generated, and we use similar user-independent notation for it and its components. Thus, for specific  $d_{m_0}$  and  $d_{m_1}$ , the probability that  $d_\alpha = d_{m_0}$  and  $d_\beta = d_{m_1}$  when  $b = 0$  is the same as the probability that  $d_\alpha = d_{m_1}$  and  $d_\beta = d_{m_0}$  when  $b = 1$ . We thus let the former occur in the execution under consideration for  $b = 0$  and the latter occur in the execution for  $b = 1$ .
  - *Case 2:* Some honest member  $h$  received an invalid key or non-matching keys in the previous rounds. In this case,  $C'^7$  will use  $f_h^1 = \text{TRUE}$  as an input to  $C'^2$  and thus cause the shuffle to fail. If  $Z_1^7 = 0$ , the challenger has guessed wrong, and the challenger will fail. Assuming the challenger does not fail,  $Z_1^7 = 1$ , and so the descriptors of  $\alpha$  and  $\beta$  are never needed (the dummy message is preserved throughout the shuffle). Thus we assume that  $C'^7$  does not create them at all.
  - Member  $h \in H \setminus \{\alpha, \beta\}$  creates her descriptor  $d_h$  in a way that only depends on her input message and the keys she received in the previous rounds. It is shown above that neither of these depends on  $b$ , and so her descriptor does not depend on  $b$ .
- Phase 3:
  - Each  $h \in H$  sets the fail flag  $f_h^1$  for the shuffle in this phase based on keys received in previous rounds, and thus it is independent of  $b$  by the above.

- $C'^7$  calls  $C'^2$ . The inputs to  $C'^2$  are challenge users  $\alpha$  and  $\beta$ , challenge messages  $m_0^{c1} = d_{m_0}$  and  $m_1^{c1} = d_{m_1}$ , non-challenge messages  $m_h^1 = d_h$  for  $h \in H \setminus \{\alpha, \beta\}$ , round number  $n_{R_1}$ , signing keys  $K$ , member ordering  $\tau$ , fail flags  $f_h^1$ , and challenge bit  $b$ . As shown above, all of the inputs to  $C'^2$  except  $b$  are independent of  $b$ . Thus with  $I$  set to all those inputs except  $b$  we can apply Lemma 8. We conclude that  $C'^7$  fails in this step with probability independent of  $b$ , that the messages sent are independent of  $b$ , and that the output  $O^1$  is independent of  $b$ .
  - The message  $\mu_{h3} = \{p', n_R, 3, h\} \text{SIG}_{u_h}$  from  $h \in H$  contains evidence of invalid or non-matching keys if any are received. Thus it depends only on messages received in previous rounds and is independent of  $b$  by the above.
  - The additional inputs to  $A$  since his last output are messages  $\mu_{h3}$ ,  $h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i3}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
  - The messages  $\mu_{h3}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- Phase 4:
    - For each member  $h \in H$ , we consider two cases for the message she sends. Which case applies depends on the shuffle output  $O_h^1$ .  $O_h^1$  is shown above to be independent of  $b$ , and so the relevant case is independent of  $b$ .
      - \* *Case 1:*  $O_h^1 = (\text{FAILURE}, \text{BLAME}_h^{s1}, \ell_h^{s1})$ .  $h$  sends message  $\mu_{h4} = \{\text{FALSE}, \text{BLAME}_h^{s1}, \ell_h^{s1}, n_R, 4, h\} \text{SIG}_{u_h}$ , which is independent of  $b$  by the above.
      - \* *Case 2:*  $O_h^1 = (\text{SUCCESS}, M_h'^{s1})$ .  $h$  sends message  $\mu_{h4} = \{\text{TRUE}, C'_{\pi(1)h}, \dots, C'_{\pi(N)h}, n_R, 4, h\} \text{SIG}_{u_h}$ . For  $h \in H \setminus \{\alpha, \beta\}$ ,  $C'_{\pi(i)h}$  is computed from the descriptors and keys received in earlier rounds, which are shown above to be independent of  $b$ . For  $h \in \{\alpha, \beta\}$ ,  $h$  uses as its ciphertext the value  $C_{m_0h}$  generated in Phase 2 for descriptors containing the encryption of a seed matching the seed that is encrypted in  $d_{m_0}$ .  $h$  does similarly for descriptors with seeds matching the one in  $d_{m_1}$ . Otherwise,  $h$  computes ciphertexts  $C'_{\pi(i)h}$  from the descriptors and keys received in earlier rounds.  $C_{m_0h}$  and  $C_{m_1h}$  are created above independently of  $b$ , and the previous messages received by  $h$  are shown above to be independent of  $b$ . Thus, the message  $\mu_{h4}$  from  $h$  is independent of  $b$ .
    - The additional inputs to  $A$  since his last output are messages  $\mu_{h4}$ ,  $h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i4}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
    - The messages  $\mu_{h4}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- Phase 5:
    - The message  $\mu_{h5} = \{\vec{V}_h, n_R, 5, h\} \text{SIG}_{u_h}$  sent by  $h \in H$  depends on the descriptors  $d_i$  obtained as an output of the shuffle, on  $\text{GO}_i$  received from each member  $i$ , and on the ciphertexts  $C'_{ij}$  received from each member  $i$ . These messages and outputs are shown above to be independent of  $b$ , and thus  $\mu_{h5}$  is independent of  $b$  as well.

- The additional inputs to  $A$  since his last output are messages  $\mu_{h5}$ ,  $h \in H$ , shown above to be independent of  $b$ . Thus the messages  $\mu_{i5}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
- The messages  $\mu_{h5}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- Phase 6: Member  $h \in H$  creates message  $m'_i$  using the  $GO_j$  and the ciphertexts  $C'_{ij}$  received from each member  $j$ . Each of these is shown above to be independent of  $b$ , and so  $m'_i$  is also independent of  $b$ .
- Phase 7:
  - Each  $h \in H$  sets the fail flag  $f_h^2$  for the shuffle in this phase based on ciphertexts received in the messages  $\mu_{j4}$  and  $\mu_{j5}$  from every  $j$ . These messages are shown above to be independent of  $b$ , and thus each  $f_h^2$  is independent of  $b$ .
  - $C'^7$  calls  $C'^2$ . The inputs to  $C'^2$  from  $C'^7$  are challenge users  $\alpha$  and  $\beta$ , challenge messages  $m_0^{c2}$  and  $m_1^{c2}$  (to be specified), non-challenge messages  $m_h^2 = A_h$  for  $h \in H \setminus \{\alpha, \beta\}$ , round number  $n_{R_2}$ , signing keys  $K$ , member ordering  $\tau$ , fail flags  $f_h^2$ , and challenge bit  $b$ . We observe here that  $\alpha, \beta, n_{R_2}, K, \tau$ , and the  $f_h^2$  are shown above to be independent of  $b$ . We consider two separate cases for the blame shuffle in order to show that all failures, messages, and outputs of the shuffle are independent of  $b$ . Which case applies depends only on the  $f_h^2$  and thus is independent of  $b$ .

\* *Case 1:*  $f_h^2 = \text{FALSE}$  for all  $h \in H$ .

It is shown above that all inputs to  $C'^2$  are independent of  $b$  except  $m_0^{c2}, m_1^{c2}, m_h^2 = A_h, h \in H \setminus \{\alpha, \beta\}$ , and  $b$  itself. Each accusation  $A_h, h \in H \setminus \{\alpha, \beta\}$ , depends on the descriptor  $d_h$ , the shuffle output  $O_h^1$  and the contents of the  $\mu_{j4}$  received by  $h$  from all  $j$ . These are shown above to be independent of  $b$ , and so  $A_h$  is independent of  $b$  as well.

We claim that the accusation  $A_{m_0}$  created by the member  $h_0 \in \{\alpha, \beta\}$  that is assigned  $m_0$  is created the same regardless of  $h_0$ . After showing this, we will be able to apply Lemma 8 to prove the anonymity of the shuffle.  $A_{m_0}$  depends on  $O_{h_0}^1, \vec{V}_{h_0}$ , and  $d_{h_0}$ .

If  $O_h^1 = (\text{FAILURE}, \text{BLAME}_h^{s_1}, \ell_h^{s_1})$  for some  $h \in \{\alpha, \beta\}$ , then neither  $\alpha$  nor  $\beta$  creates an accusation, and  $A_{m_0} = 0^{\Lambda_a}$  regardless of  $h_0$ .

Now suppose that  $O_h^1 = (\text{SUCCESS}, M_h^{s_1})$  for all  $h \in \{\alpha, \beta\}$ . We observe that, although  $C'^2$  does not strictly execute ANONYMIZE-S, after Phase 3 of the shuffle that challenger does simply execute ANONYMIZE-S for each  $h \in H$ , assuming that he does not fail. If  $C'^2$  had failed during the descriptor shuffle, of course, we would not have reached this phase, and therefore we can assume that he did not. In addition, the outcome is the same as if the entire GMP-SHUFFLE had been run because all  $f_h^2 = \text{FALSE}$  by assumption and the parameters  $K, \tau$ , and  $n_{R_1}$  used for ANONYMIZE-S are generated by SETUP-B in the same way that SETUP-S generates them. Thus, we observe that Theorem 1 applies to the descriptor shuffle. We are therefore guaranteed that the outputs  $(\text{SUCCESS}, M_h^{s_1}), h \in \{\alpha, \beta\}$ , are identical. We can then assume that  $O_\alpha^1 = (\text{SUCCESS}, M_\alpha^{s_1}) = O_\beta^1$ .

If  $\alpha$  receives a  $\mu_{j4}$  with  $GO_j = \text{FALSE}$ , then  $\beta$  must as well. Otherwise, the equivocation would have been discovered and the shuffle deliberately failed by all honest

members, contradicting our assumption for this case. The accusation for both members in this case is empty, and so we can say that  $A_{m_0} = 0^{\Lambda_a}$  regardless of  $h_0$ .

If  $\alpha$  and  $\beta$  receive  $GO_j = \text{TRUE}$  in all  $\mu_{j4}$ , then any incorrect ciphertexts  $\alpha$  receives in  $\mu_{j4}$  must also be received by  $\beta$ . Otherwise, again, all honest users would have noticed the equivocation and caused the blame shuffle to fail, contradicting the case assumption. As stated above, accusation  $A_{m_0}$  depends only on  $d_{m_0}$ ,  $O_{h_0}^1$  and the  $GO_j$  and incorrect  $C_{ij}$  contained in each  $\mu_{j4}$  received by  $h_0$ . We have shown that in this case all of these are equal for  $\alpha$  and  $\beta$ , and thus  $A_{m_0}$  is indeed created the same regardless of  $h_0$ .

The above arguments apply to the accusation  $A_{m_1}$  created by the user  $h_1 \in \{\alpha, \beta\}$  that is assigned  $m_1$ . Therefore, with  $m_0^{c_2} = A_{m_0}$ ,  $m_1^{c_2} = A_{m_1}$ , and  $I$  as the set of all inputs from  $C'^7$  to  $C'^2$  except  $b$ , we can apply Lemma 8. We conclude that  $C'^2$  fails during the blame shuffle with probability independent of  $b$ , that the messages sent to  $A$  are independent of  $b$ , and that the output  $O^2$  is independent of  $b$ .

\* *Case 2:  $f_h^2 = 1$  for some  $h \in H$ .*

In this case, we simply view  $C^7$  as calling  $C'^2$  with  $A_h = h$  for all  $h \in H$ . Because the shuffle will fail, the challenger will fail if  $Z^2$  is set to 0. Otherwise,  $Z^2 = 1$ , and the shuffle effectively uses  $h$  as the input message for each  $h \in H$ . In this case,  $b$  has no effect on the messages of each user and therefore no effect on the shuffle. Thus,  $C'^2$  fails during the blame shuffle with probability independent of  $b$ , the messages sent to  $A$  during the shuffle are independent of  $b$ , and the shuffle output  $O^2$  is independent of  $b$ .

- The message  $\mu_{h7} = \{p', n_R, 7, h\} \text{SIG}_{u_h}$  sent by  $h \in H$  depends on  $f_h^2$  and the messages  $\mu_{i5}$  and  $\mu_{i4}$  received by  $h$ . These are shown above to be independent of  $b$ , and thus  $\mu_{h7}$  is independent of  $b$  as well.
- The additional inputs to  $A$  since his last output are messages sent during the blame shuffle and  $\mu_{h7}$ ,  $h \in H$ , all of which are shown above to be independent of  $b$ . Thus the messages  $\mu_{i7}$ ,  $i \in D$ , received by  $h \in H$  are independent of  $b$ .
- The messages  $\mu_{h7}$ ,  $h \in H$ , received by  $h' \in H$  are shown above to be independent of  $b$ .
- For each  $h \in H$ ,  $\text{SUCCESS}_h$  and  $\text{BLAME}_h$  are set differently in several different cases. Which case applies depends on  $O_h^2$  and  $\mu_{h5}$ , which are shown above to be independent of  $b$ . Thus which case is applied is also independent of  $b$ . For each case,  $\text{SUCCESS}_h$  and  $\text{BLAME}_h$  depend at most on  $\text{BLAME}_h^{s_2}$ ; on the messages  $\mu_{i3}$ ,  $\mu_{i4}$ , and  $\mu_{i7}$  sent and received by  $h$ ; on the blame-shuffle output  $O_h^2$ ; and on the descriptor-shuffle output  $O_h^1$ . These are all shown above to be independent of  $b$ , and thus  $\text{SUCCESS}_h$  and  $\text{BLAME}_h$  are independent of  $b$  as well.
- Output messages  $M'_h$ ,  $h \in H$ , are created depending on  $\text{SUCCESS}_h$  and the messages  $\mu_{i4}$  sent and received by  $h$ . These are shown above to be independent of  $b$ , and thus  $M'_h$  is independent of  $b$  as well.
- $\text{Log } \ell_h$ ,  $h \in H$ , depends on  $\text{SUCCESS}_h$ , the output of  $\text{SETUP-B}$ , all messages sent and received by  $h$ , and the shuffle outputs  $O_h^1$  and  $O_h^2$ . These are all shown above to be independent of  $b$ , and thus  $\ell_h$  is independent of  $b$  as well.
- The output  $O_h$  of  $\text{GMP-BULK}$ ,  $h \in H$ , depends on  $\text{SUCCESS}_h$ ,  $M'_h$ ,  $\text{BLAME}_h$ , and  $\ell_k$ . These are shown above to be independent of  $b$ , and thus  $O_h$  is independent of  $b$  as well.



We have thus shown a bijection between executions of  $C'^7$  with  $b = 0$  and those with  $b = 1$ , given input  $I = i$ , such that the members of any given pair of the bijection occur with the same probability and have the same  $F'^7$ ,  $M$ , and  $O$ .  $\square$

**Lemma 17.**  $\Delta(G^7) = 0$ .

*Proof.* To prove this, we show that the steps of the anonymity game surrounding the challenge run are independent of  $b$  and use the previous lemma for the challenge run itself.

1. In Step 1, pre-challenge rounds of the bulk protocol are executed, which do not depend on  $b$ .
2. In Step 2,  $A$  sends  $C'^7$  the challenge participants  $\alpha$  and  $\beta$ , the challenge messages  $m_0^c$  and  $m_1^c$ , and the non-challenge messages  $m_h$ ,  $h \in H \setminus \{\alpha, \beta\}$ , which must be independent of  $b$  because all previous inputs to  $A$  were shown above to be independent of  $b$ .
3. Step 3 of the anonymity game is for the challenger to assign the messages of the challenge users according to  $b$ . However, we leave these variables undefined, as we have modified the challenger to create Game 7 such that they are not necessary.
4. The challenge run is executed during Step 4. We observe that  $C'^7$  first executes SETUP-B. This protocol takes only the long-term signature verification keys as input, and therefore its output  $(n_R, n_{R_1}, n_{R_2}, K, \tau)$  is independent of  $b$ . Next  $C'^7$  calls  $C'^7$  with inputs  $b$  and  $I = (n_R, n_{R_1}, n_{R_2}, K, \tau, \alpha, \beta, m_0^c, m_1^c, \{m_h\}_{h \in H \setminus \{\alpha, \beta\}})$ .  $I$  has been shown to be independent of  $b$ . Therefore, by applying Lemma 16, we can conclude that  $C'^7$  fails independently of  $b$ , and if it does not fail any messages  $M$  to  $A$  and outputs  $O$  are also independent of  $b$ .
5. In Step 5, the challenger executes further rounds of the protocol. The adversary's inputs up to this point have been shown to be independent of  $b$ , and thus these executions do not depend on  $b$ .
6. In Step 6,  $A$  outputs guess  $\hat{b}$ . All inputs to the adversary have been shown to be independent of  $b$ , and thus  $\hat{b}$  is independent of  $b$ .

The game output  $G^7(b)$  only depends on  $F'^7$  and  $\hat{b}$ . These have both been shown to be independent of  $b$ , and therefore

$$\Pr[G^7(1) = 1] = \Pr[G^7(0) = 1].$$

$\square$

Taken together, the preceding lemmas show that the adversary has a negligible advantage in the anonymity game:

**Theorem 6.** *The GMP-BULK protocol maintains anonymity with  $k$  colluding members for any  $0 \leq k \leq N - 2$ .*

*Proof.* Let  $A$  be a probabilistic polynomial-time adversary. We denote the change in advantage between games  $i$  and  $j$  as  $\epsilon_{ij} = |\Delta(G^j) - \Delta(G^i)|$ . By Lemmas 10 and 12, the advantage of  $A$  in the anonymity game with GMP-BULK is negligibly close to  $2(\epsilon_{02} + 2(\epsilon_{35} + \epsilon_{56} + \epsilon_{67} + \Delta(G^7)))$ . By Lemma 17 this is  $2\epsilon_{02} + 4\epsilon_{35} + 4\epsilon_{56} + 4\epsilon_{67}$ . This quantity is negligible by Lemmas 11, 13, 14, and 15.  $\square$

## 9 Conclusion and Future Work

DISSENT is a practical protocol for anonymous and accountable group communication that allows a well-defined group of participants to efficiently exchange variable-length messages, while resisting traffic analysis and disruption attacks effective against mix-networks, DC-nets, and onion routing. DISSENT improves upon previous shuffled-send primitives by adding accountability—the ability to trace misbehaving nodes—and by eliminating the message padding requirements of earlier schemes. DISSENT guarantees anonymity, integrity, and accountability, and has been shown practical for anonymous communication within moderate-size groups.

We have presented an improved version of this protocol that fixes several flaws in the original design. In addition, we have expressed the protocol in a modular framework that allows its components to be easily reused and analyzed. We have precisely defined its security properties and have given rigorous proofs that the improved protocol satisfies these properties.

Recent additional work on DISSENT has resulted in two new systems. Dissent in Numbers (Wolinsky, Corrigan-Gibbs, Johnson, and Ford 2012) accommodates anonymity sets sizes of thousands of nodes by offloading the protocols computational burden to a small set of servers. Verdict (Corrigan-Gibbs, Wolinsky, and Ford 2013) extends this client/server architecture by requiring clients to prove (in zero-knowledge) the well-formedness of messages they submit to the servers, thus preventing the certain disruption attacks which affected prior DC-net-based systems. Both protocols, however, lack rigorous proof of security. Therefore, future work includes a thorough security analysis of the scalable DISSENT and Verdict. Performing a rigorous security analysis of a complex protocol is a time-consuming and error-prone task. Hence, we would like to take advantage of formal verification methods for cryptographic protocols (Meadows 2003), especially for an exhaustive case analysis. We also wish to express and verify DISSENT’s security properties in the universally composable (UC) framework (Canetti 2001).

## APPENDIX

Here we describe in more detail some of the security flaws discovered in the DISSENT protocol of Corrigan-Gibbs and Ford (2010). Flaws were discovered affecting each of the desired security criteria: integrity, anonymity, and accountability. We also briefly mention the technique we adopted to fix each problem. By following a rigorous proof methodology for the improved protocol, we can have high confidence that these fixes have not introduced problems of their own. Note that the terminology and notation used here is that of Corrigan-Gibbs and Ford (2010).

### Anonymity

- Ciphertext replay attack in shuffle

**Flaw:** The adversary can replay a ciphertext  $C_i$  of some user  $i$  from an earlier run of the shuffle by submitting  $C_i$  as his own ciphertext. Then the adversary looks for the “inner” ciphertext  $C'_i$  that appeared at the end of the anonymization phase (Phase 3) in both this run and the earlier run. The adversary can conclude that the message contained in that inner ciphertext, which was successfully decrypted in the earlier run, were sent by  $i$ .

**Fix:** New “outer” encryption keys are generated in each run of the shuffle.

- Message descriptor replay attack in the bulk protocol

**Flaw:** The adversary can replay the message descriptor  $d_i$  of some user  $i$  received in an earlier run of the bulk protocol by submitting it as his own descriptor.  $d_i$  contains an encrypted seed for  $i$  that does not generate a ciphertext with a hash matching the included hash. In the previous run, user  $i$  was looking for a slot with descriptor matching  $d_i$  and used a precomputed ciphertext for it instead of using the included seed. In this run,  $i$  is not looking for it, and because the hash of the ciphertext won't match the one included in  $d_i$ ,  $i$  will send an empty ciphertext. This identifies  $i$  as the owner of the message revealed during the slot containing  $d_i$  in the previous run.

**Fix:** New encryption keys for the seeds in the message descriptors are generated in each run of the bulk protocol.

- Ciphertext equivocation attack in the bulk protocol

**Flaw:** The adversary can target user  $i$  as the suspected owner of a slot  $\pi(j)$  by sending an incorrect ciphertext  $\hat{C}_{jk}$  to  $i$  in Phase 3 and sending correct ciphertexts to all other members. Then if a valid accusation comes out of the blame phase (Phase 5),  $i$  must be the owner of the slot, that is,  $i = j$ .

**Fix:** Rebroadcast the ciphertexts before the blame shuffle, and then have users that observe ciphertext equivocation “break” the blame shuffle and then send evidence of equivocation to exonerate themselves and expose the equivocation member.

- Adversary copies encrypted seeds during the bulk protocol

**Flaw:** An adversary in the last position of the shuffle can copy the ciphertext containing a message descriptor into his own slot. An honest member only looks for one message descriptor matching her own, and therefore the owner of the copied descriptor will use the encrypted seed in the second slot containing her descriptor, the ciphertext won't match the hash, and so she will send an empty ciphertext. This identifies herself as the owner of the slot containing the first copy of the descriptor, which does have its message revealed.

Relatedly, it appears technically possible for an adversary to create a wholly new descriptor that contains the encrypted seed that a slot owner creates for herself in her own descriptor. IND-CCA2 doesn't appear to have a type of non-malleability that would prevent this kind of copying. Thus simply looking for all copies of a member's descriptor isn't enough, as the adversary could potentially target a member by copying out her encrypted seed from her encrypted message descriptor into a totally different descriptor. The member who uses different ciphertexts for the same seeds is the owner of the (original non-modified) descriptors.

**Fix:** Have members look for all copies of their encrypted seed, and use the same precomputed ciphertext in each of those slots.

## Accountability

- Ciphertext duplication attack in the shuffle

**Flaw:** An adversary in the first position of shuffle can use as his own ciphertext submission the ciphertext that an honest member submits into the shuffle. The shuffle fails when dupli-

cate ciphertexts are observed, and both the honest and dishonest members are exposed. This violates accountability, which prohibits exposing honest members.

**Fix:** Members must first commit publicly to their ciphertext submission using non-malleable commitments and including their identity (e.g. their shuffle position) in the commitment.

- Equivocation in proceeding to blame in the shuffle

**Flaw:** If all  $GO_i = \text{TRUE}$  in the verification phase (Phase 4), but dishonest  $j$  pretends to honest  $k$  that  $j$  received  $GO_i = \text{FALSE}$  from  $i$  by only sending blame data in the last phase (i.e. executing Phase 5b), while sending his private key  $w_j$  to all other members (i.e. executing Phase 5a with respect to them), then it is not clear if liveness assumption implies that  $k$  can eventually get enough blame data from the other members (who see everything go correctly, proceed to Phase 5a, and finish the protocol) to expose a faulty member.

**Fix:** The key release and blame phases (Phase 5a and 5b) are now unconditionally run in sequence. A member must justify in the blame phase not sending out a private key in the key-release phase with enough evidence to expose another member.

## Integrity

- Ciphertext equivocation attack in the bulk protocol

**Flaw:** The adversary can send a bad ciphertext to just one member, who, if not the owner, will never receive a valid accusation and so will complete successfully without all honest members' messages.

**Fix:** As described earlier as the fix to an anonymity attack, we rebroadcast the ciphertexts before the blame shuffle, and then we have users that observe ciphertext equivocation “break” the blame shuffle and then send evidence of equivocation to exonerate themselves and expose the equivocating member.

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